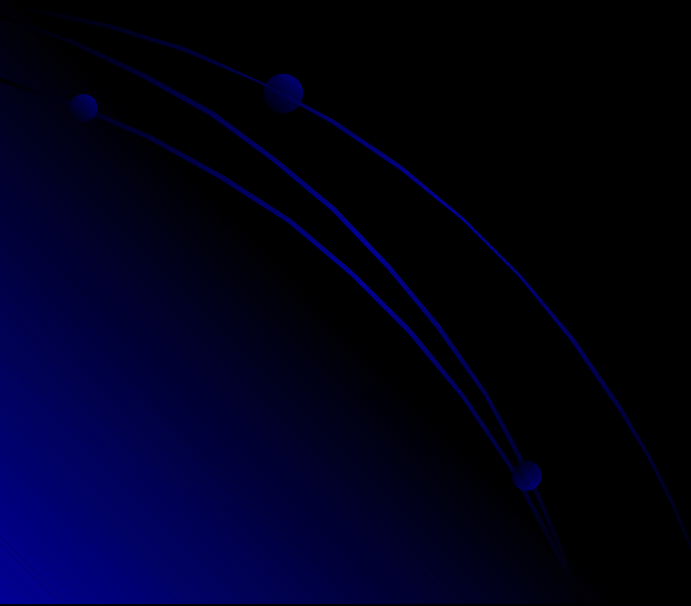




# The Dual-Readout Technique for ORKA Experiment at Fermilab

Anna Mazzacane  
Fermilab



# Rationale

- The Dual-Readout is a calorimetric technique based on the simultaneous measurement of two different signals from the same shower and reflecting two different physics mechanisms.
- This technique has been proposed to eliminate the factors that limit the performance of hadron calorimeters such as electromagnetic fraction fluctuations in the shower development.
- By comparing signals generated by the Cerenkov and the scintillation lights, it is possible to determine the electromagnetic shower fraction on an event-by-event base.
- Initially proposed by DREAM Collaboration, this technique has been successfully demonstrated to improve the hadronic energy resolution of a fiber Dual-Readout calorimeter.
- Realistic layout of a fiber Dual-Readout calorimeter has been proposed by the 4th Concept Collaboration at ILC and an intensive simulation program has culminated with the submission of the LoI on March 31, 2009.

# Rationale (con't)

- A new detection technique, ADRIANO, has been proposed to overcome the low photo-electron statistics in the Čerenkov signal and sampling fluctuations of the above sampling Dual-Readout calorimeters.
- An extension of ADRIANO technique has been proposed for the ORKA experiment at Fermilab.
- Exploiting ADRIANO potentialities, the goal is to achieve superb detection efficiency, while reducing accidentals which can be detrimental to the ORKA sensitivity goal.
- ADRIANO technique has been the subject of an intense simulation program that has culminating in an equally intense ongoing R&D program.

# Outline

## ➤ **Dual-Readout technique**

High Energy Resolution Calorimeter for Lepton Collider.

Dual-Readout ADRIANO calorimeter simulation.

ADRIANO and prototype R&D.

## ➤ **ORKA Experiment at Fermilab**

- Goals and issue

ADRIANO for ORKA

Advantages of ADRIANO

## ➤ **Summary**



# $f_{em}$ Fluctuations: Consequences

In hadronic calorimeters the fluctuations of the e.m. fraction of the shower ( $f_{em}$ ) dominate the energy resolution for hadrons and jets.

$$R = \frac{E_{measured}}{E_{shower}} = ef_{em} + h(1 - f_{em})$$

$$f_{em} = \frac{\zeta_{EM}}{\zeta_{EM} + \zeta_{HAD}}$$

$e$  = calorimeter response to EM shower component

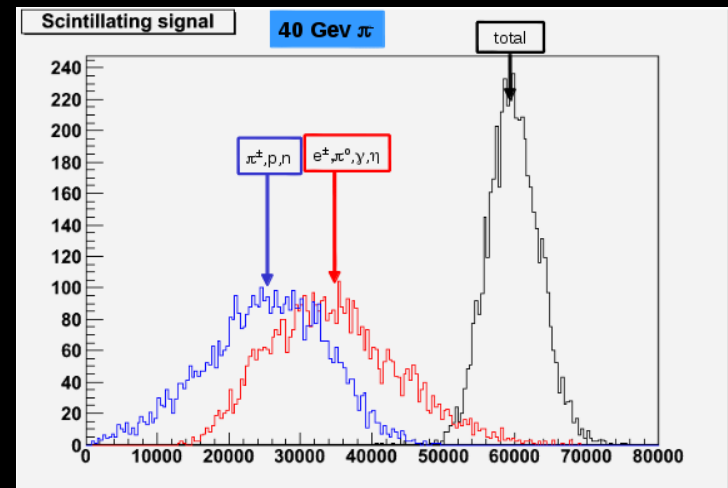
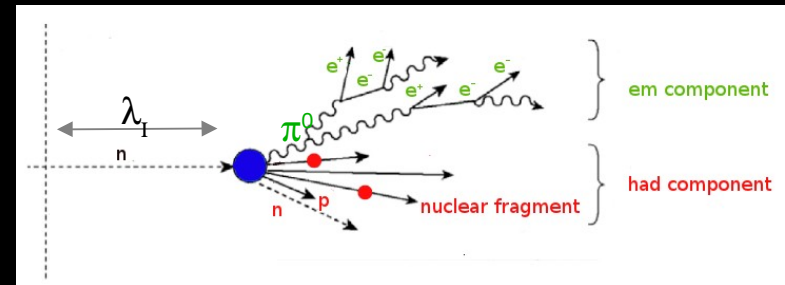
$h$  = calorimeter response to non-EM shower component

$e \neq h \Rightarrow R$  depends on  $f_{em}$

What are the consequences?

- Non-Gaussian shape of hadronic response
- Non-linearity of the hadronic response
- Deviations from the  $1/\sqrt{E}$  behaviour for hadronic showers

These fluctuations are due to fluctuations of the number of  $\pi^0$  vs  $\pi^+$



# $f_{em}$ Fluctuations: Possible Solutions

## ➤ **Compensating calorimeters (designed to have $e/h=1$ )**

This can be achieved with hydrogenous active medium (sensitive to soft neutrons, for example plastic scintillator).

This method requires a precisely tuned sampling fraction, requiring normally a large fraction of passive medium.

## ➤ **Offline re-calibration method**

Use average shower profile information to give a different weighting of the signals as a function of the shower depths. This method gives only limited results. Insufficient when excellent resolution is required.

## ➤ **Particle flow analysis**

Combine information from tracking system for charged particles (~60%) and from a fine segmented calorimeter for neutral hadrons (~10%) (30% photons by the em calorimeter).

Gives good simulation results (....not easy to do hardware test on a large scale). Intrinsically becomes more limited at higher energies.

## ➤ **Dual-Readout**

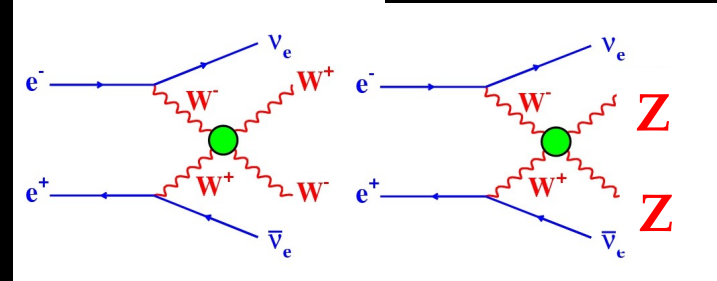
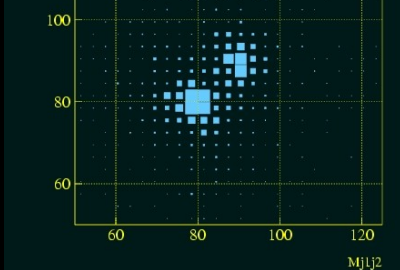
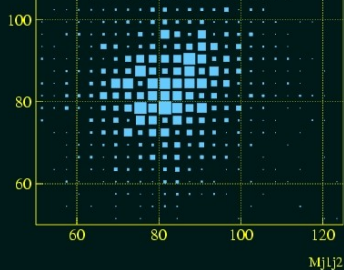
Measurement of  $f_{em}$  event by event by comparing two different signals from scintillation light and Čerencov light in the same device.

# Approaches in the LC community

Two different approaches have been considered to reconstruct jets with high resolution by ILC/CLIC community to achieve the needed resolution.

60%/sqrt(E)

30%/sqrt(E)



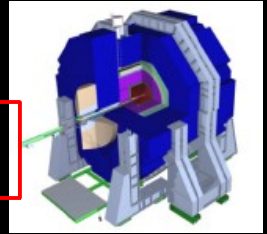
$Z/W \rightarrow jj$  can be reconstructed and separated if

$$\sigma_E / E = 30 \% / \sqrt{E (\text{GeV})}$$

the performance goal

for the jet energy resolution

SiD



ILD



4th



## ➤ Particle flow analysis

Combine information from tracking system for charged particles (~60%) and from a fine segmented calorimeter for neutral hadrons (~10%) (30% photons by the em calorimeter).

Gives good simulation results (....not easy to do hardware test on a large scale). Intrinsically becomes more limited at higher energies.

## ➤ Dual-Readout

Measurement of  $f_{em}$  event by event by comparing two different signals from scintillation light and Čerencov light in the same device.

# Dual-Readout Technique

The Dual-Readout is a calorimetric technique based on the simultaneous measurement of two signals generated by scintillating (S) light and Čerenkov (C) light .

$$S = E \left[ \frac{1}{\eta_S} (1 - f_{em}) + f_{em} \right]$$

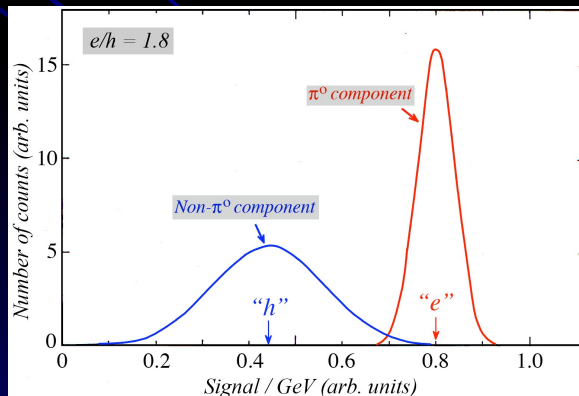
$$C = E \left[ \frac{1}{\eta_C} (1 - f_{em}) + f_{em} \right]$$

$$E = \frac{S - \chi C}{1 - \chi}$$

“As long as the two equations are independent system can be solved for the unknown  $f_{em}$  and  $E$ ”.  
R. Wigmans

$$\eta_S = (e/h)_S \quad \eta_C = (e/h)_C$$

$$\chi = \frac{1 - 1/\eta_S}{1 - 1/\eta_C}$$



The Dual-Readout works  
when  
 $\eta_S$  and  $\eta_C$  assume different values

# Dual-Readout Technique

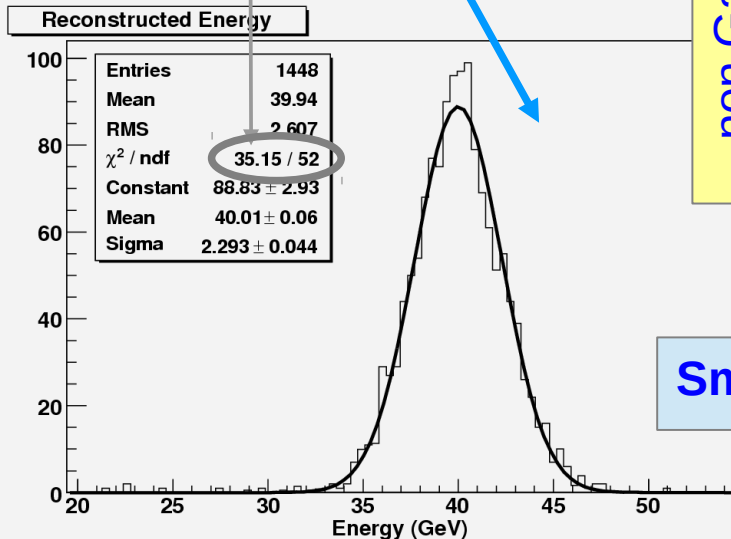
$$E = \frac{S - \chi C}{1 - \chi}$$

non Gaussian

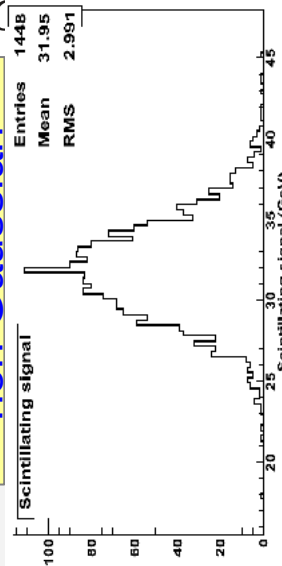


$$\sigma_E^2 = (1 - \chi)^2 \sigma_S^2 + \left( \frac{\chi}{1 - \chi} \right)^2 \sigma_C^2$$

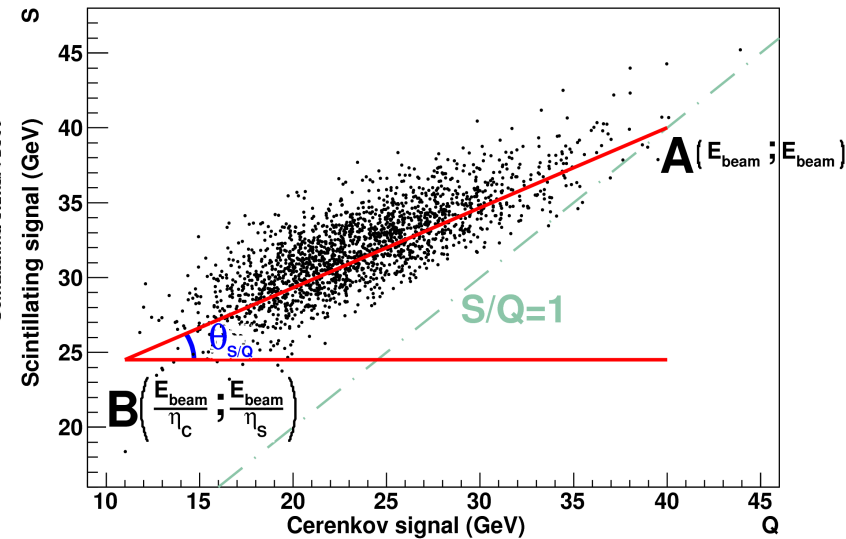
Gaussian



non Gaussian



Sci vs Cer signal for  $\pi^-$  @ 40 GeV



Smaller the angle  $\theta$ , smaller the  $\sigma_E^2$ , more precise  $E$ .

9 figure of merit of performance dual-readout calorimeter.

# Dual-Readout Calorimetry

Scintillation light is produced when charged particles pass through scintillating plastics. It is proportional to the energy deposited by the particle.

Thus is a good probe to sample the total energy of particles shower.

Čerencov light is produced when charged particles pass in a material with a speed higher than  $c/n$ .

In a hadronic shower, these particles are almost exclusively  $e^+$  and  $e^-$ .

**Thus the two mechanisms exploit different properties of the shower**

Dual-Readout calorimeter is two distinct calorimeters sharing the same absorber. Measured energy is gaussian because of  $f_{em}$  fluctuations removed event by event.

# Sampling Dual-Readout Calorimetry

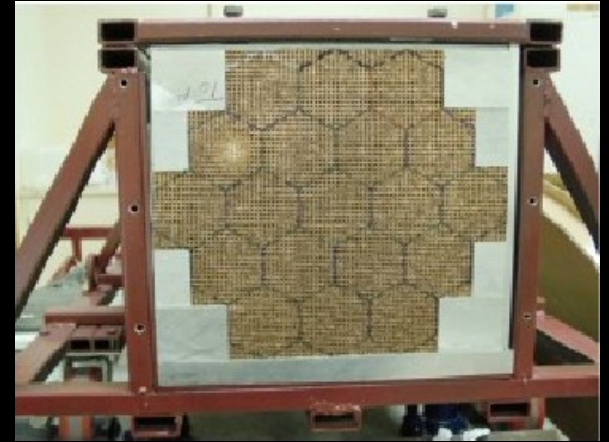
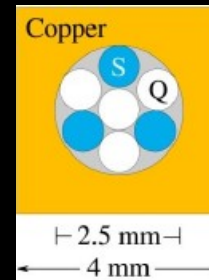
- **Sampling Dual-Readout (i.e. with PASSIVE absorber).**
- **Approach pursued by **DREAM** and 4<sup>th</sup> Concept.**
  - First working example of dual-readout calorimeter.
  - Scintillation and Čerenkov light are produced in distinct and optically separated volumes.
  - Simulations consistent with test beam data and show improvement in energy resolution **up to  $30\%/\sqrt{E}$** .
  - Cheap to build (brass and plastic fibers).
  - **However very little Čerenkov light expected.**
  - **Furthermore, it requires a large amount of fibers.**



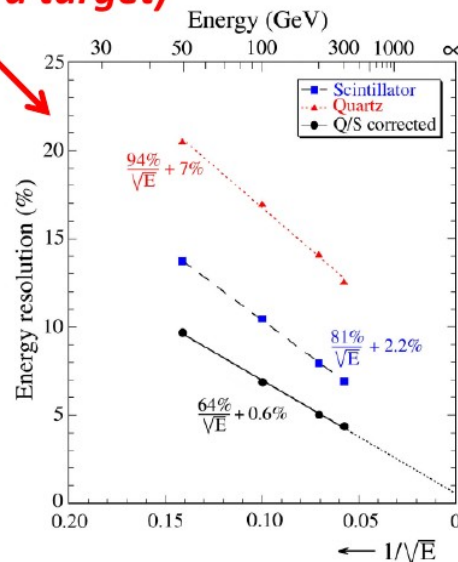
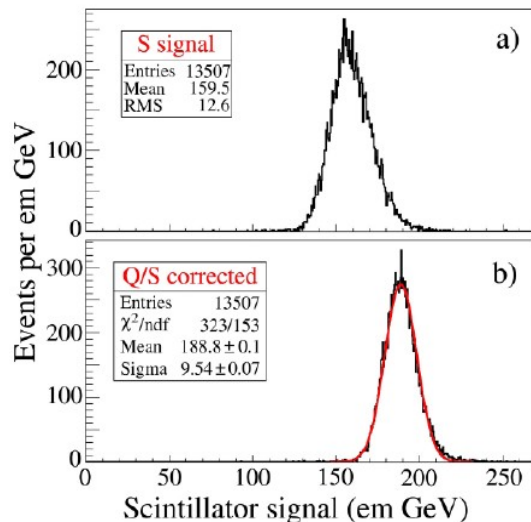
# DREAM Calorimeter

First prototype implementing the Dual-Readout technique

- Copper – Scintillating and Quartz (clear) fibers
- 19 hexagonal towers,
- each tower: 270 hollow copper rods,
- 2 m ( $10 \lambda_{\text{Int}}$ ) in depth
- radius  $\approx 16$  cm ( $< 1 \lambda_{\text{Int}}$ ).



**"Jets" 200 GeV (pions interacting in a target)**



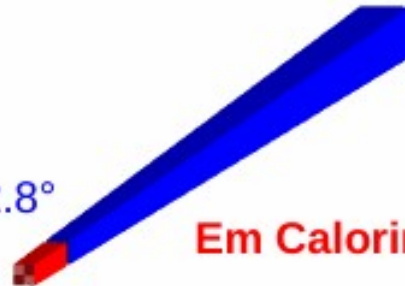
Resolution was limited by the small Cherenkov photon yield (8-18 p.e. per deposited GeV ).



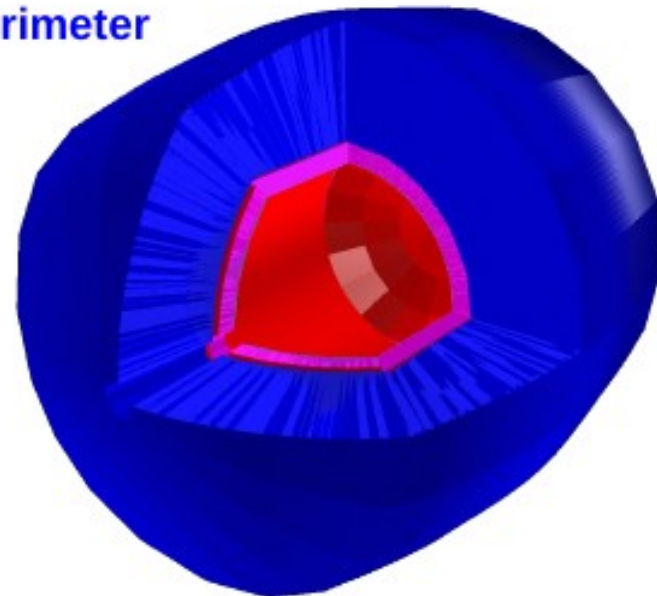
# 4th Concept Calorimeter

- Cu + scintillating fibers+ Čerenkov fibers
- $\sim 1.4^\circ$  tower aperture angle
- 150 cm depth
- $\sim 7.3 \lambda_1$  depth
- Fully projective geometry
- Azimuth coverage down to  $\sim 2.8^\circ$
- Barrel: 16384 towers
- Endcaps: 7450 towers

Had Calorimeter

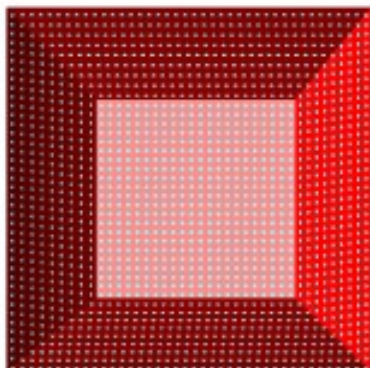


Em Calorimeter



Hadronic calorimeter tower

Bottom view of a tower

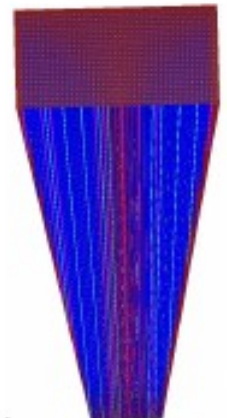


- 500  $\mu\text{m}$  radius plastic fibers
- Fiber stepping  $\sim 2$  mm
- Number of fibers inside each tower:  $\sim 1600$   
equally subdivided between Scintillating and Čerenkov

Each tower works as two independent towers in the same volume

- Top tower size:  $\sim 8.1 \times 8.1 \text{ cm}^2$
- Bottom tower size:  $\sim 4.4 \times 4.4 \text{ cm}^2$
- Tower length: 150 cm

Prospective view of a tower



# Total Active Dual-Readout Calorimetry

- **Total Active Dual-Readout (i.e. with ACTIVE absorber).**

Approach pursued by: **DREAM** with crystals (PbWO<sub>4</sub>, BGO, ...)

**T1004** with crystals (BGO, PbF<sub>2</sub>, ...)

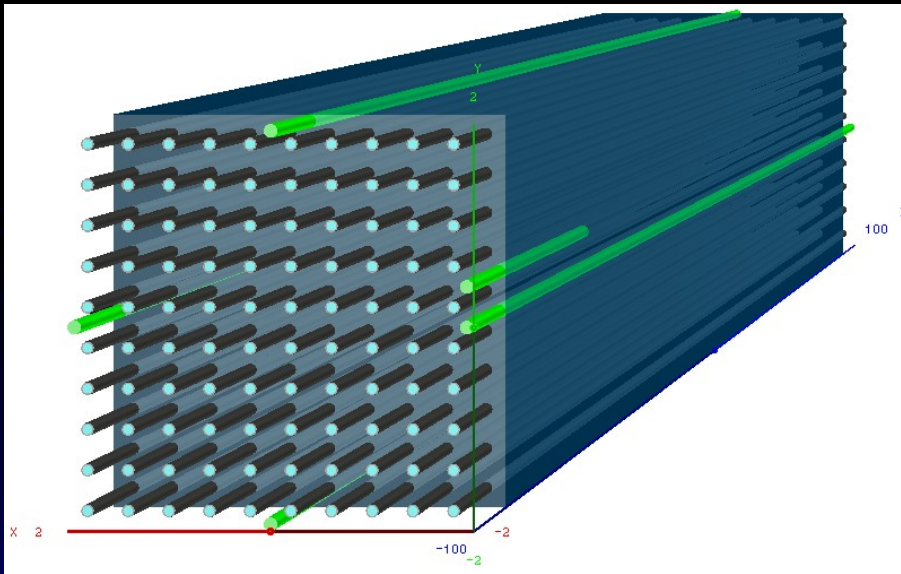
**T1015** with scintillating fibers or plates embedded in heavy glass (**ADRIANO**).

- Crystals produce both scintillating and Cerenkov light.
- Two light components have to be separated by mean of time structure of the signals and the spectrum of the signals.
- **T1015 got signals separated by design.**

**Next topic**

# ADRIANO: A Dual-Readout Integrally Active Non-segmented Option

- Implementation of the Dual-Readout technique making use of signals from high transmittance optical glasses and scintillating fibers.



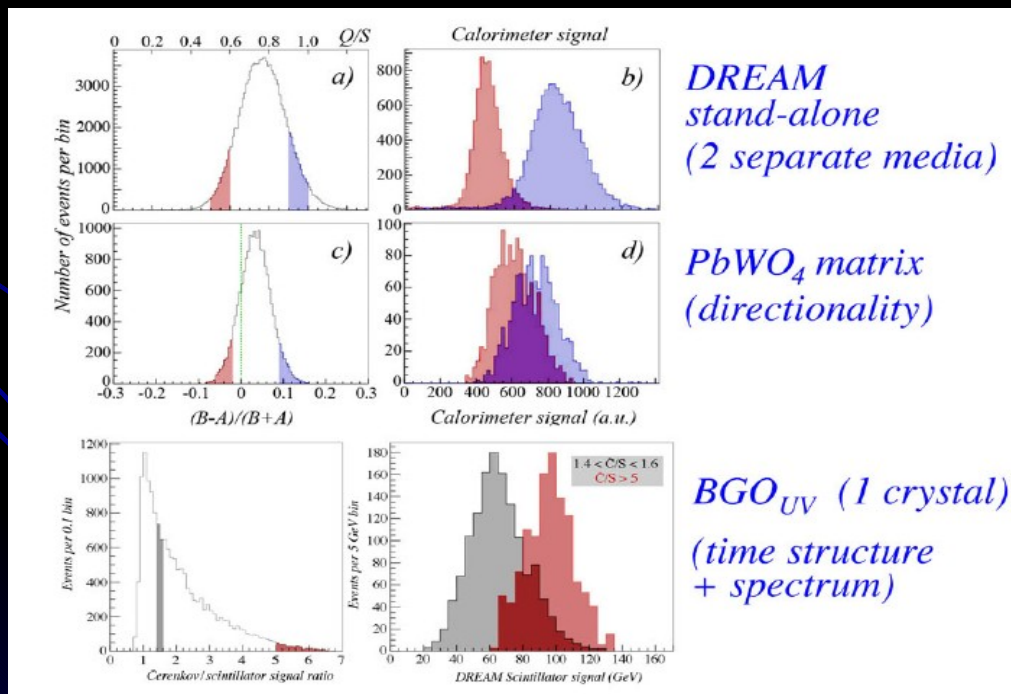
ADRIANO for a hadron calorimeter  
in a Muon Collider

- **Typical cells dimensions:**  $4 \times 4 \times 180 \text{ cm}^3$
- **Absorber and Cerenkov radiator:** lead glass or bismuth glass ( $\rho > 5.5 \text{ gr/cm}^3$ )
- **Cerenkov light collection:** 10/20 WLS fiber/cell
- **Scintillation region:** scintillating fibers, dia. 1mm, pitch 4mm (total 100/cell) optically separated from absorber
- **Particle ID:** 4 WLS fiber/cell (black painted except for foremost 20 cm)
- **Readout:** front and back SiPM (Scifi only)
- **CoG z-measurement:** light division applied to SCSF81J fibers (same as CMS HF)
- **Small  $\text{tg}(\theta_{\text{SIQ}})$ :** due to WLS running longitudinally to cell axis ( $\theta_{\text{Cerenkov}} < 90 - \theta_{\text{Snell}}$  for slower hadrons).

# Rationale #1 for ADRIANO

- Scintillating and Cerenkov light in **OPTICALLY SEPARATED MEDIA**:  
→ non-homogeneous detector
  - Use the absorber as Cerenkov component of dual-readout
  - Use scintillating fibers for the second component
  - Control the scintillation/Cerenkov with appropriate pitch between fibers

Separation efficiency between S & C components

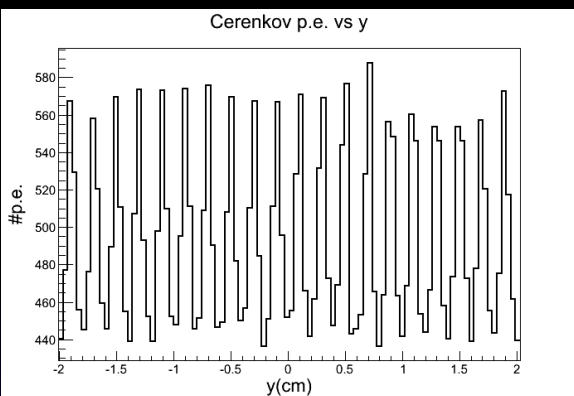


Report from DREAM  
Collaboration studies.

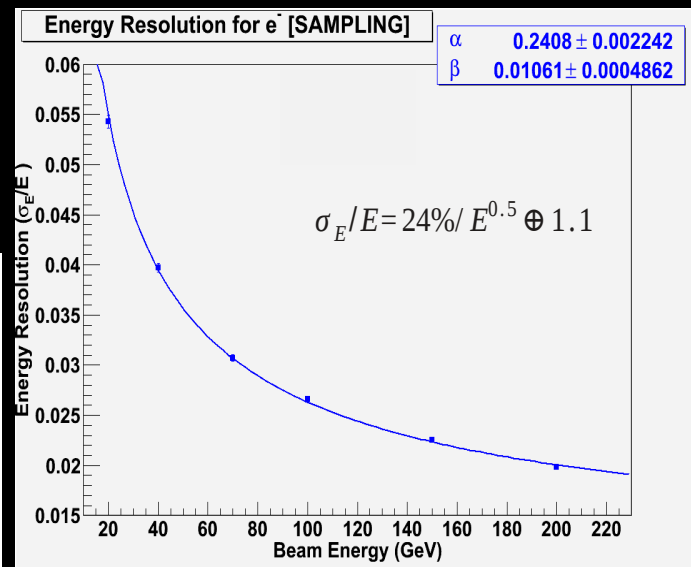
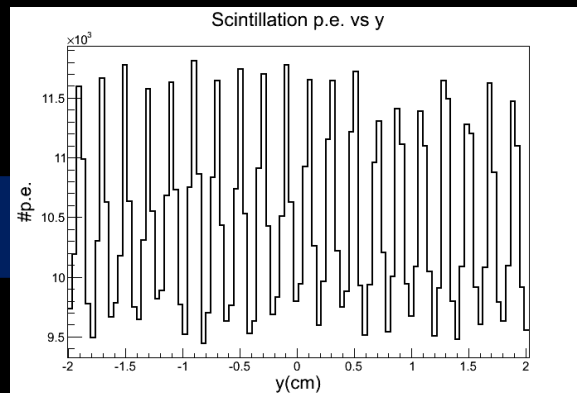
# Rationale #2 for ADRIANO

## ➤ Integrally Active Calorimeter with transparent, high $n_D$ absorber

- Use homogeneous medium as an **ACTIVE ABSORBER**
- It generates the Cerenkov component of dual-readout at the same time
- Lots of Cerenkov photons when  $n_D$  is about 2.0 or greater
- Avoid sampling frequency fluctuations for EM showers



C and S from horizontal beam scan in a sampling calorimeter



Cerenkov and Scintillating signal produced by  $e^-$  @ 45 GeV beam in sampling dual readout calorimeter with 1mm pitch between fibers as function of  $e^-$  impact point.



# Rationale #3 for *ADRIANO*

## ➤ Use heavy glasses rather than crystals

	Glass	Crystals
Light production mechanism	Only Cerenkov (minor fluorescence with some SF glasses)	Cerenkov + scintillation
Stability vs ambiental (temperature, humidity, etc)	Excellent	Varies, but generally poor
Stability vs purity	Very good if optical transmittance is OK	Very poor
Longitudinal size	Up to 2m	20-30 cm max
Cost	0.4-0.8 EUR/cm <sup>3</sup>	10-100 EUR/ cm <sup>3</sup>
Time response	prompt	Slow to very slow (with exceptions)
$n_d$	1.85-2.0 (commercially available) 2.25 (experimental)	1.85-2.3
Density	6.6 gr/cm <sup>3</sup> ( commercially available) 7.5 gr/cm <sup>3</sup> ( experimental)	Up to 8-9 gr/cm <sup>3</sup>
Radiation hardness	Medium (recoverable via UV annealing for Pb-glass) or unknown (for Bi-glass)	varies

# Rationale #4 for *ADRIANO*

- Keep the number of fibers to as manageable level for a  $4\pi$  calorimeter
  - Define  $\Gamma$  = total area of photodetector/total external calorimeter area.
  - $\Gamma$  takes into account:
    - *The needed photodetector area to read circular fibers with optimum packing*
    - *The crowiness of your FEE*
  - At present:
    - $\Gamma_{DREAM} = \sim 24\%$ ;  $\Gamma_{4th\ Concept} = \sim 21\%$ ;  $\Gamma_{Spacal} = \sim 21\%$
- In its baseline configuration  $\Gamma_{Adriano} = 8\%$

Quite large

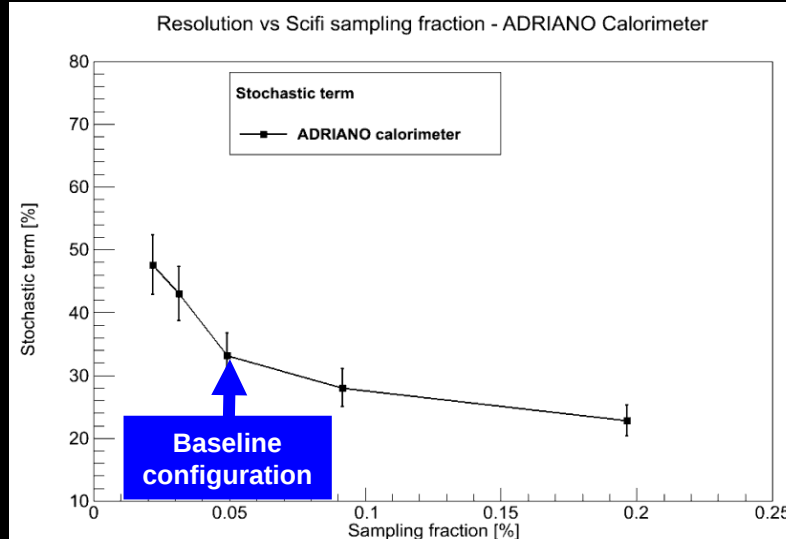
# ADRIANO expected Light Yield and Resolution

## Integrally Active with Double side readout (ADRIANO)

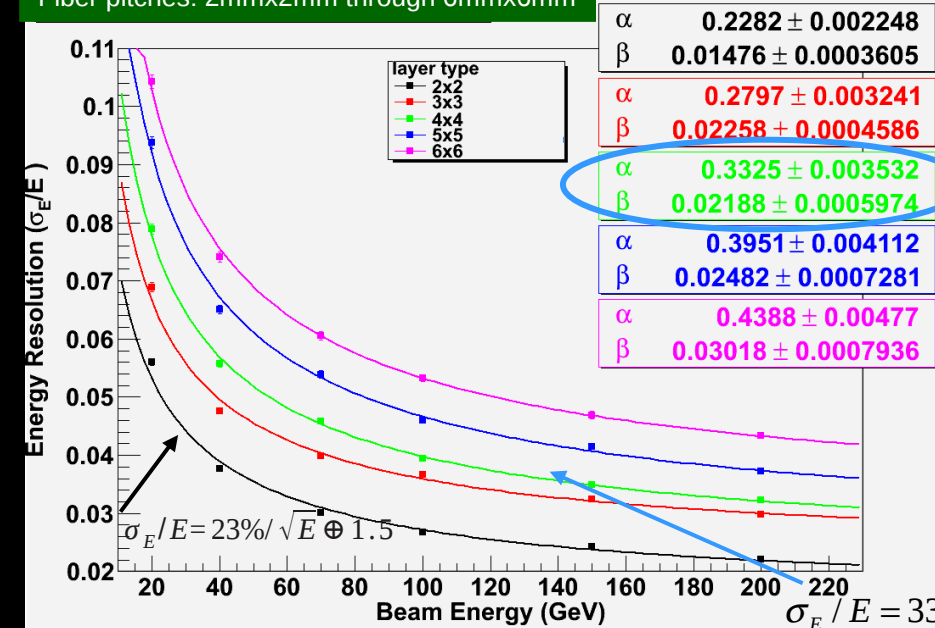
Pitch [mm <sup>2</sup> ]	2x2	3x3	4x4	5x5	6x6	4x4	4x4	4x4	Sampling
Diameter	1mm	1mm	1mm	1mm	1mm	1.4mm	2mm	capillary	
$\langle pe_s / \text{GeV} \rangle$	1053	430	254	163	124	500	110	250	200
$\langle pe_c / \text{GeV} \rangle$	340	360	360	355	355	355	350	350	7.5

Baseline  
configuration

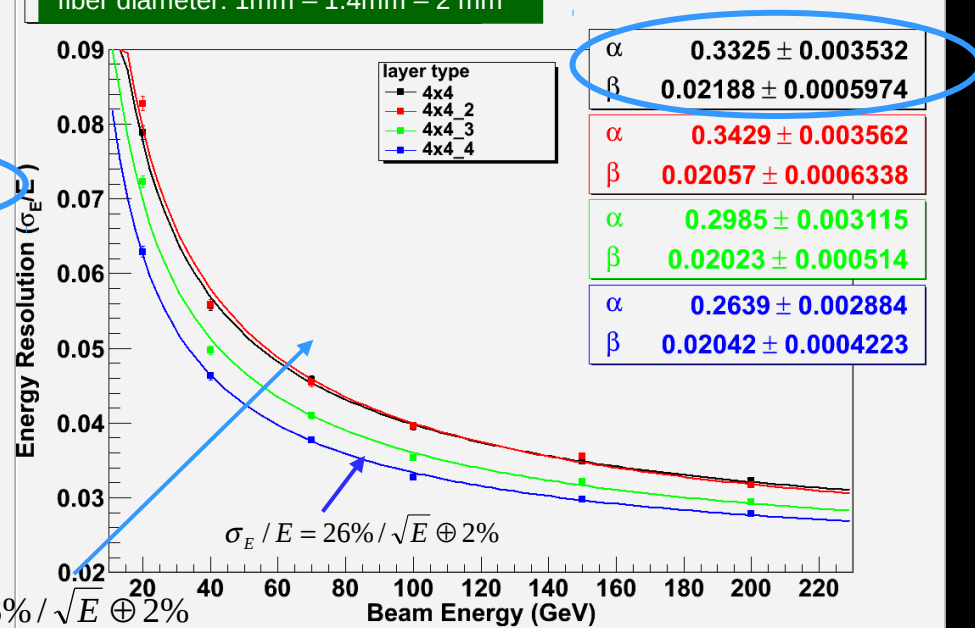
1-side  
readout



Fiber pitches: 2mmx2mm through 6mmx6mm



fiber diameter: 1mm – 1.4mm – 2 mm

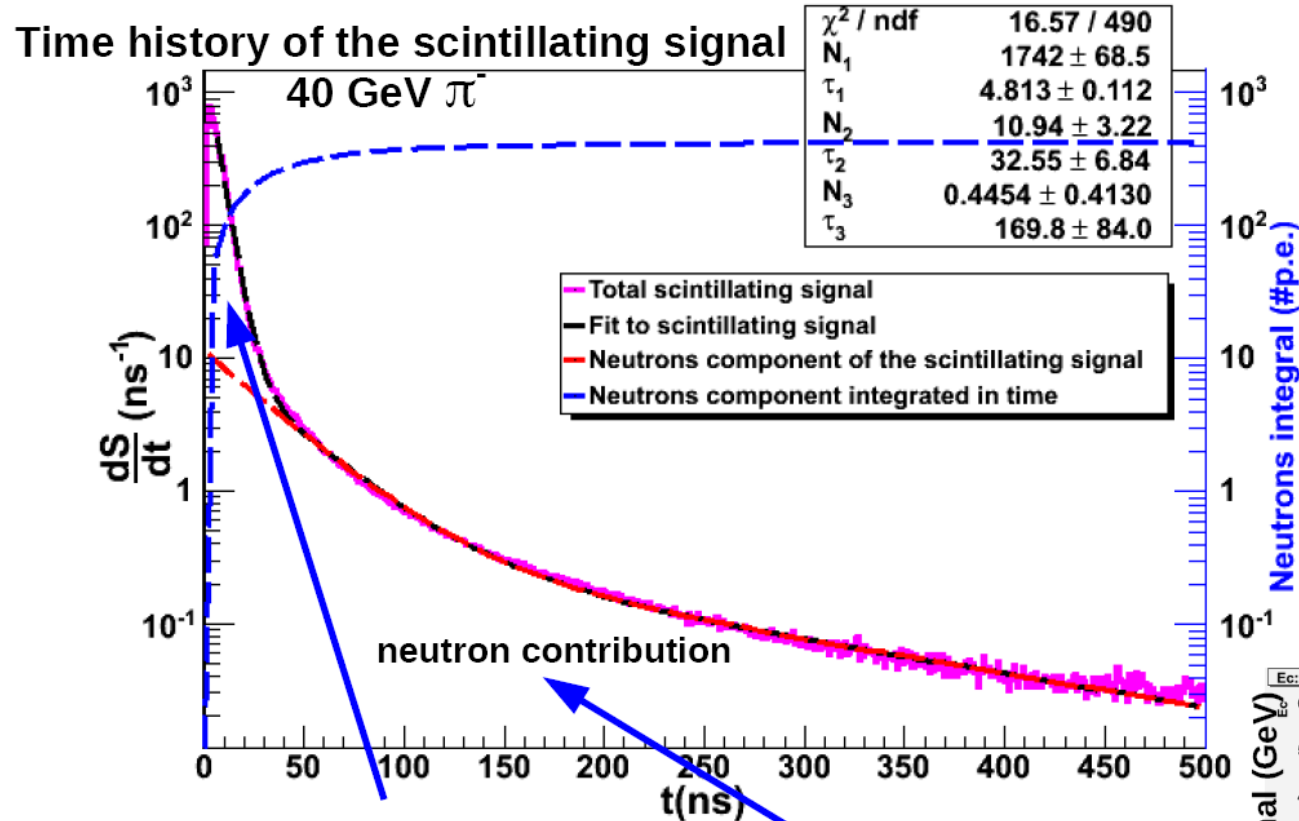


All numbers include the effect of photodetector QE



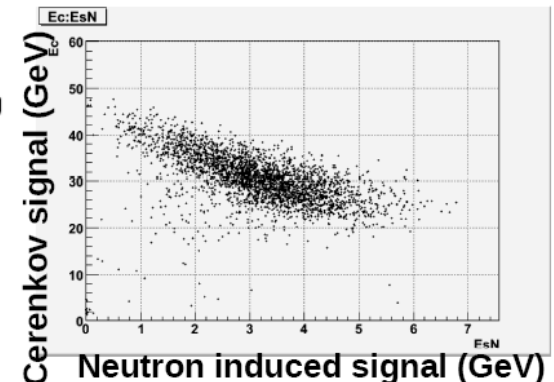
# From Dual to Triple Readout

## Disentangling neutron component with waveform analysis



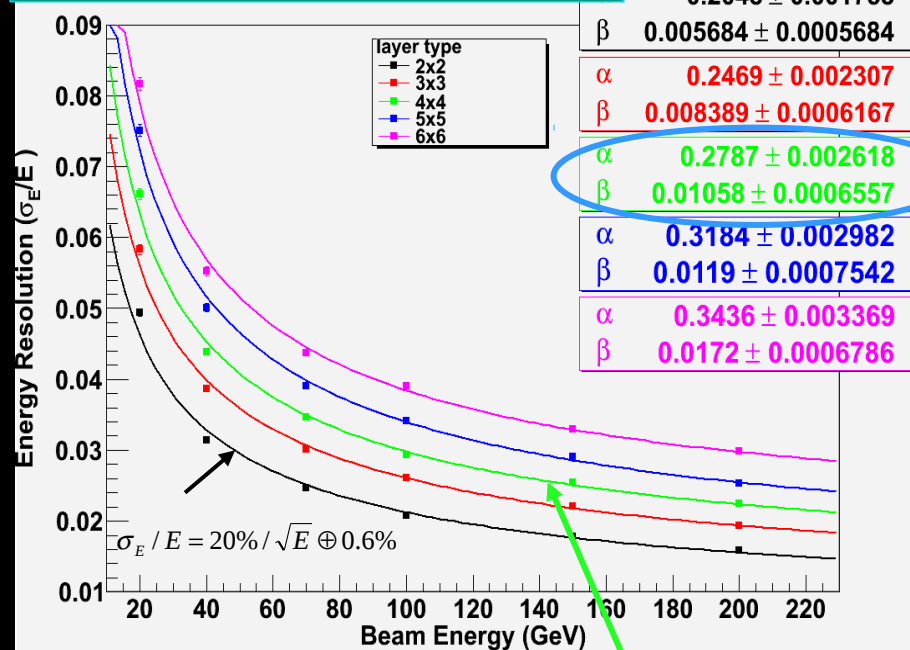
After 50 ns  
only neutrons  
contribute to the  
scintillating signal

$$E_{\text{shower}} = \frac{S_{\text{fast}} - \chi C}{1 - \chi} + \xi S_{\text{slow}}$$



# ADRIANO in Triple Readout configuration

Fiber pitches: 2mmx2mm through 6mmx6mm



$$\sigma_E/E = 28\%/\sqrt{E} \oplus 1$$

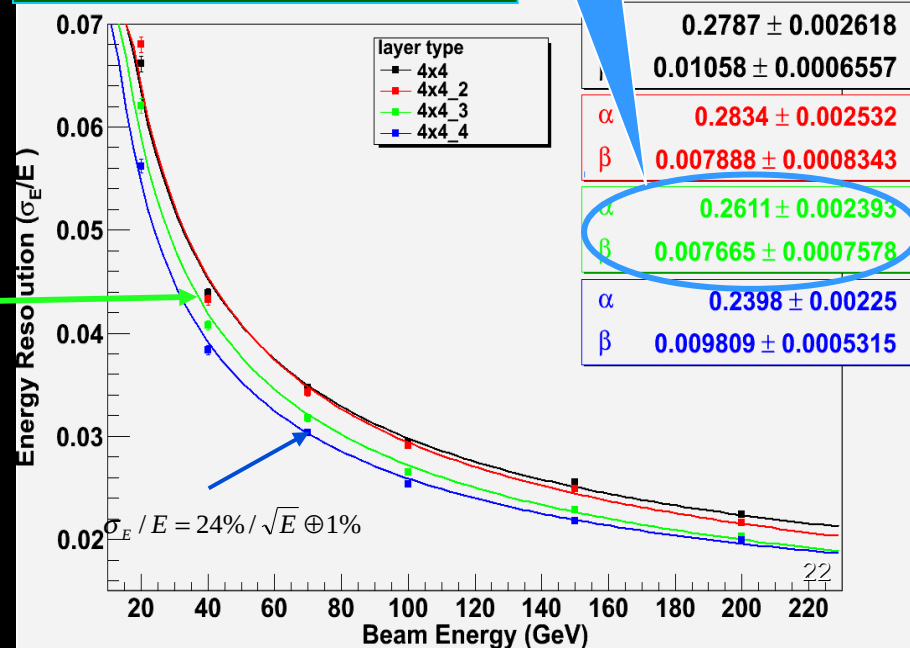
Compare to ADRIANO in Double-Readout configuration

$$\sigma_E/E = 33\%/\sqrt{E} \oplus 2$$

Baseline configuration

Pion beams

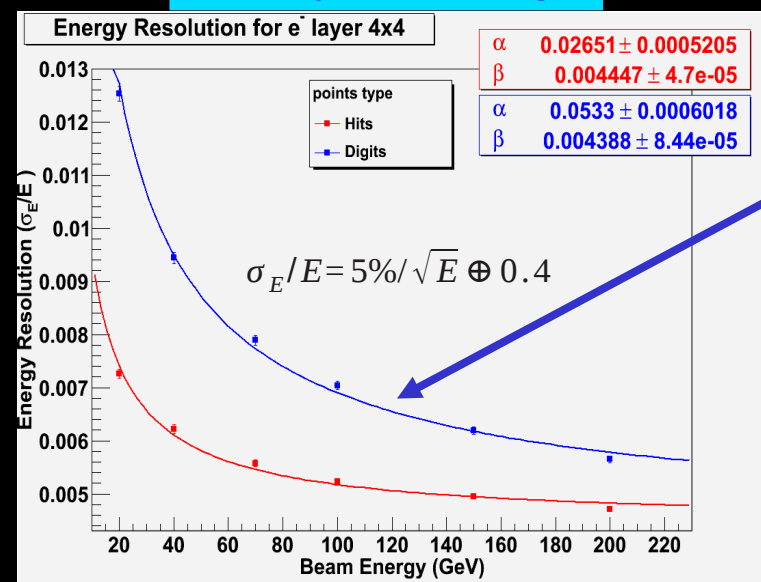
fiber diameter: 1mm – 1.4mm – 2 mm



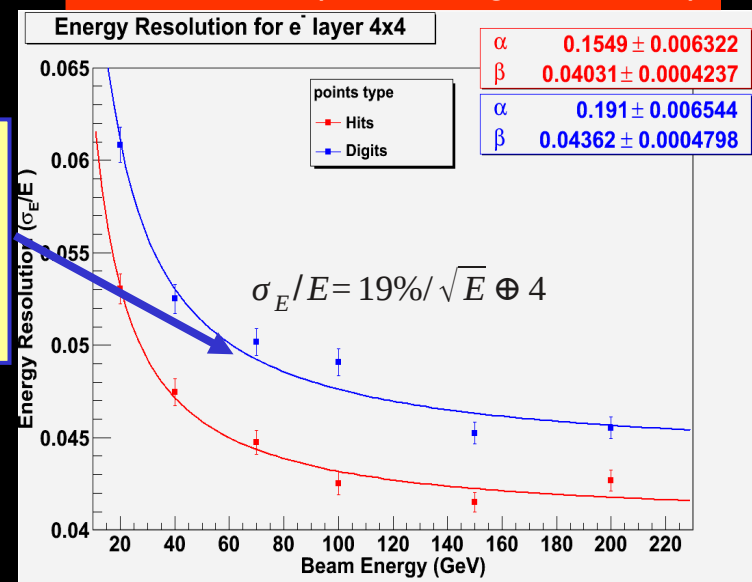
# ADRIANO EM Resolution (with and without instrumental effects)

- Compare standard Dual-readout method vs Cerenkov signal only (after electron-ID).
- Blue curve includes instrumental effects. Red curve is for perfect readout.

Use only Cerenkov light



Dual-readout (scintillating+Cerenkov)



Using Cerenkov signal only for EM showers gives  $5\%/\sqrt{E}$  energy resolution while full fledged dual-readout gives only  $19\%/\sqrt{E}$  (including FEE effects)

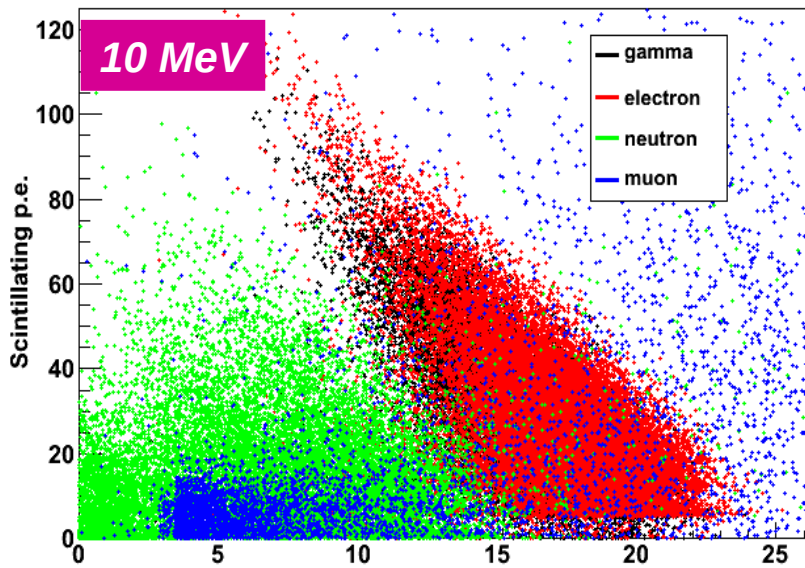


**ADRIANO does not need a front EM section**

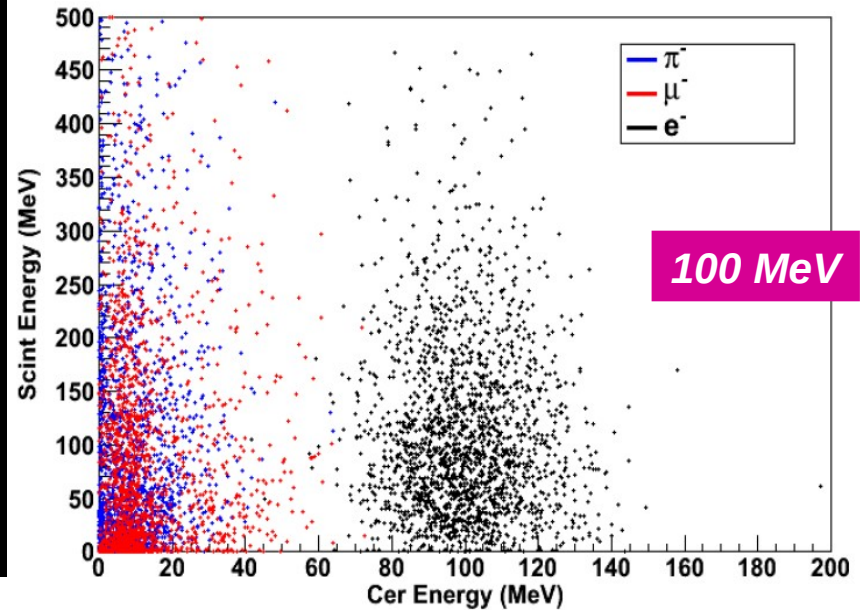
*If Cerenkov lighth yield is large enough*

# Particle ID with ADRIANO

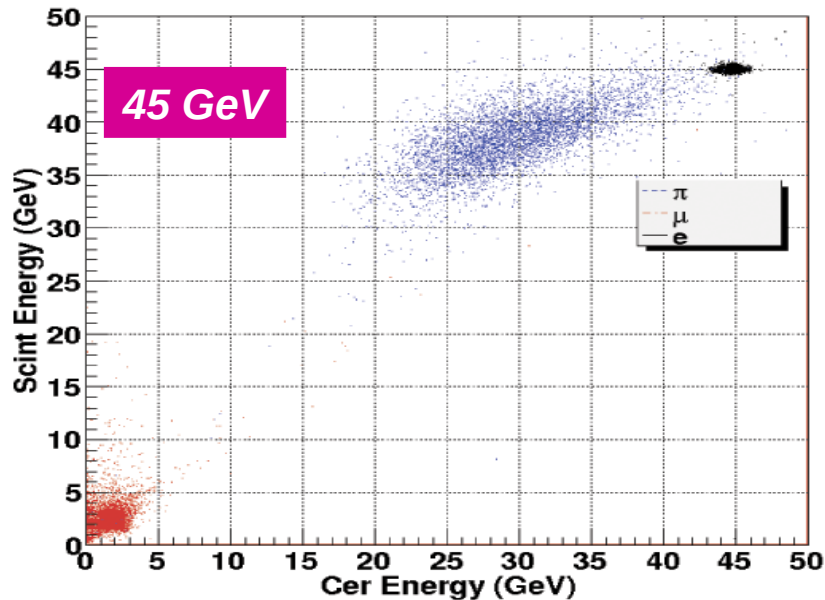
S vs C p.e. @ 10 MeV



Cer Energy vs Scint Energy



Cer Energy vs Scint Energy



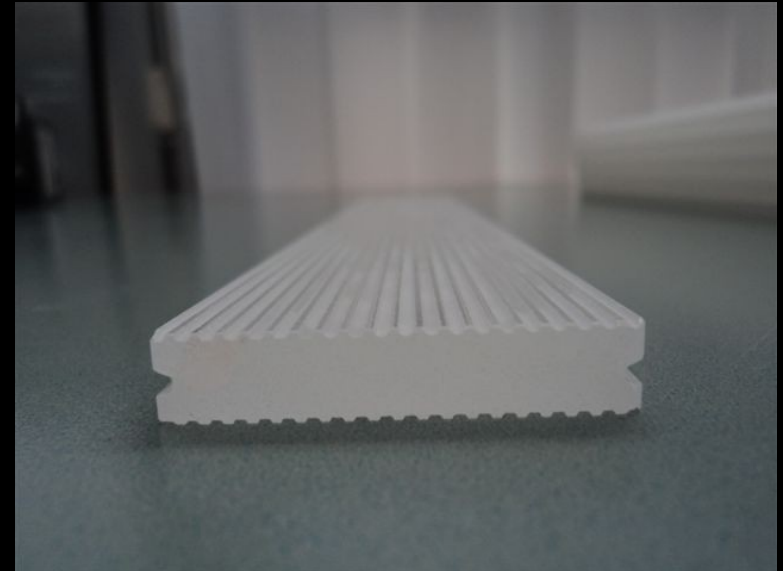
# Fabrication Technology #1: Diamond tools machining

## • Pro

- *Minimal R&D required*
- *Room temp (min effect on  $n_D$ )*
- *It allows construction of longer cells*

## • Cons

- *Longer fabrication process*
- *Large waste*





# Fabrication Technology #2:

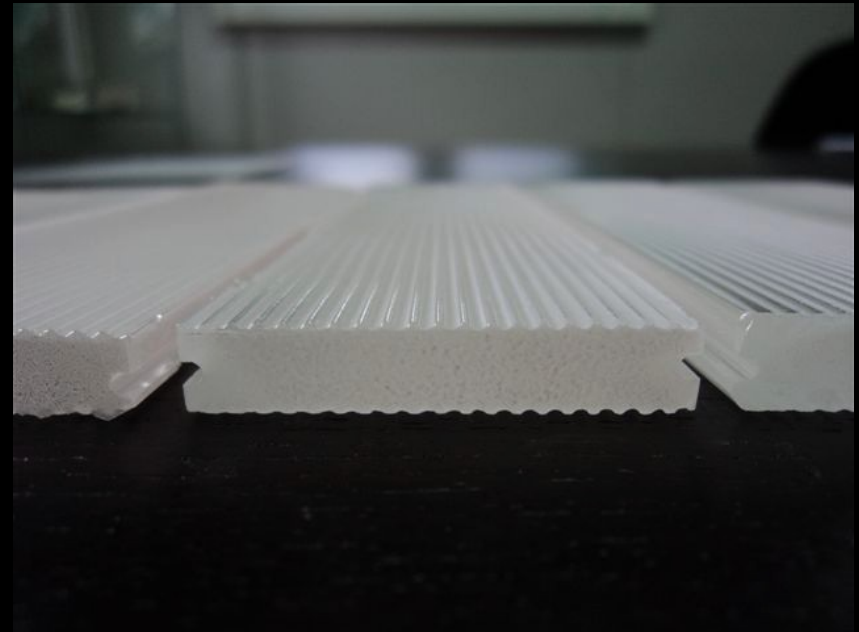
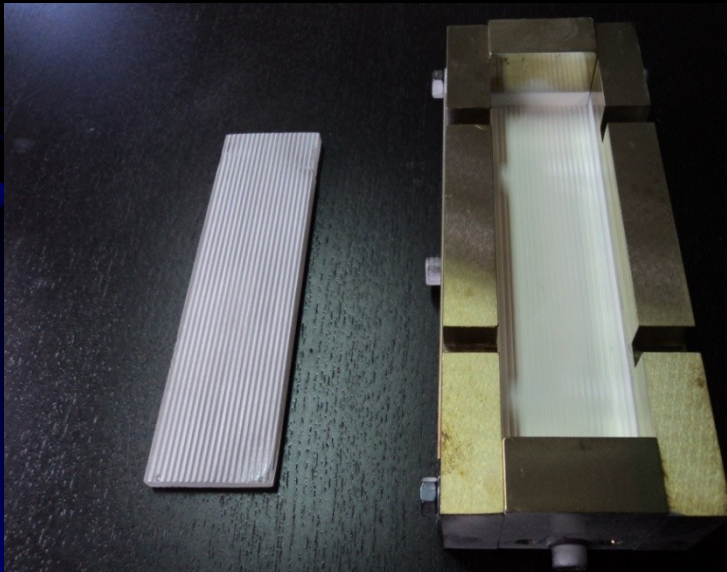
## Precision molding

### • Pro

- *Cheapest and fastest (15 min)*
- *Optical finishing with no extra steps*
- *Low temp cycle (min effect on  $n_D$ )*

### • Cons

- *Molds are expensive*
- *Lots of R&D*



# Fabrication Technology #3:

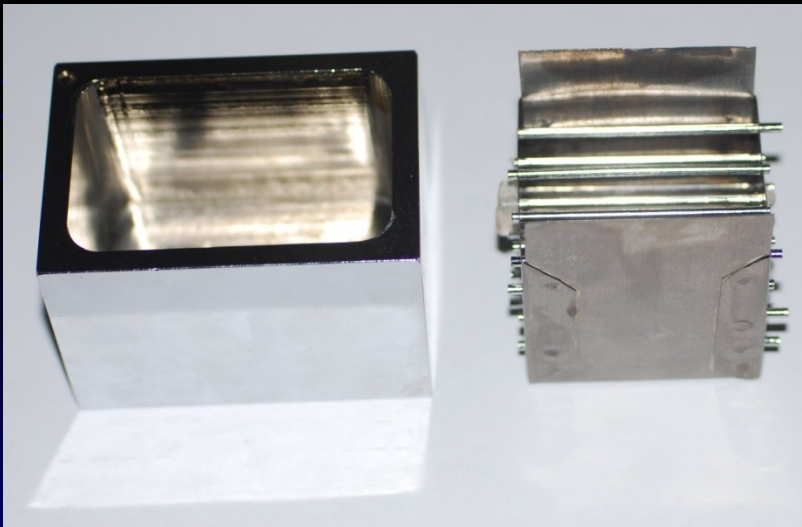
## Glass melting

### • Pro

- *Build entire cell in one step*
- *Very robust mechanical structure*

### • Cons

- *High temperature cycle*
- *Extra passive material*
- *Easy to get glass defects*



# ADRIANO In T1015 R&D Program

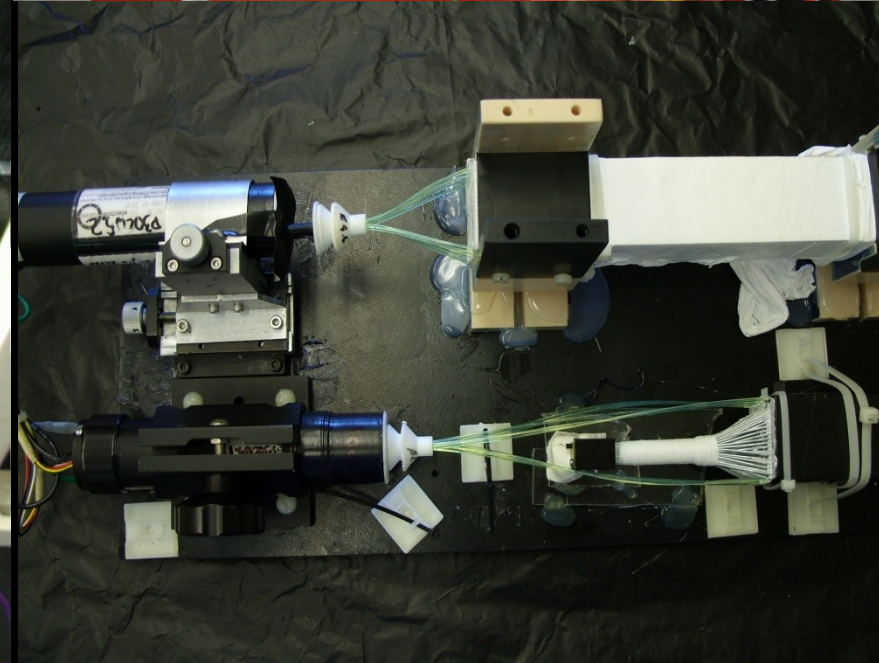
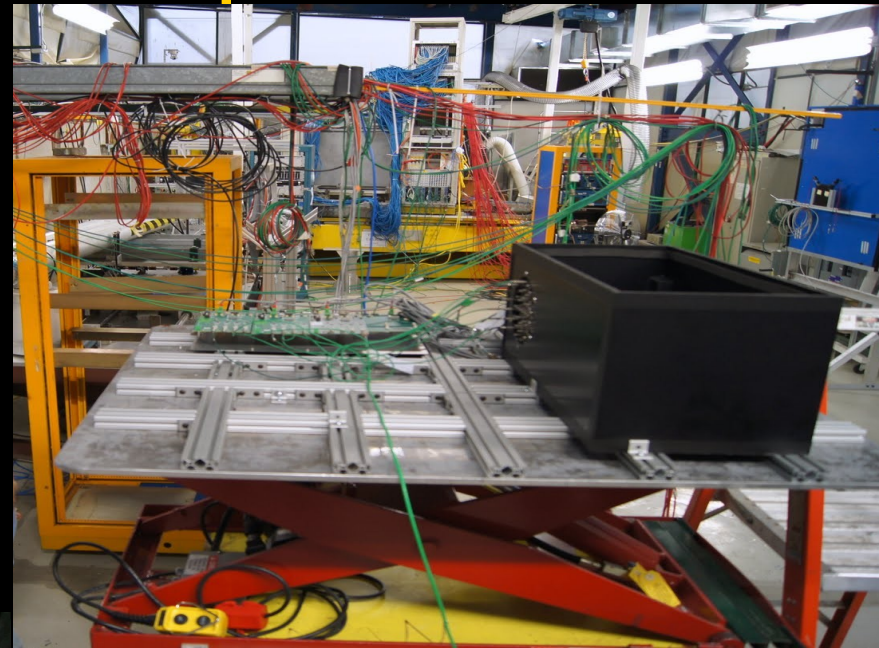
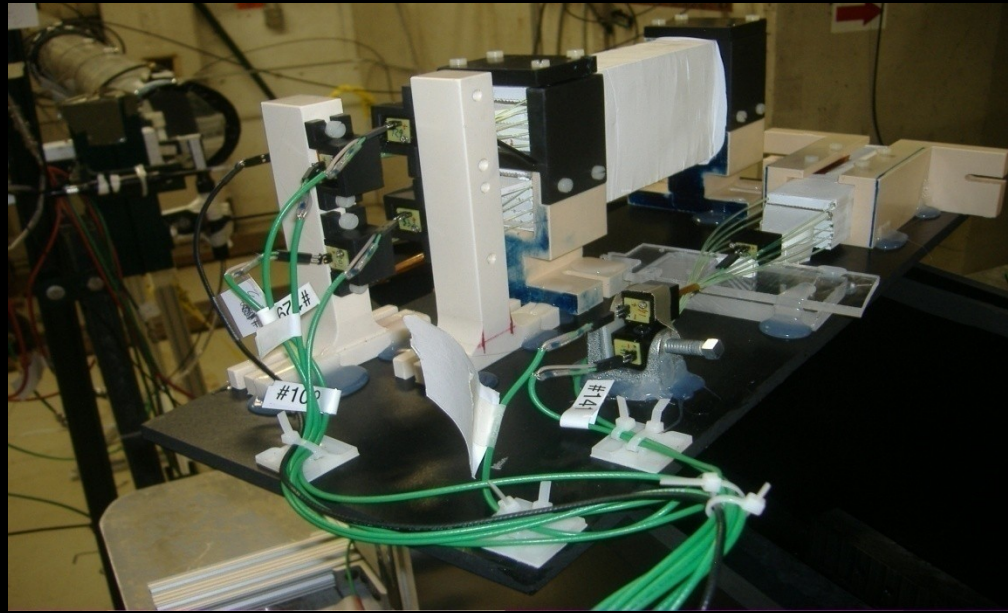
- Four tests beam at FTBF in 2011-2012: several cells in different configurations (40x40x250 mm<sup>3</sup>)
- 4 glass type: lead and bismuth based + scintillating Ce doped glass
- 3 glass coatings: TiO<sub>2</sub>, Silver paint, clear acrylic
- 3 WLS fibers: Y11 (1.2mm) & BCF92 (1.0, 1.2 mm)
- 1 Scintillating fiber: SCSF81
- 4 scifi coating: TiO<sub>2</sub>, BaSO<sub>4</sub>, Silver paint, Al sputter
- Several optical glues (mostly homemade)
- 5 photodetectors: 2 SiPM (2.8 round and 4.3x4.3 square) & 2 PMT (P30CW5 , R647, H3165)
- 4 light coupling systems: direct glass + direct WLS + 4 light concentrators

## **Goals :**

- *Maximize light yield (Cerenkov)*
- *Measure parameters for Monte Carlo simulations*



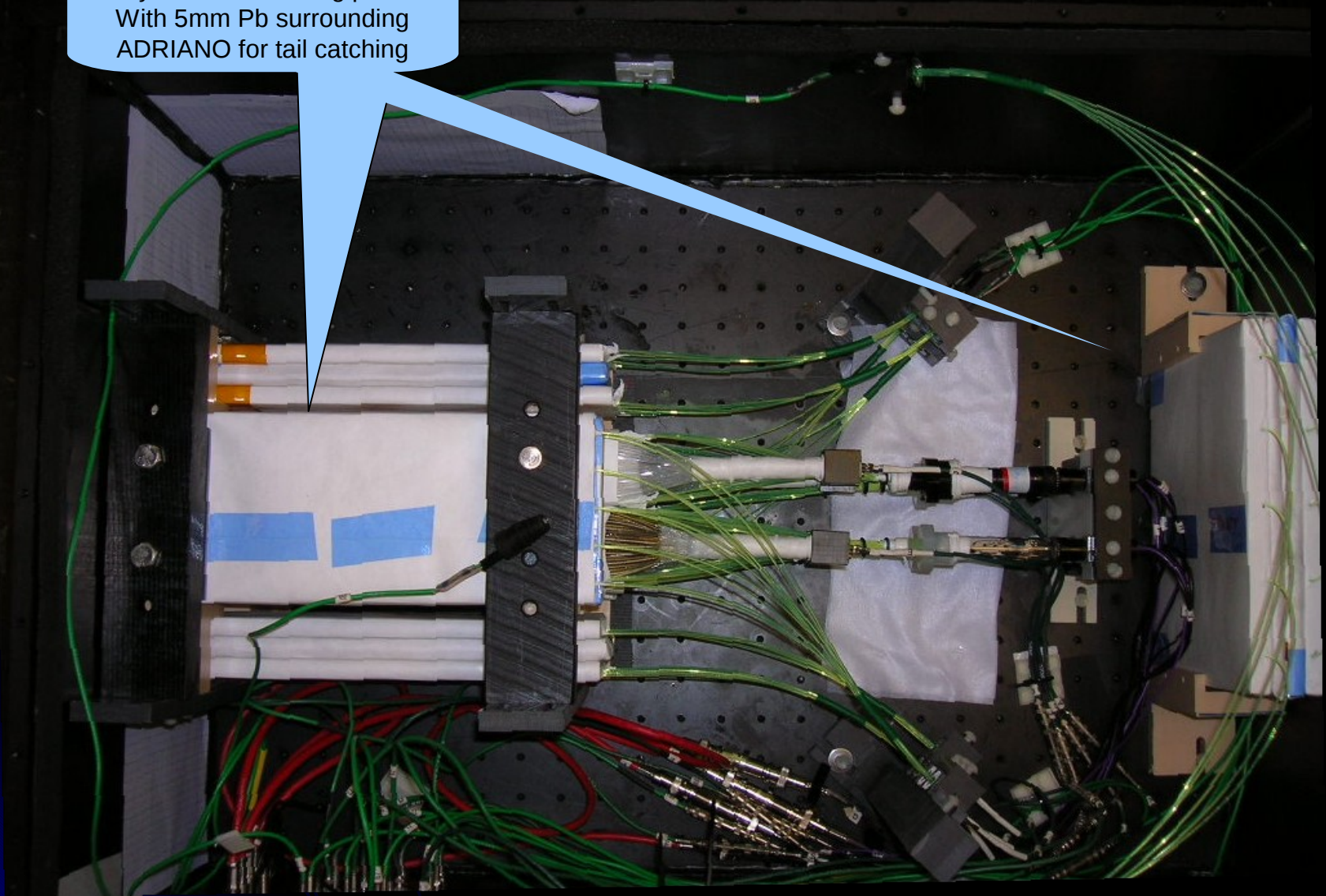
# 2011 Test Beam Setup at FTBF





# 2012 Test Beam Setup at FTBF

2 layers of scintillating planes  
With 5mm Pb surrounding  
ADRIANO for tail catching



Preliminary

# 11 Prototypes Performance Summary

Prototype	Glass	gr/cm <sup>3</sup>	L. Y.	Notes
5 slices, machine grooved, unpolished, white	Schott SF57HHT	5.6	82	SiPM readout
5 slices, machine grooved, unpolished, white, v2	Schott SF57HHT	5.6	84	SiPM readout
5 slices, precision molded, unpolished, coated	Schott SF57HHT	5.6	55	15 cm long
2 slices, ungrooved, unpolished, white wrap	Ohara BBH1	6.6	65	
5 slices, scifi silver coated, grooved, clear, unpolished	Schott SF57HHT	5.6	64	15 cm long
5 slices, scifi white coated, grooved, clear, unpolished	Schott SF57HHT	5.6	120	
10 slices, white, ungrooved, polished	Ohara PBH56	5.4	30	DAQ problems
10 slices, white, ungrooved, polished	Schott SF57HHT	5.6	76	
5 slices, wifi Al sputter, grooved, clear, polished	Schott SF57HHT	5.6	30	2 wls/groove
5 slices, white wrap, ungrooved, polished	Schott SF57HHT	5.6	158	Small wls groove
2 slices, plain, white wrap	Ohara experimental	7.5		DAQ problem

- Analysis still ongoing
- Calibration problematic for DAQ issues and degrading of PMTs from He leaks
- Need further confirmation of the results

# ADRIANO For ORKA

- Proposed a revisited version of ADRIANO calorimeter for ORKA photon veto Barrel.
- It uses lead glass and scintillator tiles instead of fibers used for the high energy version.
- Two options under study:
  - A) **ADRIANO in dual-readout mode**
    - Optimized for low energy photons
    - PID and lowest accidentals (require coincidence between Scintillation and Cerenkov)
    - Higher costs
  - B) **ADRIANO in single readout mode**
    - No PID and worse energy resolution
    - Lower costs
- Intense simulation activity already started using IlcRoot framework.

Preliminary studies  
presented in this talk

Extensive R&D  
required

# ORKA at Fermilab

- Aim: 1000 Event Measurement of  $K^+ \rightarrow \nu \nu$  at FNAL Main Injector.
  - $5\sigma$  reach for  $B > 1.3$  BSM.
  - 10x higher sensitivity than CERN NA62.
- Proven technique based on the successful BNL E787/E949 experiments.
- Re-use of Fermilab infrastructure: CDF magnet/hall.
- R&D underway.
- ORKA was granted scientific approval from Fermilab in December 2011.
- Total Project cost estimate: <\$80M (FY2013).





# The ORKA Collaboration



- Seventeen institutes from six nations: Canada, China, Italy, Mexico, Russia, USA
- Seven US universities now.
- Two US National Laboratories

# ORKA Siting Options

Most promising  
option

**B0:**

- Rad hard transport,  
requires A0 to B0 line.
- Resident magnet & cryo
- Infrastructure

**Sea-Quest/NM4:**

- Existing beam transport,  
Adequate Shielding?
- Infrastructure at NM4  
but no cryo.

**Meson Detector Building/NM4:**

- Use one beam line,  
Adequate Shielding?
- Infrastructure at NM4  
additional cryo.





# ORKA Motivation

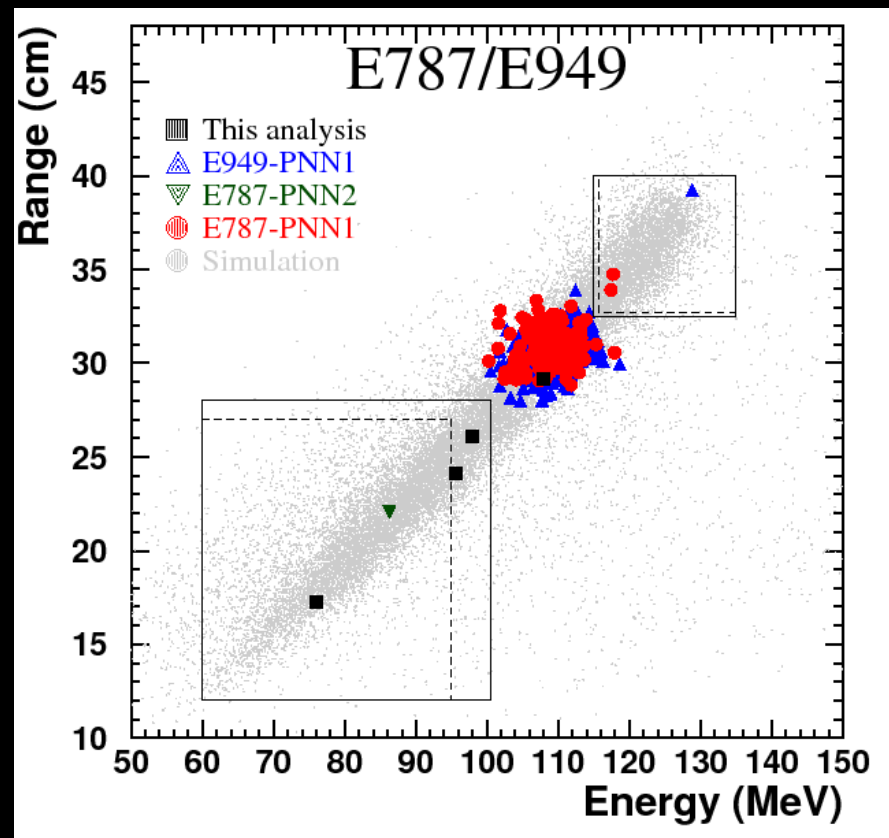
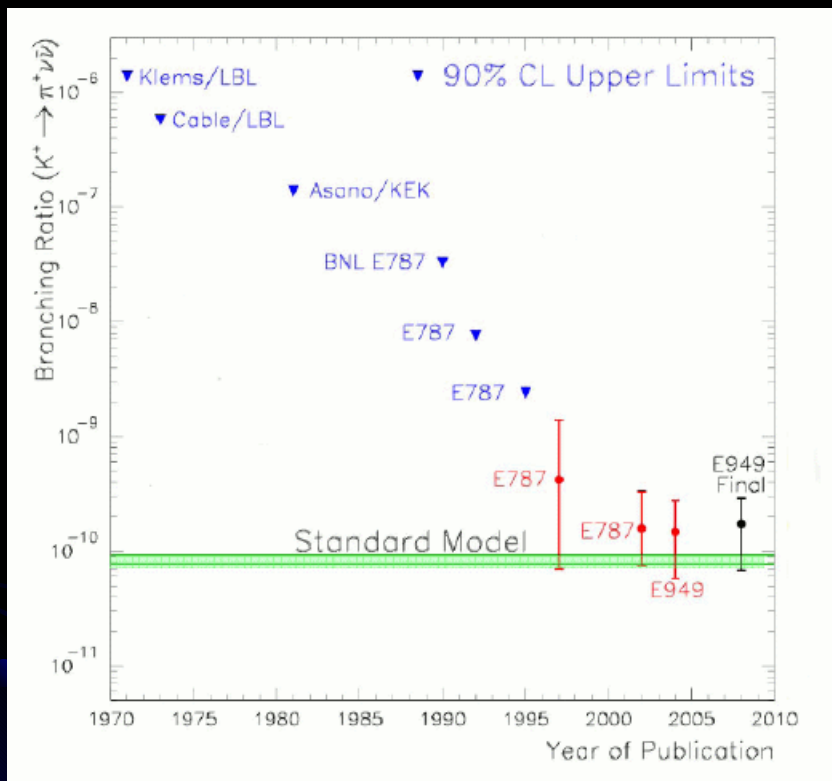
1. The branching ratio is sensitive to most New Physics (NP) models. This sensitivity is unique in quark flavor physics and allows probing of essentially all models of NP that couple to quarks within the reach of the LHC. Furthermore, a high precision measurement  $K^+ \rightarrow \pi^+ \nu \nu$  is sensitive to many models of with mass scales well beyond the direct reach of the LHC.
2. The Standard Model (SM) predictions for the  $K^+ \rightarrow \pi^+ \nu \nu$  and  $K^0 \rightarrow \pi^0 \nu \nu$  branching fractions are broadly recognized to be theoretically robust at the 5–10% Level.
3. Because the  $K^+ \rightarrow \pi^+ \nu \nu$  branching ratio is highly suppressed in the SM (to the level of  $< 1$  part in 10 billion) NP can compete and be observed with enhancement factors of up to five times the SM value. In addition, the certainty with which the SM Contribution.
4. Moreover the certainty with which the Standard Model contribution to  $K^+ \rightarrow \pi^+ \nu \nu$  can be predicted will permit a  $5\sigma$  discovery potential for new physics with just a 35% deviation from the SM.

Access to NP at and beyond LHC mass scale.

Special status: small SM uncertainty and large NP reach.



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ History



E787/E949 Final: 7 events observed

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$

Standard Model:

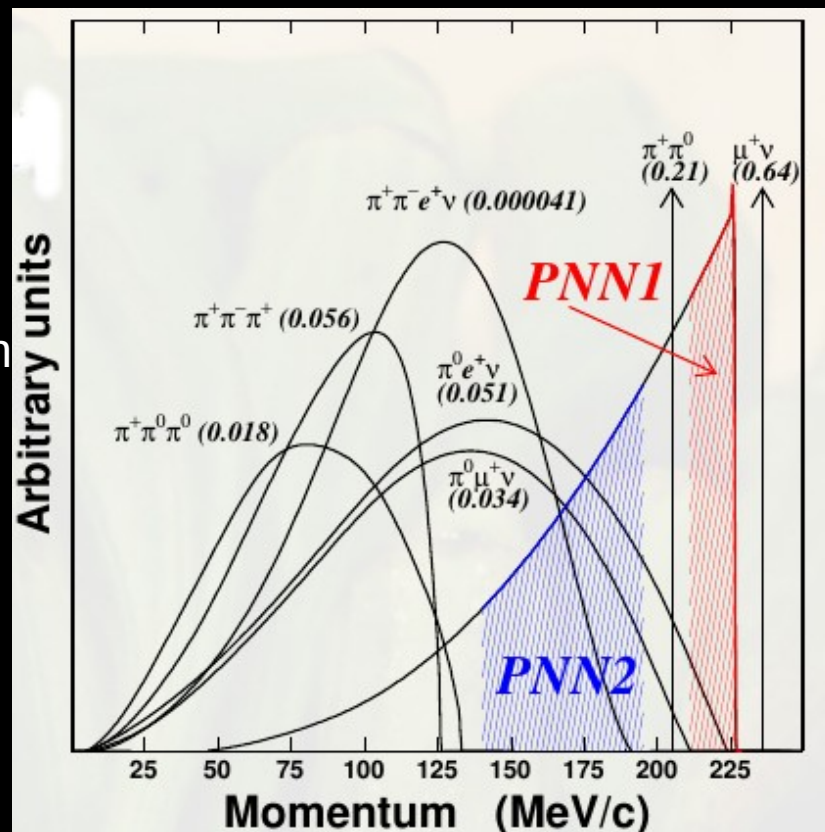
$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (0.78 \pm 0.08) \times 10^{-10}$$

# Experimental Challenges

Experimentally weak signature with background exceeds signal by  $10^{10}$

To successfully detect  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  and separating it from background, the detector must have:

- Powerful  $\pi^+$  particle identification ( $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ ) so that  $K^+ \rightarrow \mu^+ \nu_\mu$  ( $K\mu 2$ ) and  $K^+ \rightarrow \mu^+ \nu_\mu \gamma$  ( $K\mu 2 \gamma$ ) decays can be rejected.
- Highly efficient  $4\pi$  solid-angle photon detection coverage for vetoing  $K^+ \rightarrow \pi^+ \pi^0$  ( $K\pi 2$ ) events and other decays.
- Efficient  $K^+$  identification system for eliminating beam-related backgrounds.



Barrel veto

BVL

Range stack

RSSC

End cap

Collar

$K^+$  beam

Beam Cherenkov detector

Upstream photon veto counter

BeO

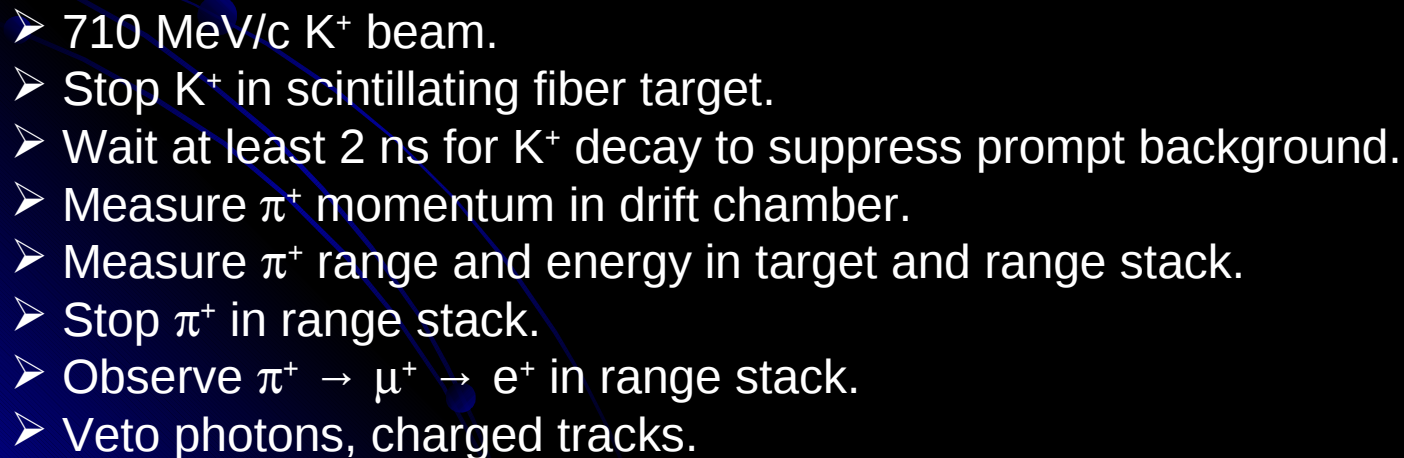
B4

Target

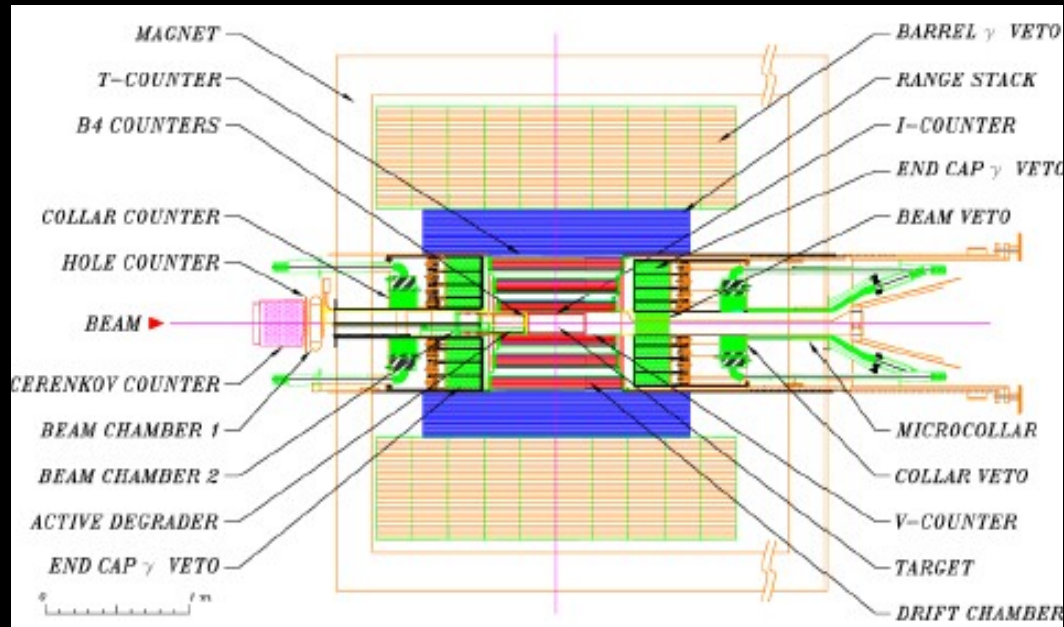
Drift chamber

Target collar

Downstream photon veto counter



# ORKA sensitivity Improvements



**Expect  $\times 100$  sensitivity with respect to BNExperiment  
 $\times 10$  from the beam and  $\times 10$  from the detector.**

- 600 MeV/c  $K^+$  beam.
- More finely segmented Range Stack.
- Longer barrel elements (Drift Chamber, Range Stack and Barrel Veto) .
- Increase thickness of Photon Barrel Veto (17.3 r.l.  $\rightarrow$  23 r.l.).
- .....

# Requirements for ORKA

- $\pi^0$  rejection  $> 10^6$ - $10^7$   $\Rightarrow$   $\gamma$  inefficiency  $< 10^{-3}$ - $10^{-4}$  above 20 MeV and for impinging angles down to  $20^\circ$ .  
Desirable sensitivity down to few MeV (see next slides).
- Depth  $> 20 X_0$ .
- Accidentals rate: 0.011/MHz (in order to keep the same rate of accidentals as in E949).
- Desirable:  $\gamma/n$  identification.
- Max decay time for scintillator: 8 nsec (to keep the accidentals down).
- Energy resolution: 10-15% @ 200 MeV (from E949), but needs further studies.

# ORKA Critical Experimental Issue

- Proposed Photon Veto based on Shashlik calorimeter  
155 interleaved layers of 0.8 mm lead and 1.6 mm scintillator.  
23  $X_0$  depth.
  - About 2/3 of energy lost in Pb absorber
  - Need to set threshold at 1pe
  - No energy measurement
- Estimated accidental losses based on E949:

$$\mathcal{S} = e^{\lambda(R_{\text{ORKA}} - R_{\text{E949}})}$$

- Using:  $\lambda = -0.345/\text{MHz}$   $R_{\text{ORKA}} = 26.2 \text{ MHz}$   $R_{\text{E949}} = 8.4 \text{ MHz}$

$$\mathcal{S} = 0.54 \text{ with respect to E949}$$



Forget about expected sensitivity

Needed dedicated simulations to fully understand and optimized the detector



# ORKA Critical Experimental Issue

- Proposed Photon Veto based on Shashlik calorimeter  
155 interleaved layers of 0.8 mm lead and 1.6 mm scintillator.

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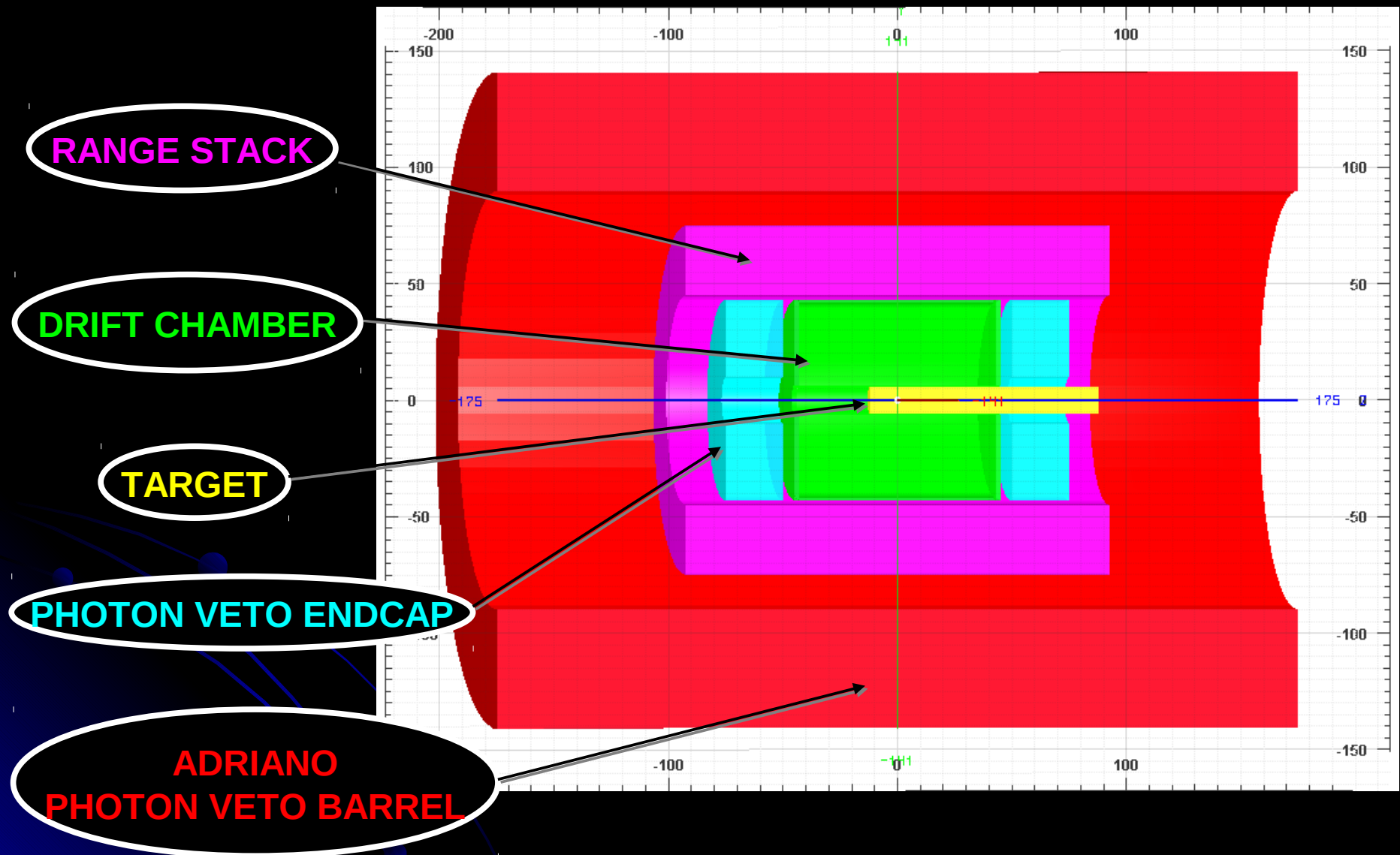
Needed dedicated simulations to fully understand and optimized the detector



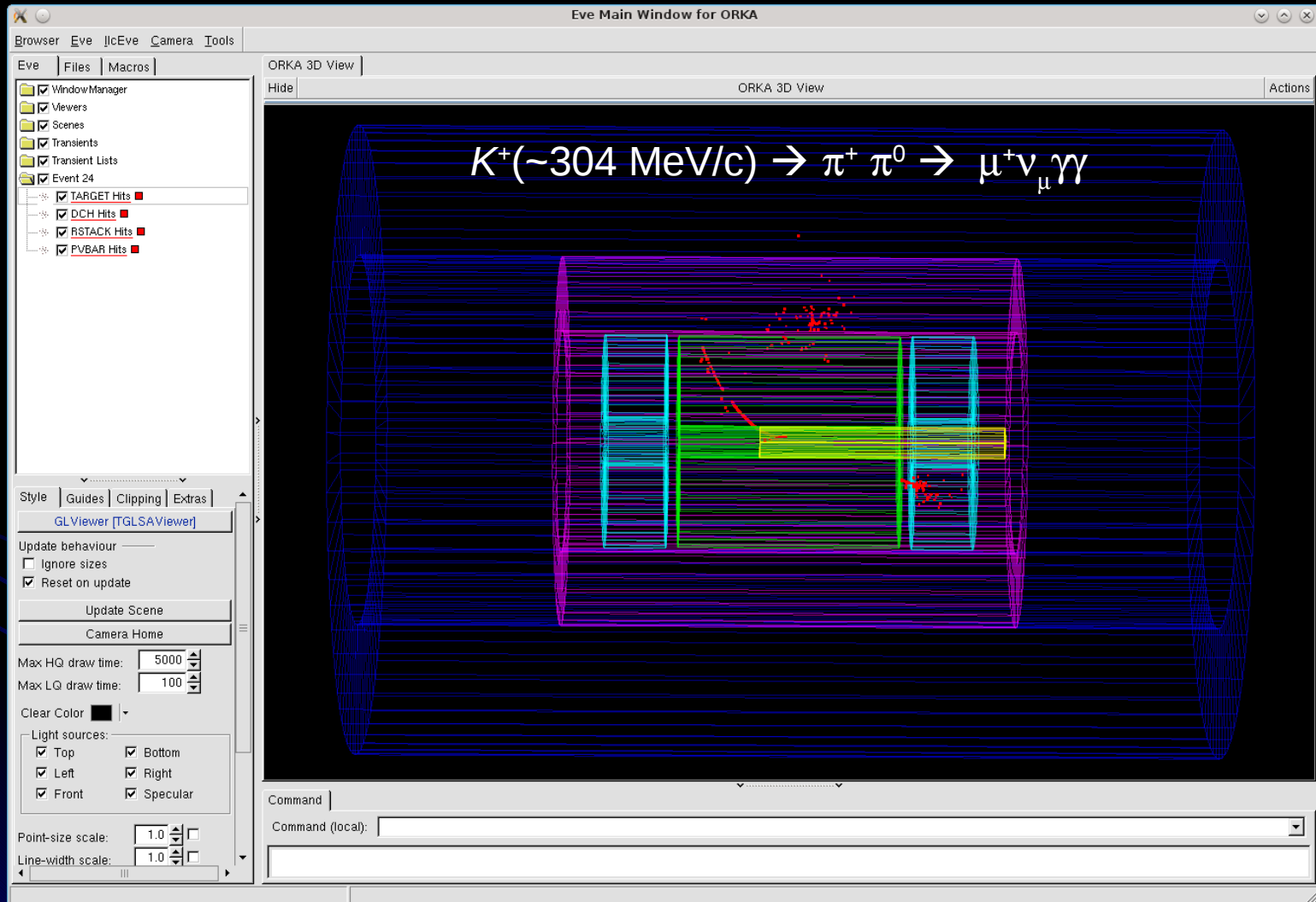
# ILCroot: root Infrastructure for Large Collider

- **CERN** architecture (based on **Alice's Aliroot**).
- Six MDC have proven robustness, reliability and portability.
- Uses **ROOT** as infrastructure.
  - All ROOT tools are available (I/O, graphics, PROOF, data structure, etc.).
  - Extremely large community of users/developers.
  - Growing number of experiments/projects have adopted IlcRoot: Opera, CMB, Panda, ILC 4th Concept, Muon Collider, ORKA
- Include **interfaces** to read external event generator outputs (Pythia, Whizard) and MARS (for the Muon Collider background).
- Virtual Geometry Modeler (VGM) for geometry .
- **Virtual Montecarlo** allows to use several MonteCarlo (Geant3, Geant4, Fluka) The user can select at run time the MonteCarlo to perform the simulations without changing any line of the code.
- **Single framework**, from generation to reconstruction through simulation. Don't forget analysis!!!
- **IlcRoot successfully adopted for the ILC and actually used for the MuC detector studies for Snowmass.**  
(**Lol** studies for the ILC (4Th Concept) completed based on IlcRoot).

# ORKA Detector in ILCroot

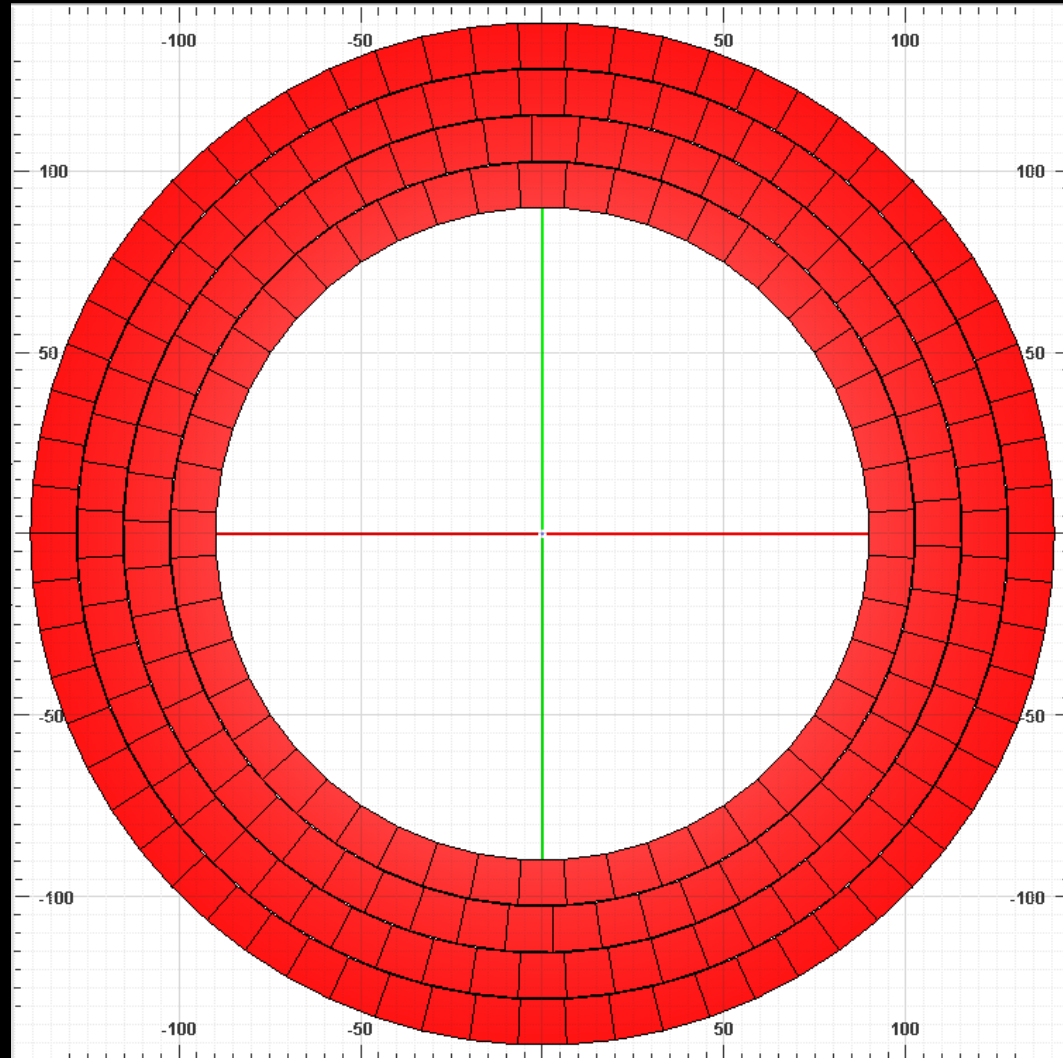


# IlcRoot Event Display

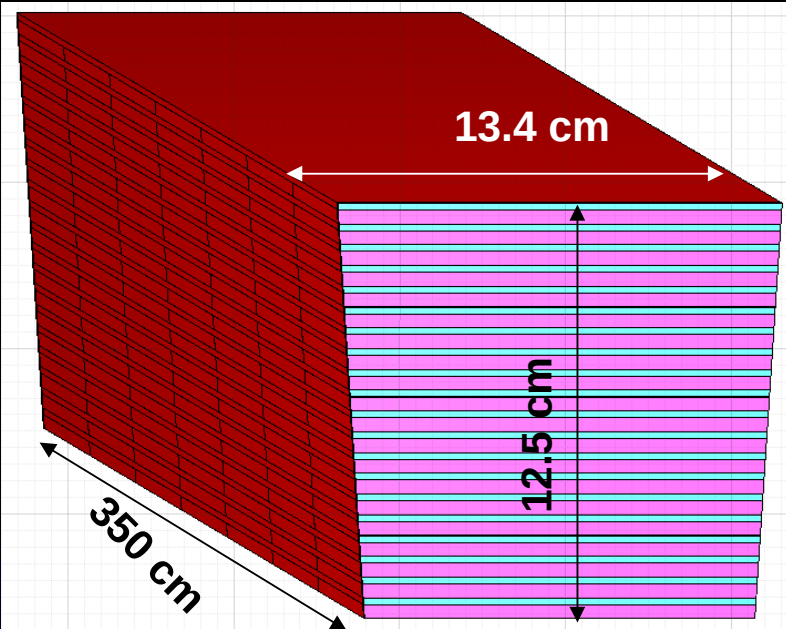


# ADRIANO Photon Veto Barrel Geometry

- PV Barrel divided into 4 layers 12.5 cm thick.
- $Z = 350$  cm.
- $R_{in} \simeq 89.7$  cm.
- $R_{out} \simeq 140.8$  cm.
- Each layer subdivided in cells with similar transverse section.
- Cells per layer {48, 54, 60, 66}
- Cells staggered to avoid aligned cracks.
- Open space between layers filled with Plexiglas



# ADRIANO Photon Veto Barrel Geometry



- Elementary cell has trapezoidal shape:
- Major base = 13.4 cm.
- Thickness = 12.5 cm.
- 20+20 alternated tiles optically de-coupled lead-glass (4.2 mm thick) scintillator (2.0 mm thick) + glue (25  $\mu\text{m}$  thick).
- lead-glass made in 7 glued segments (50 cm long) along z.
- Photons collected in lead-glass and scintillator by distinct WLS.
- Each cell divided into 3x2x2 channels/side ( $\phi$ , R, Cer/Sci). **Readout on both sides.**

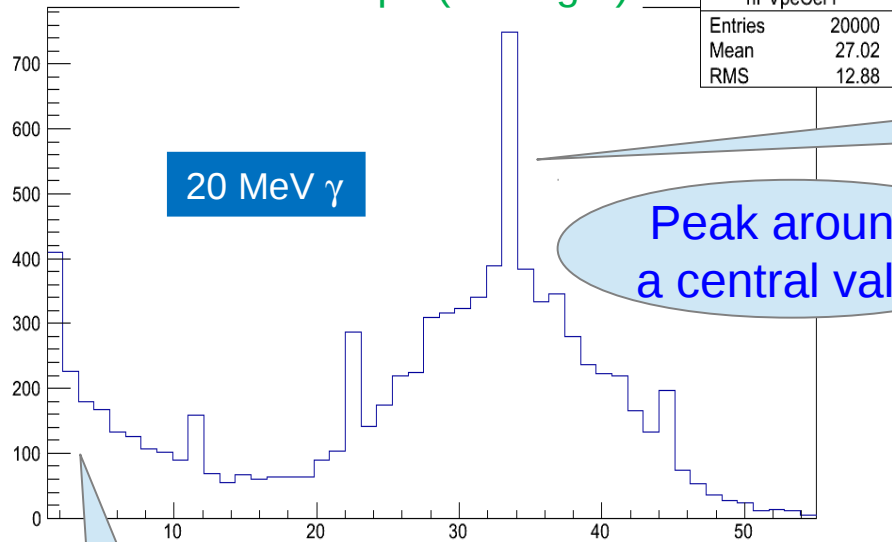
# Advantages of ADRIANO For ORKA

1. Energy from Cerenkov signal is narrower and picked also at low energy.
2. Integrally active detector has lower inefficiency than sampling calorimeters.
3. Left-right reading of Cerenkov signal provide z-component measurement (important for  $\pi^0$  reconstruction).
4. PID from S vs C helps in reducing accidentals from neutrons.

Preliminary

# Čerenkov signal is narrower

Total Cpe (left+right)



γ interacting in ADRIANO

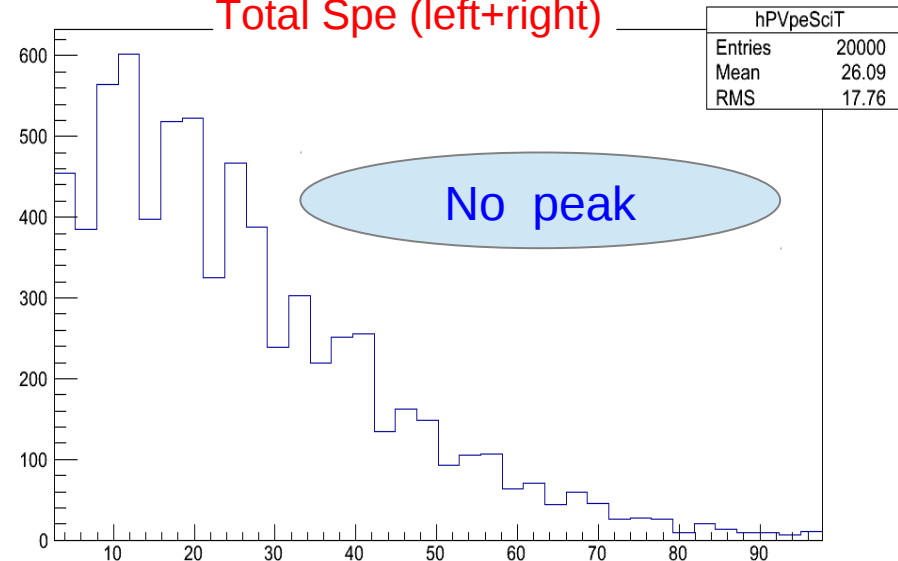
Peak around a central value

Same situation as Shashlik

A binomial component appears at low energies in the scintillating signal

γ interacting before ADRIANO

Total Spe (left+right)



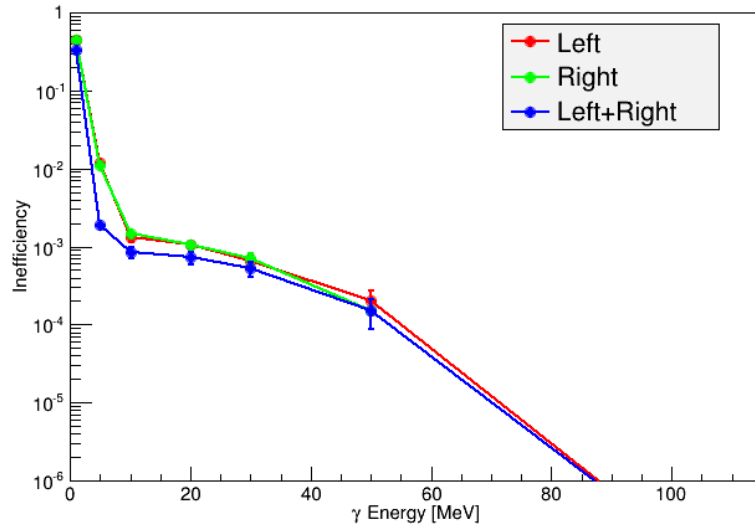
No peak



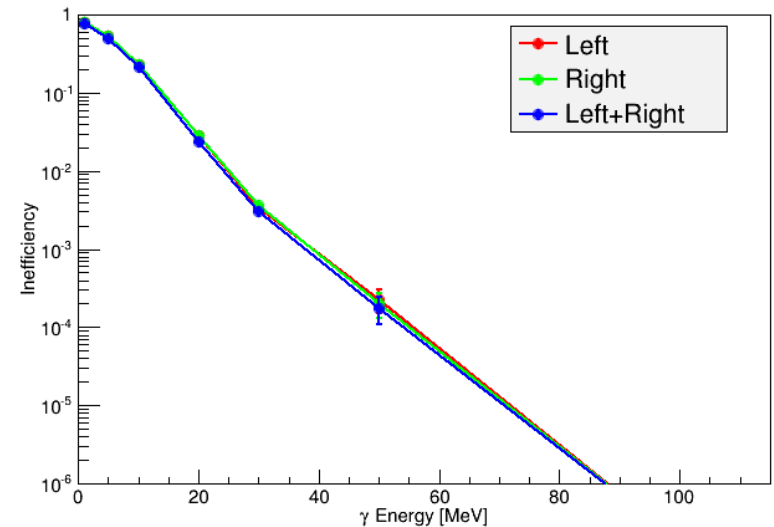
Preliminary

# Integrally active detector has lower inefficiency

ADRIANO inefficiency with  $\gamma$ 's [Cer signal]



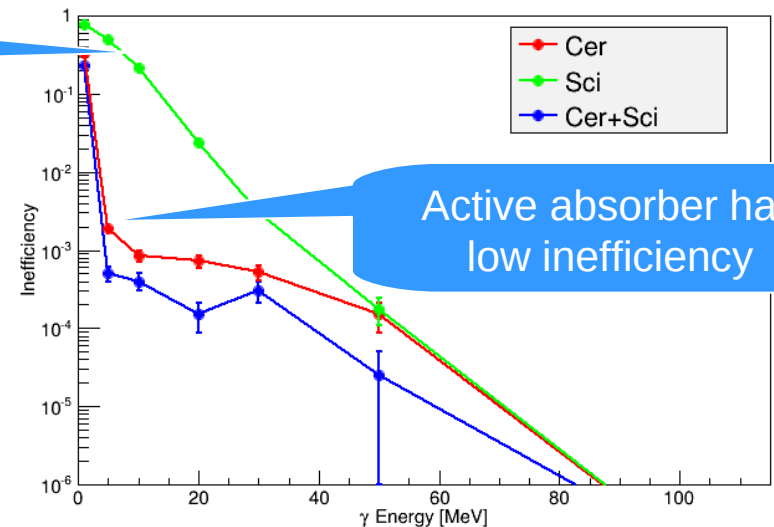
ADRIANO inefficiency with  $\gamma$ 's [Sci signal]



Scintillating signal suffers at low energy from sampling mechanism

Integrally active detector has lower inefficiency

ADRIANO inefficiency with  $\gamma$ 's [Cer and Sci signals]

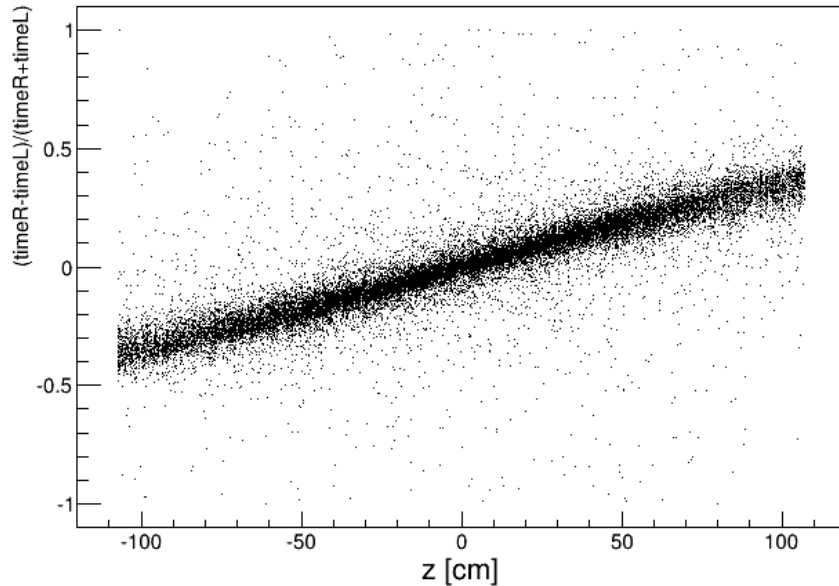


Active absorber has low inefficiency

Preliminary

# Left-right reading of Cerenkov signal provide z-measurement

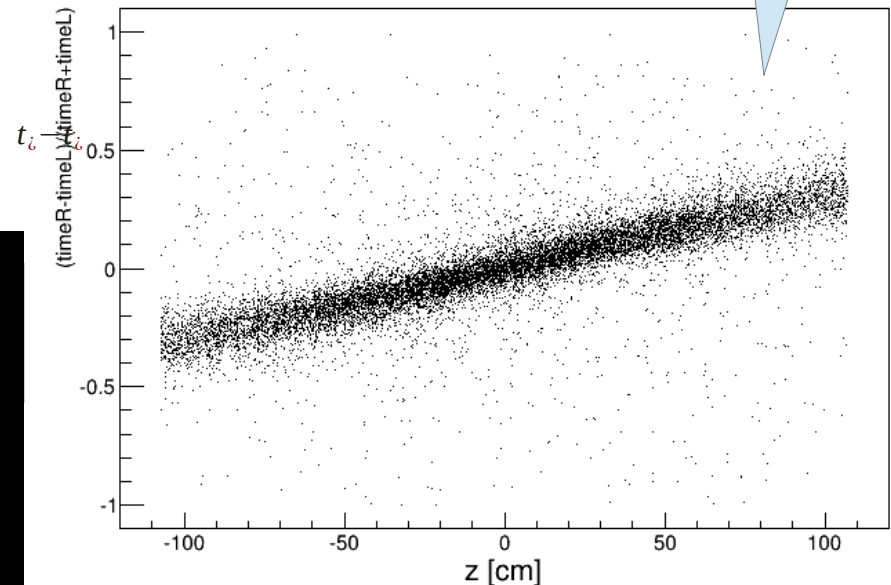
Signal time ratio vs z position (Cer signal)



Lead glass

Scintillator

Signal time ratio vs z position (Sci signal)



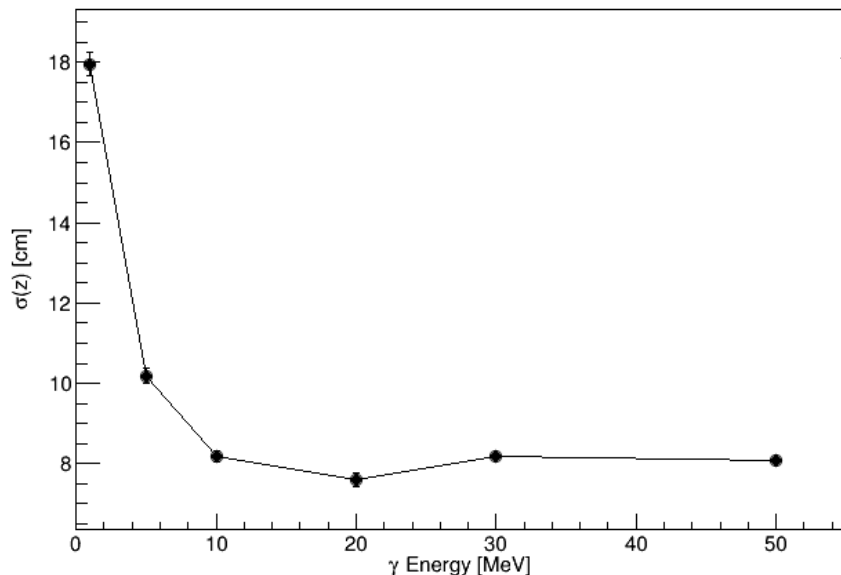
Time difference from the readout  
both sides for z measurement

$$z \propto \frac{t_R - t_L}{t_R + t_L}$$

Preliminary

# Left-right reading of Cerenkov signal provide z-measurement

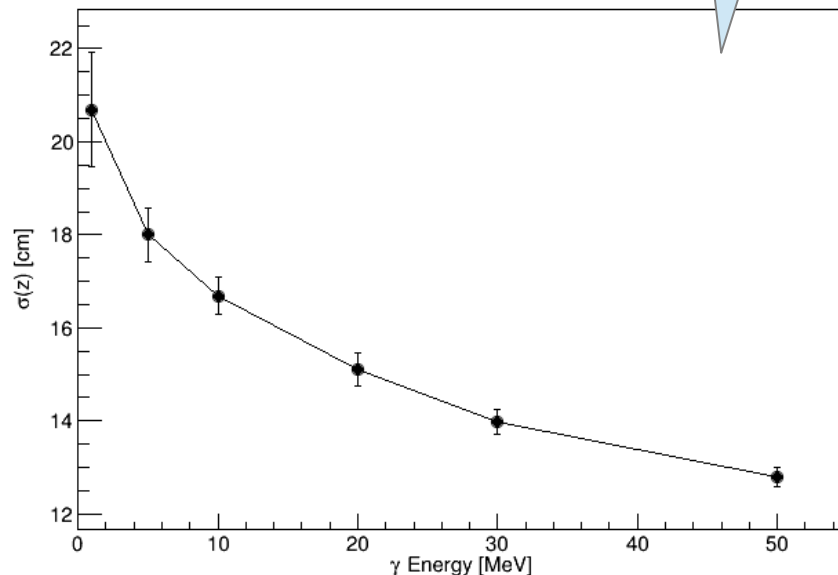
z resolution with  $\gamma$ 's [Cer signal]



Lead glass

Scintillator

z resolution with  $\gamma$ 's [Sci signal]



Cer time give better resolution.

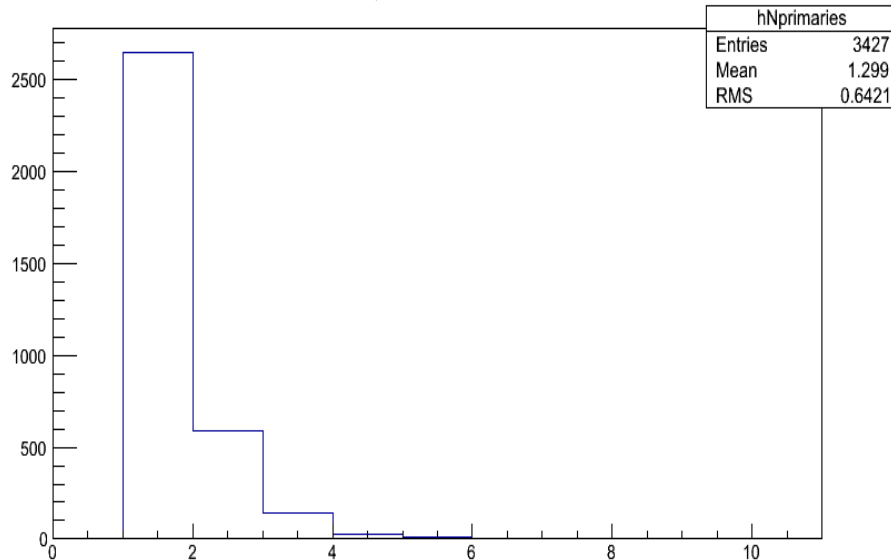
Čerenkov signal is prompt. Only decay time from WLS.

Preliminary

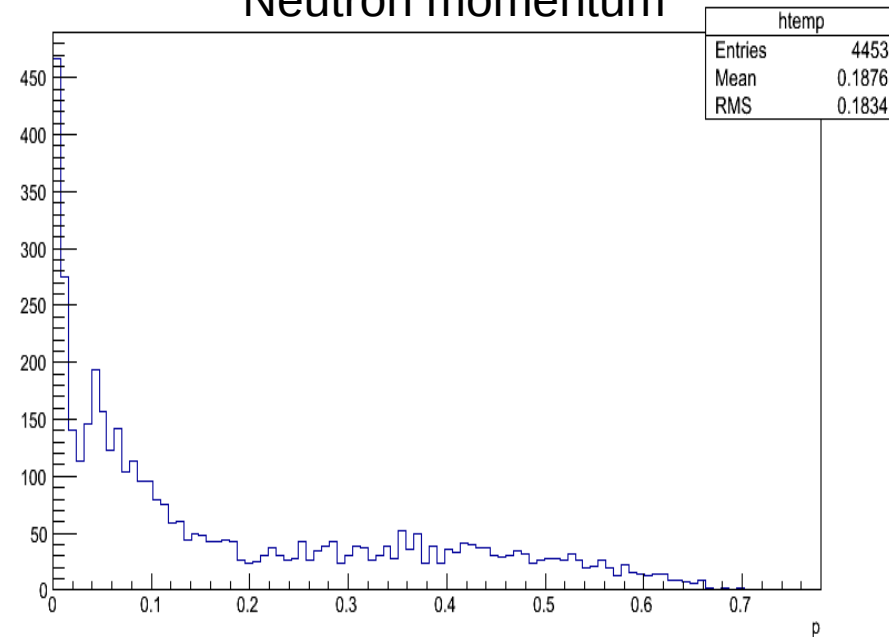
# PID from sci vs cer

(Preliminary study by J. Jensen  
for Kaon beam)

Number of primaries in each event



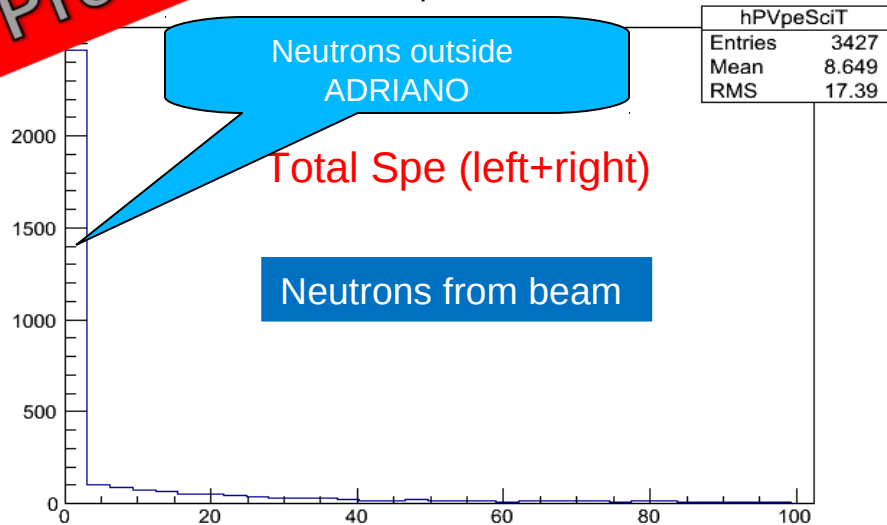
Neutron momentum



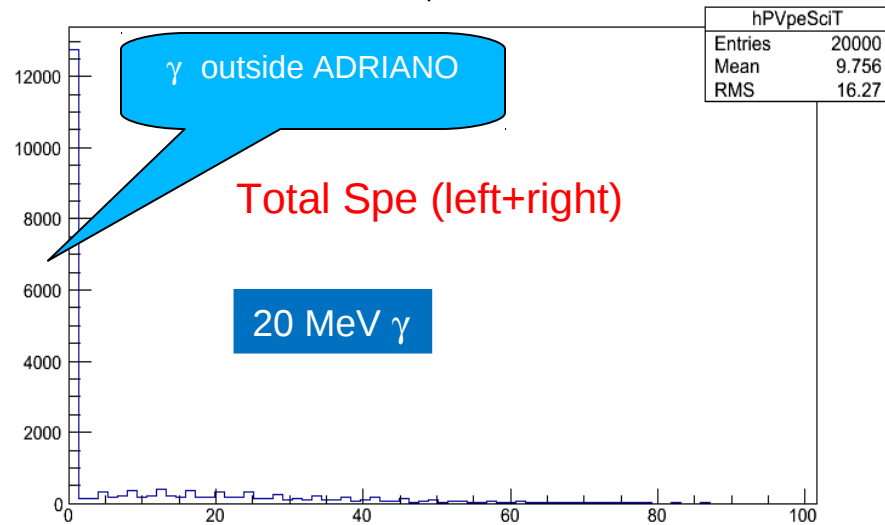
# Neutron effects in ADRIANO vs 20 MeV $\gamma$ : pe/evt

Preliminary

hPVpeSciT

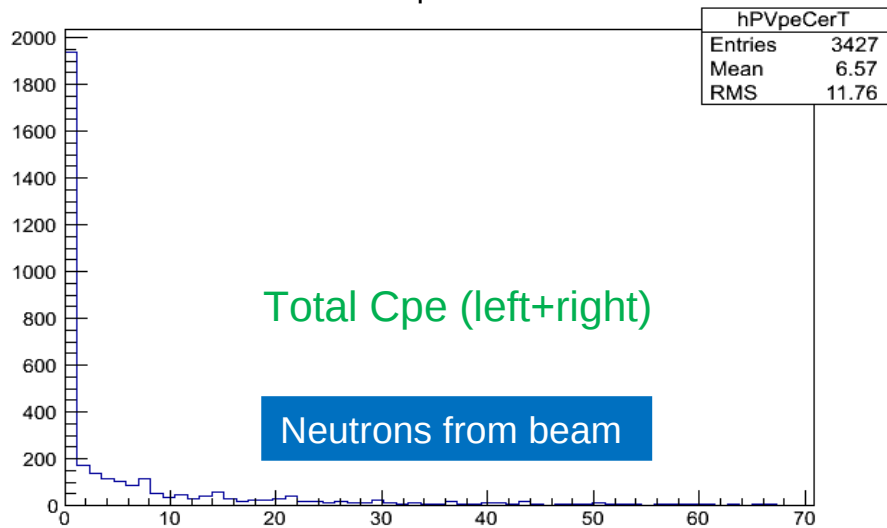


hPVpeSciT

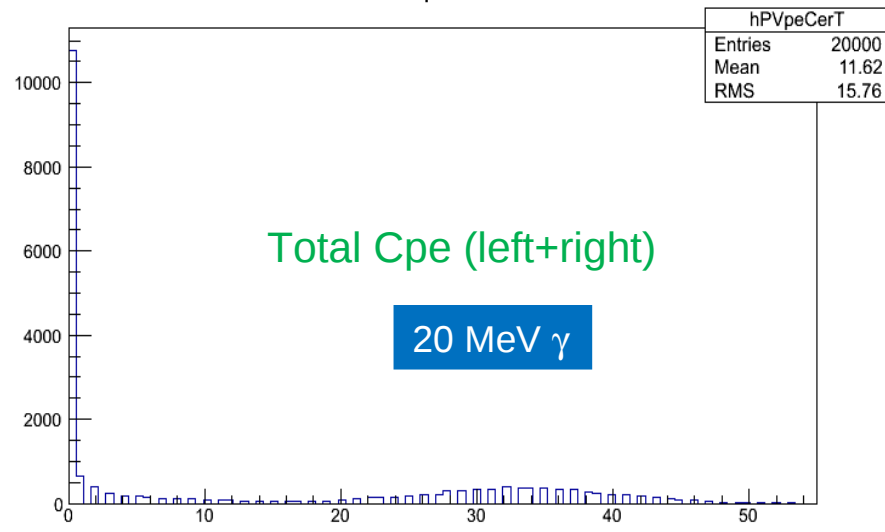


Scintillation pe of neutrons and  $\gamma$  are just the same

hPVpeCerT



hPVpeCerT

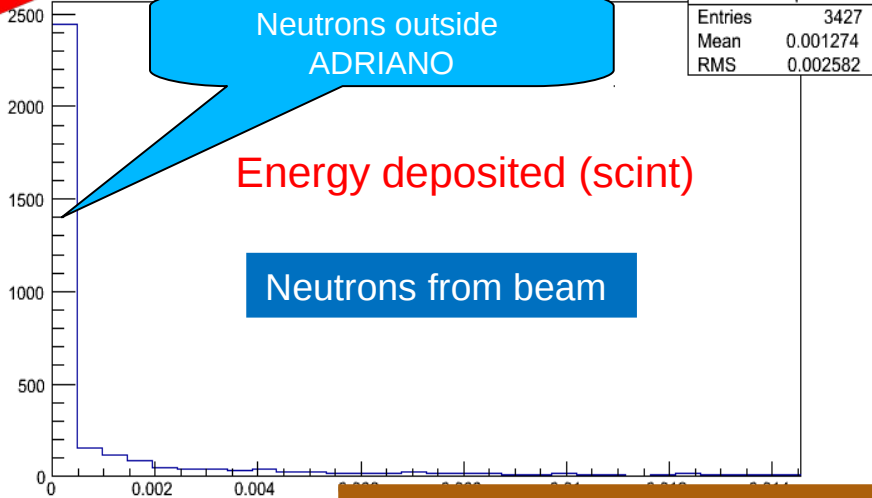


Cerenkov pe distributions are very different

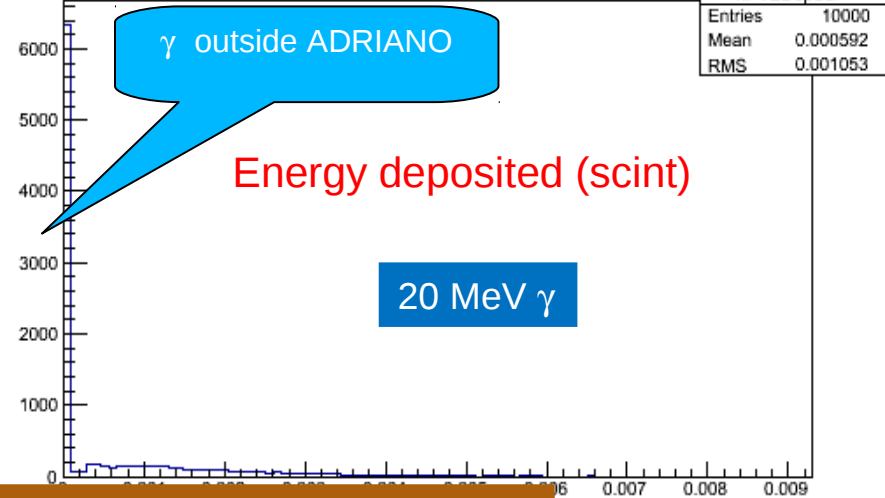
Preliminary

# Scintillation effects in ADRIANO vs 20 MeV $\gamma$ : $E_{\text{dep}}/e\text{vt}$

hPVEDepSci

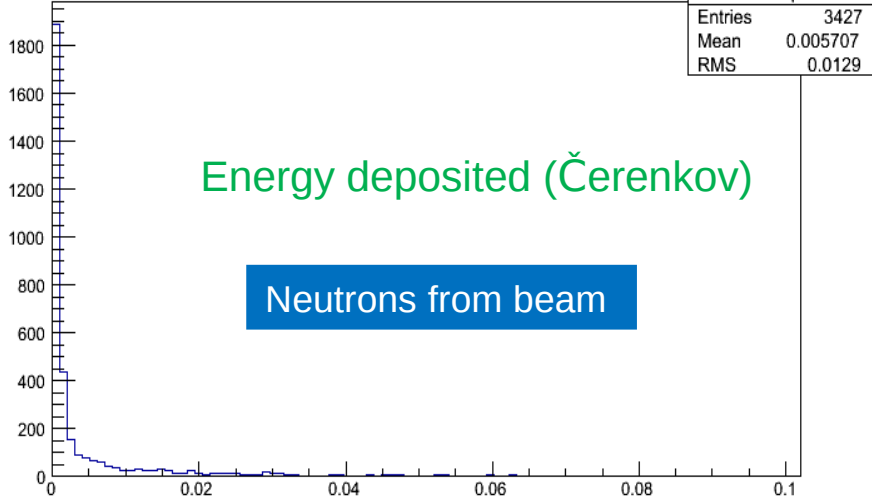


hPVEDepSci

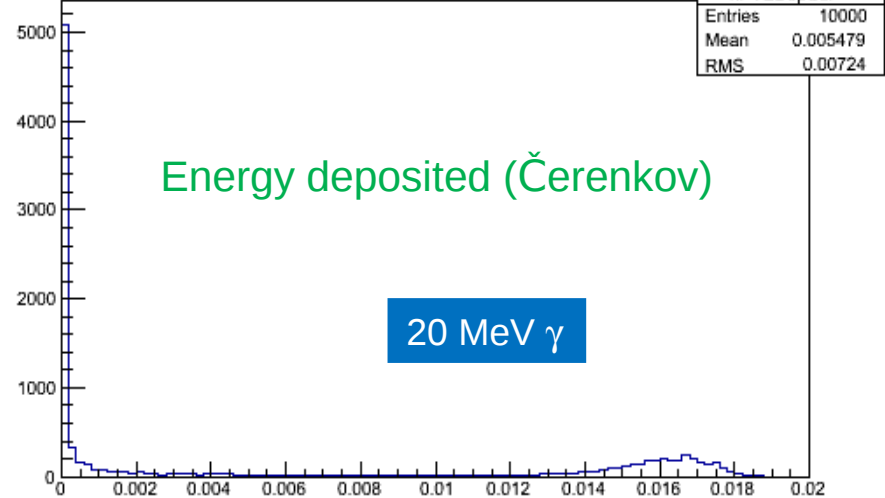


Scintillation energy of neutrons could mimic  $\gamma$  from  $\pi_0$

hPVEDepCer

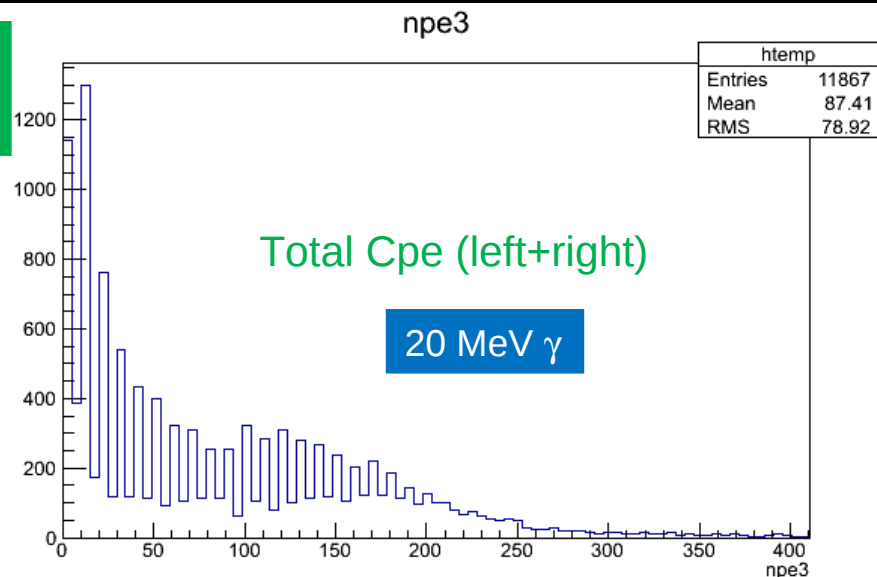
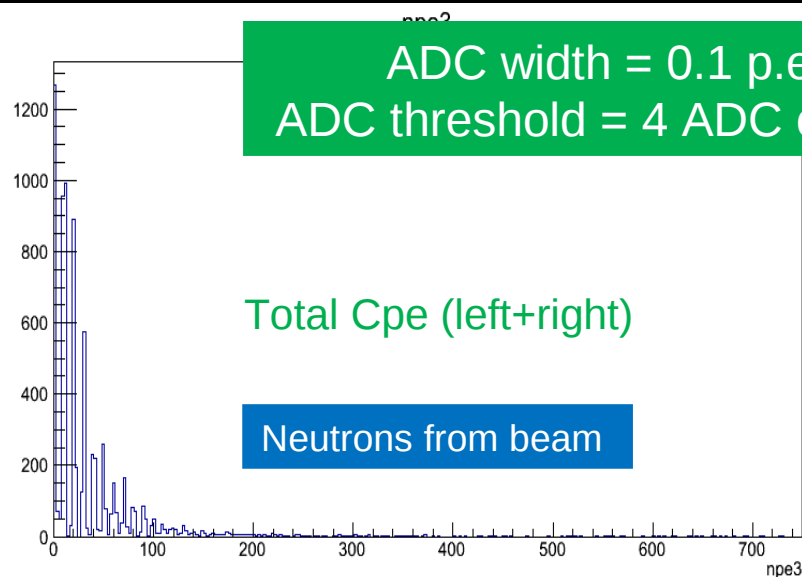
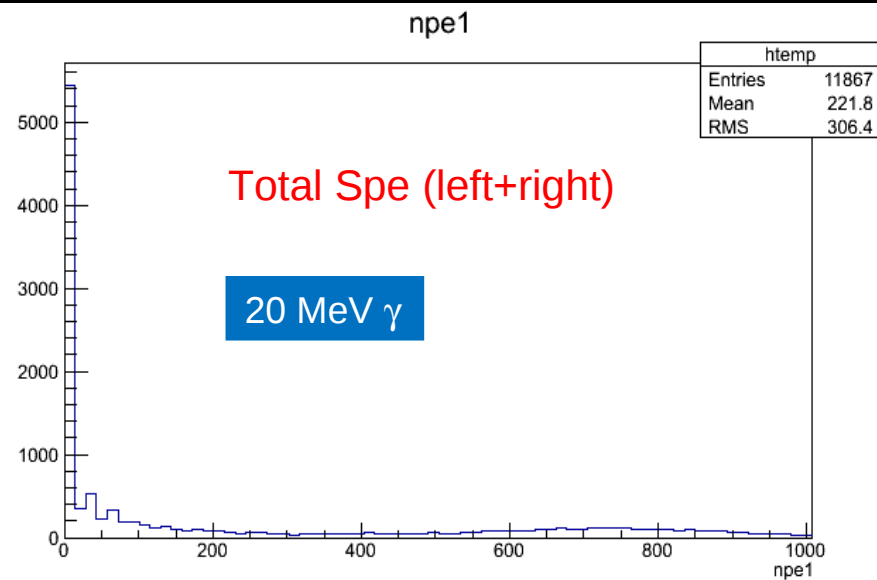
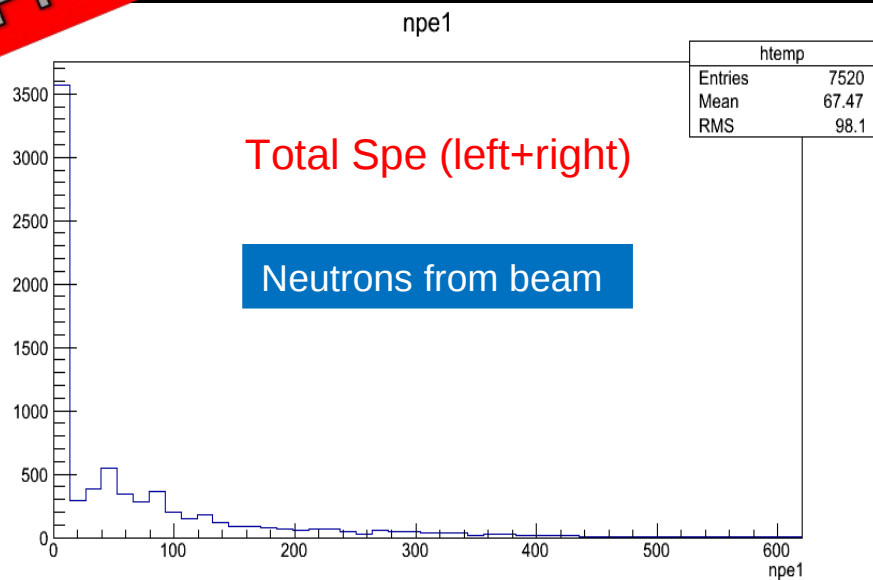


hPVEDepCer



Cerenkov energy tells a different story

# Neutron effects in ADRIANO vs 20 MeV $\gamma$ : ADC count

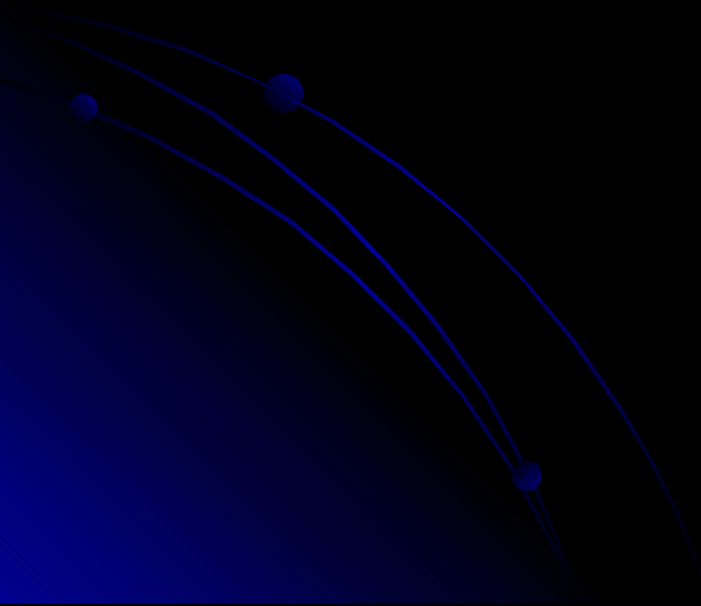




# Summary

- Dual-readout technique improves the energy resolution of a hadronic calorimeter.
- It is one of the two approaches for a calorimeter at future Lepton Colliders.
- ADRIANO technique overcomes limits of sampling calorimeters.
- Intense R&D ongoing at Fermilab and Italy.
- Proposed a modified version of ADRIANO calorimeter for ORKA photon veto Barrel.
- Two options under study:
  - A) ADRIANO in dual-readout mode
  - B) ADRIANO in single readout mode
- Intense simulation activity in progress using IlcRoot framework.
- Future test beams at FNAL and University of Naples already planned.
- Approved project between University of Naples and INFN to build a “neutron line” at an existing TANDEM facility with tagged neutrons from nuclear reaction in 2MeV-12 MeV range ( $D+D \rightarrow He^3 + n$ ).

# Backup Slides



# ORKA Motivation

Access to NP at and beyond LHC mass scale.

New Physics found at the LCH

Precision flavor-physics experiments needed to help sort out the flavor- and CP-violating couplings of the NP.

New Physics NOT found at the LCH

Precision flavor-physics experiments needed to access to mass scales beyond the reach of the LHC (through virtual effects).

Special processes to probe NP

$\mu \rightarrow e\gamma$ ,  $\mu \rightarrow e$  conversion,  $\pi^+(K^+) \rightarrow e^+\nu$

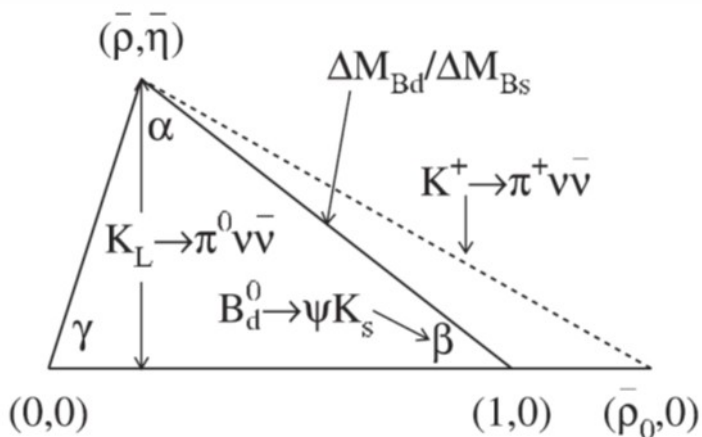
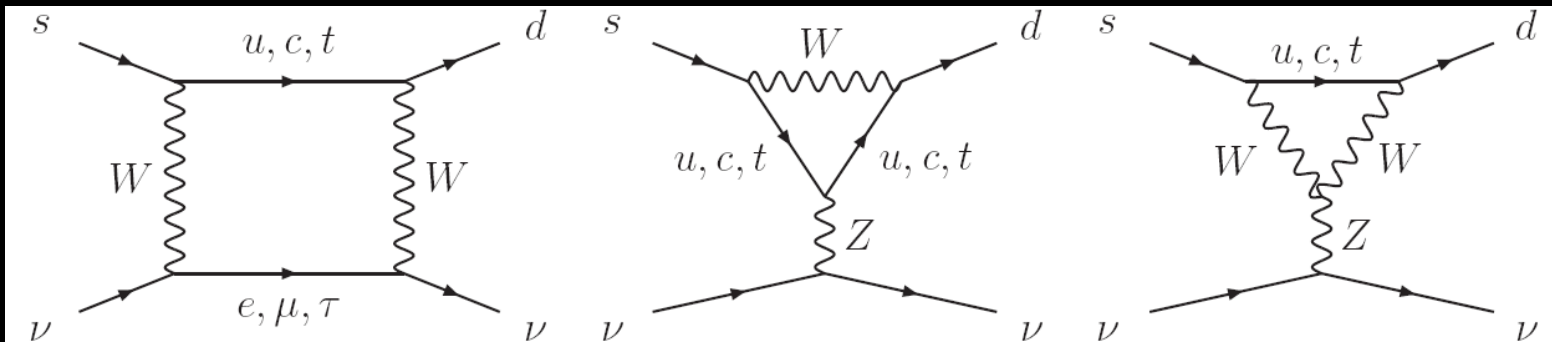
$K^+ \rightarrow \pi^+\nu\nu$ ,  $K_L^0 \rightarrow \pi^0\nu\nu$

$b \rightarrow s\gamma$ ,  $B \rightarrow \mu\mu$ ,  $(\tau \rightarrow \mu\gamma)$

Special status: small SM uncertainty and large NP reach.

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model

The  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decays are the most precisely predicted FCNC decays with quarks



- A single effective operator  $(\bar{s}_L \gamma^\mu d_L)(\bar{\nu}_L \gamma_\mu \nu_L)$
- Dominated by top quark (charm significant, but controlled)
- Hadronic matrix element shared with  $K_{e3}$
- Uncertainty from CKM elements (*will improve*)
- **Remains clean in most New Physics models**  
(*unlike many other observables*)

Brod, Gorbahn, Stamou PR D83, 034030 (2011)

$$B_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (7.8 \pm 0.8) \times 10^{-11}$$

# Photon Veto or Calorimeter

It depends on the process!

Process	Current	ORKA
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	7 events	1000 events
$K^+ \rightarrow \pi^+ X^0$	$< 0.73 \times 10^{-10}$ @ 90% CL	$< 2 \times 10^{-12}$
$K^+ \rightarrow \pi^+ \pi^0 \nu \bar{\nu}$	$< 4.3 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \pi^0 X^0$	$< \sim 4 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \gamma$	$< 2.3 \times 10^{-9}$	$< 6.4 \times 10^{-12}$
$K^+ \rightarrow \mu^+ \nu_{heavy}$	$< 2 \times 10^{-8} - 1 \times 10^{-7}$	$< 1 \times 10^{-10}$
$K^+ \rightarrow \mu^+ \nu_{\mu} \nu \bar{\nu}$	$< 6 \times 10^{-6}$	$< 6 \times 10^{-7}$
$K^+ \rightarrow \pi^+ \gamma \gamma$	293 events	200,000 events
$\Gamma(Ke2)/\Gamma(K\mu2)$	$\pm 0.5\%$	$\pm 0.1\%$
$\pi^0 \rightarrow \nu \bar{\nu}$	$< 2.7 \times 10^{-7}$	$< 5 \times 10^{-8}$ to $< 4 \times 10^{-9}$
$\pi^0 \rightarrow \gamma X^0$	$< 5 \times 10^{-4}$	$< 2 \times 10^{-5}$



# Photon Veto or Calorimeter

Photon veto required here

Process	Current	ORKA
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	7 events	1000 events
$K^+ \rightarrow \pi^+ X^0$	$< 0.73 \times 10^{-10}$ @ 90% CL	$< 2 \times 10^{-12}$
$K^+ \rightarrow \pi^+ \pi^0 \nu \bar{\nu}$	$< 4.3 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \pi^0 X^0$	$< \sim 4 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \gamma$	$< 2.3 \times 10^{-9}$	$< 6.4 \times 10^{-12}$
$K^+ \rightarrow \mu^+ \nu_{heavy}$	$< 2 \times 10^{-8} - 1 \times 10^{-7}$	$< 1 \times 10^{-10}$
$K^+ \rightarrow \mu^+ \nu_\mu \nu \bar{\nu}$	$< 6 \times 10^{-6}$	$< 6 \times 10^{-7}$
$K^+ \rightarrow \pi^+ \gamma \gamma$	293 events	200,000 events
$\Gamma(Ke2)/\Gamma(K\mu2)$	$\pm 0.5\%$	$\pm 0.1\%$
$\pi^0 \rightarrow \nu \bar{\nu}$	$< 2.7 \times 10^{-7}$	$< 5 \times 10^{-8}$ to $< 4 \times 10^{-9}$
$\pi^0 \rightarrow \gamma X^0$	$< 5 \times 10^{-4}$	$< 2 \times 10^{-5}$

# Photon Veto or Calorimeter

Energy measurement required here

Process	Current	ORKA
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	7 events	1000 events
$K^+ \rightarrow \pi^+ X^0$	$< 0.73 \times 10^{-10}$ @ 90% CL	$< 2 \times 10^{-12}$
$K^+ \rightarrow \pi^+ \pi^0 \nu \bar{\nu}$	$< 4.3 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \pi^0 X^0$	$< \sim 4 \times 10^{-5}$	$< 4 \times 10^{-8}$
$K^+ \rightarrow \pi^+ \gamma$	$< 2.3 \times 10^{-9}$	$< 6.4 \times 10^{-12}$
$K^+ \rightarrow \mu^+ \nu_{heavy}$	$< 2 \times 10^{-8} - 1 \times 10^{-7}$	$< 1 \times 10^{-10}$
$K^+ \rightarrow \mu^+ \nu_{\mu} \nu \bar{\nu}$	$< 6 \times 10^{-6}$	$< 6 \times 10^{-7}$
$K^+ \rightarrow \pi^+ \gamma \gamma$	293 events	200,000 events
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$\pi^0 \rightarrow \gamma X^0$	$< 5 \times 10^{-4}$	$< 2 \times 10^{-5}$

# Technologies For Barrel

## Shashlyk

### Pro

- Cheap
- Well established technology
- Extensive test beam

### Cons

- Sampling fluctuations
- Inadequate for  $E_\gamma < 50$  MeV see KOPIO R&D
- Large inefficiency for low energy photon

## ADRIANO in dual-readout mode

### Pro

- Integrally active calorimeter
- Higher detection efficiency
- S vs C provides PID

### Cons

- More expensive
- Novel technology
- Tested only at high energy (500 MeV)

## ADRIANO in single readout mode

### Pro

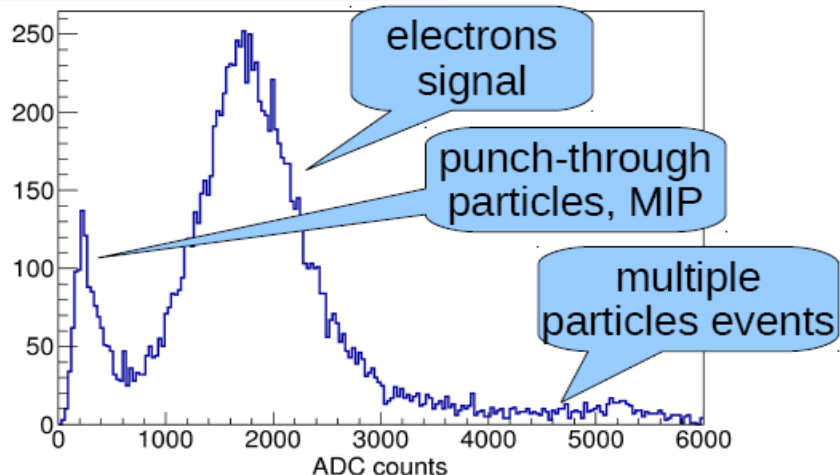
- Integrally active calorimeter
- Highest detection efficiency

### Cons

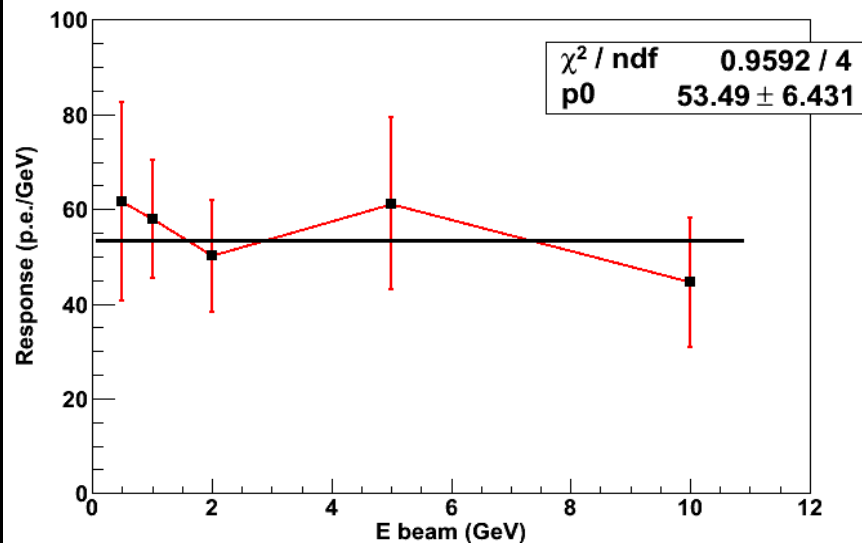
- Also expensive
- Untested technology
- No PID

# Detector Response Uniformity

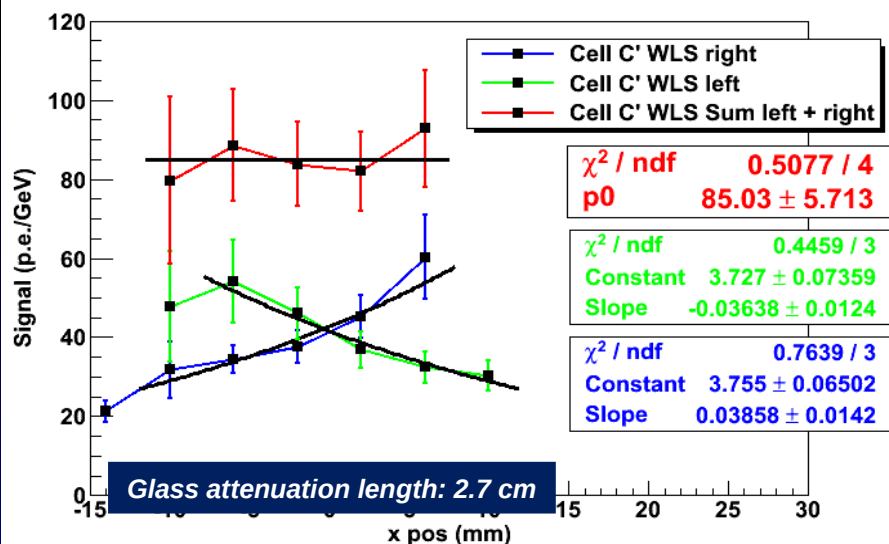
Amplitude distribution (beam 5 GeV)



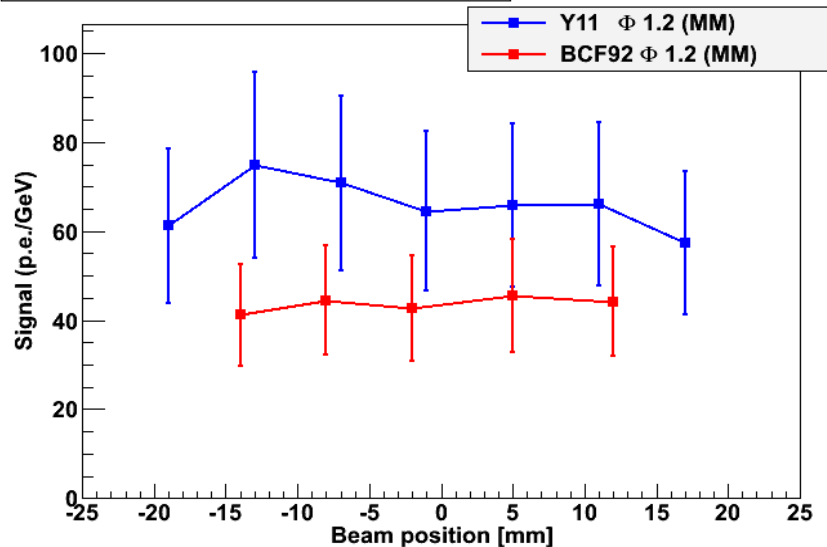
Cell A Energy response



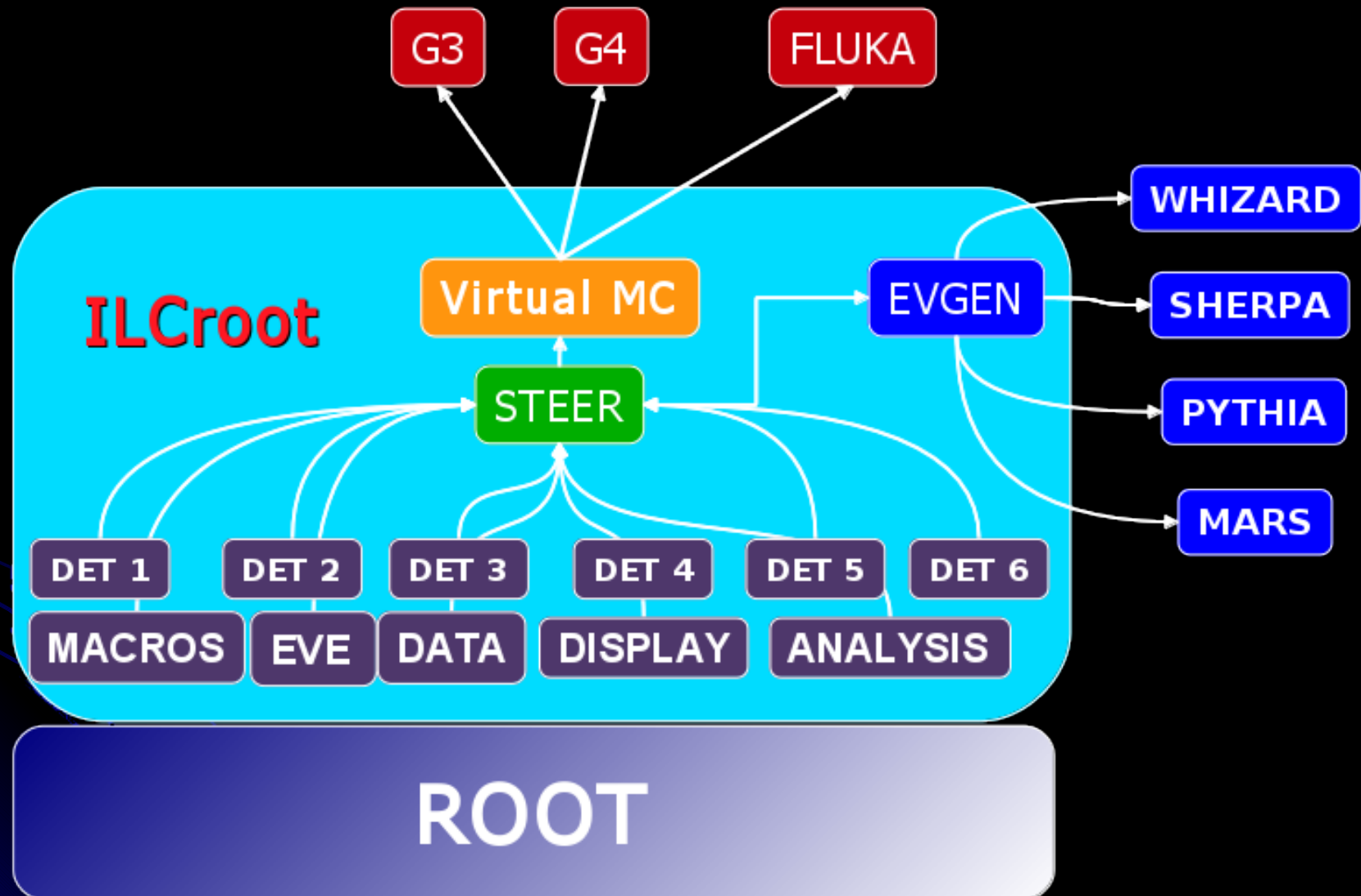
Cell C' beam 5 GeV (bias 34V) horizontal scan (y=301)



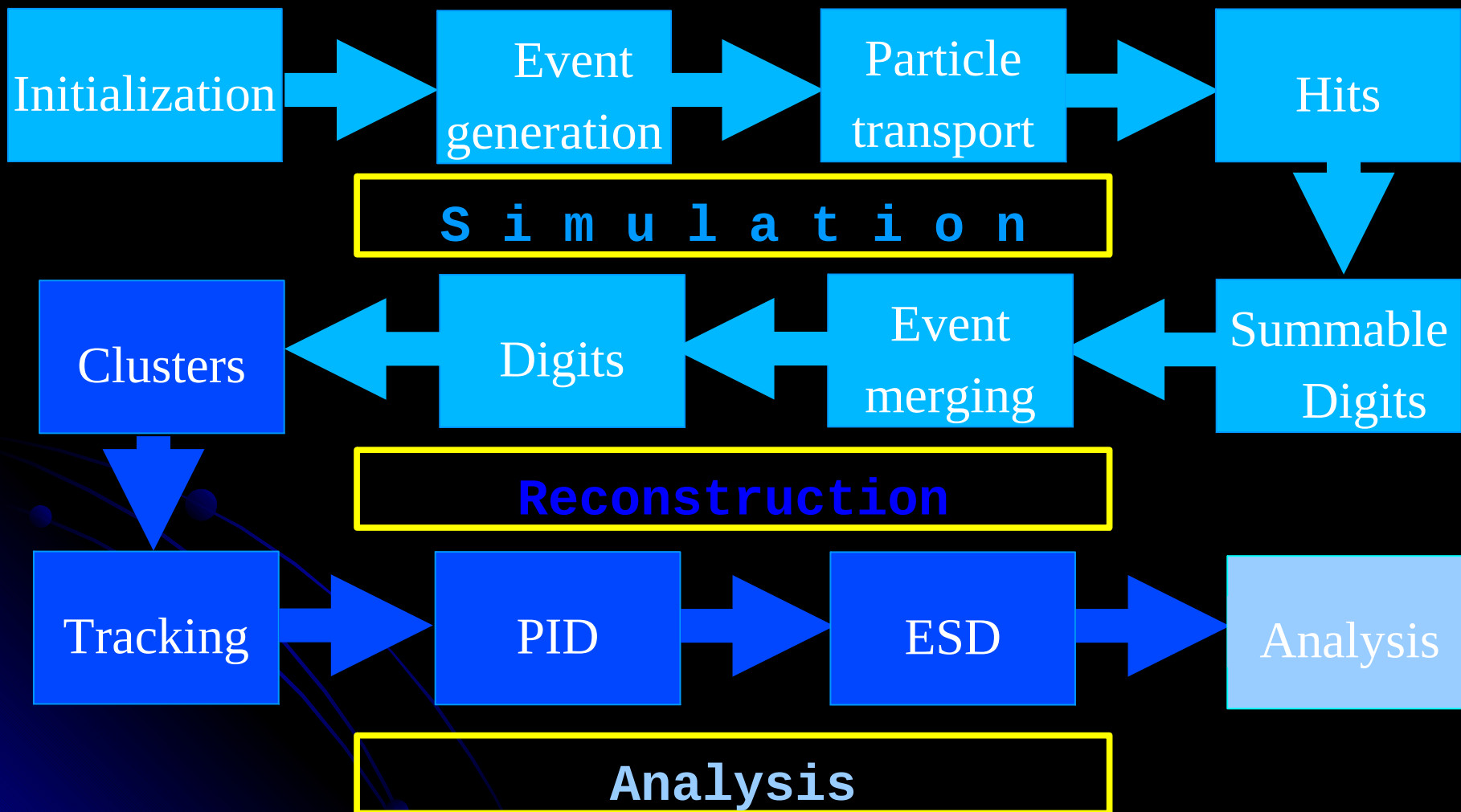
Cell 8x2 vertical scan (beam 10GeV)



# *ILCroot Framework*

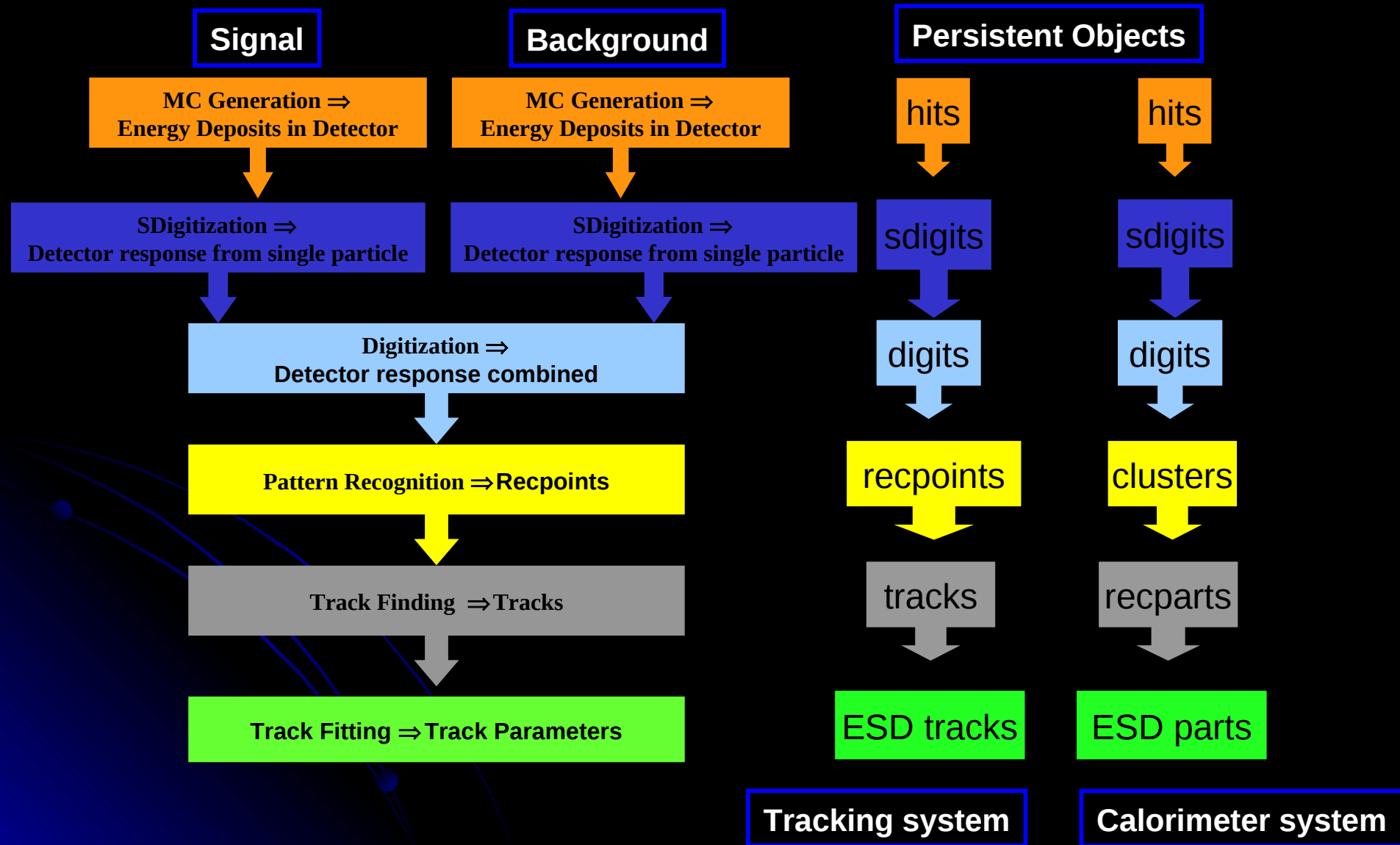


# *ILCrooot Flow Control*

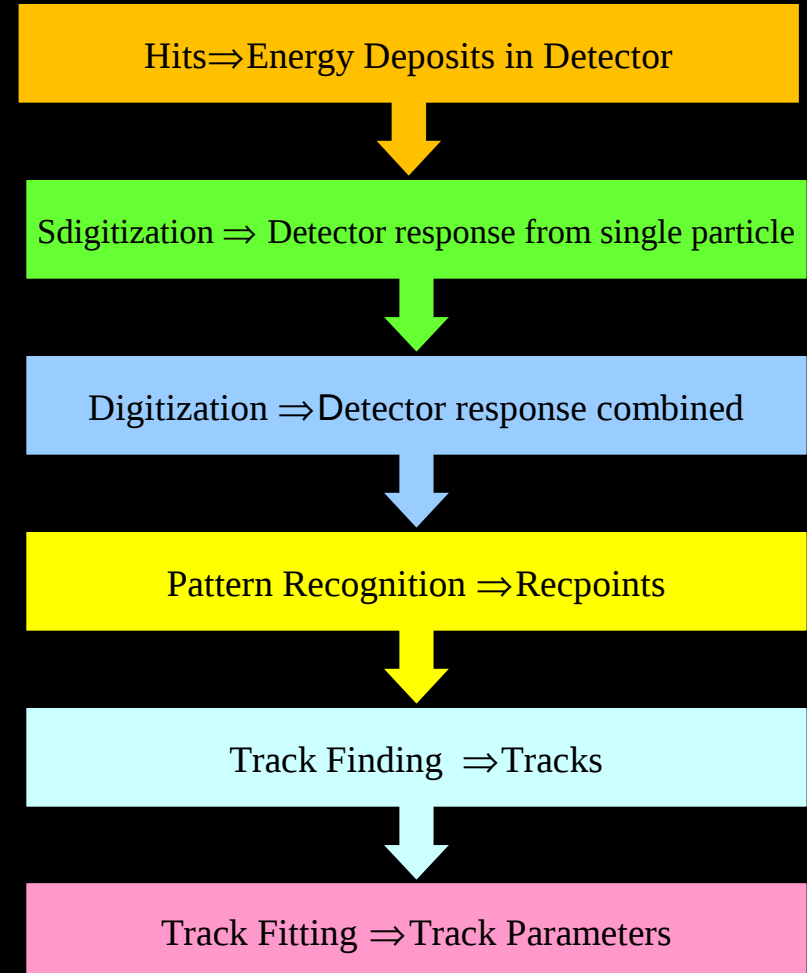
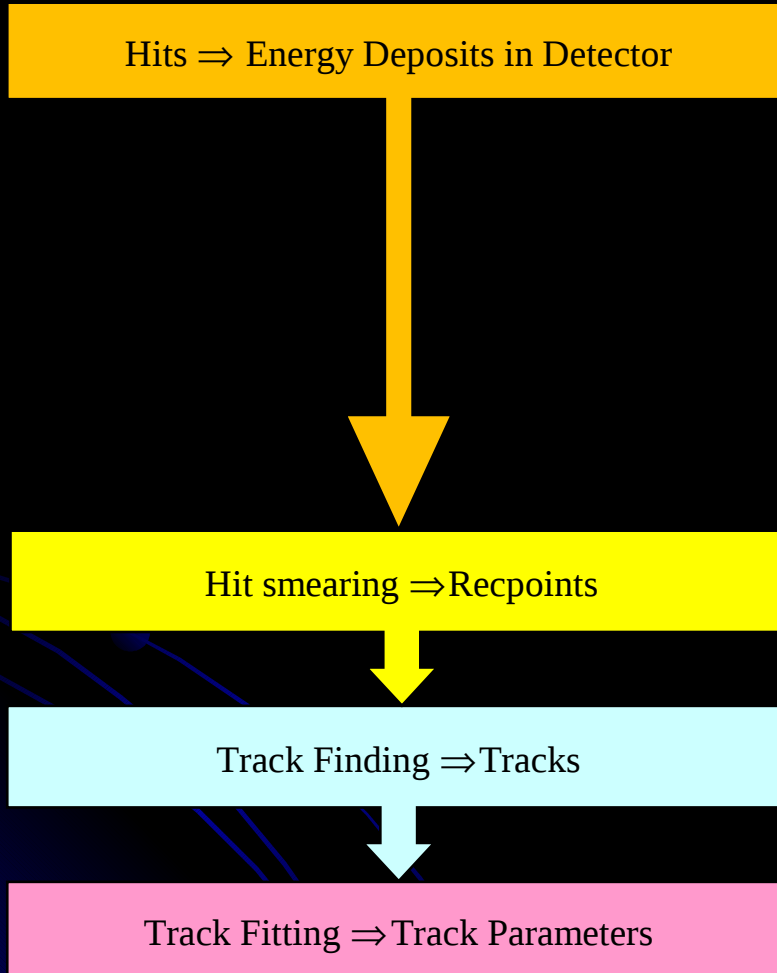




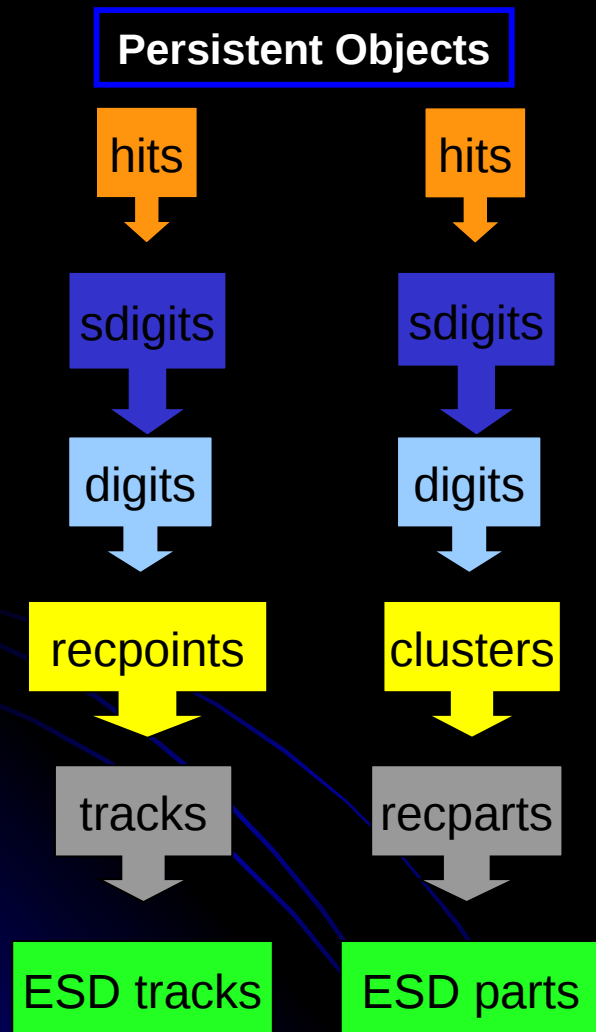
# ILCroot Simulation steps



# *ILCroot Fast vs Full Simulation*



# *Detector Simulation Status*



Target	DCH	RS	PVBAR	PVEC
X	X	X	X	X
X	X	X	X	X
X	X	X	X	X
X	X		X	
X	X		X	
X	X		X	

Tracking system

Calorimeter system

# ***ADRIANO: simulation chain***

## **Hits:**

Particles interaction with media.

Relevant output: **photons**

## **SDigits:**

Is the ideal contribution to Digits originate by each Hit.

Is ideal detector response without Front End Electronics effects.

Relevant output: **p.e.**

## **Digits:**

is the sum of all SDigits belonging to the same electronics channel.  
it takes into account Front End Electronics.

Relevant output: **ADC counts**

# *Hits production in ADRIANO*

- **Scintillating component.**

- Select charged particles.
- Get energy deposition (dE).
- Apply Birk's correction to dE.
- **Apply decay time in scintillator and in WLS.**

- **Cerenkov component.**

- Cerenkov angle evaluated via Sellmeier dispersion relation and particle beta.
- Cerenkov photons generated with appropriate wavelength spectra in 5nm bins.

$$dN_{\gamma} = 2 \pi L_{step} \alpha \sin^2(\theta_C) \left( \frac{1}{\lambda_1} - \frac{1}{\lambda_2} \right)$$

- **Apply decay time in WLS.**

- **Both components.**

- Calculate light-yield.
- Hits merged within the same channel, from same primary and within 1ps time window.

- **Used parameters.**

- Scintillator Light Yield Mean: 133 photons/MeV (take into account reflection, absorption and WLS collection efficiency).
- DecayTime WLS: 2.4 ns
- DecayTime scintillator: 2.4 ns

# ***SDigits production in ADRIANO***

- **Scintillating and Cerenkov component.**
  - Apply WLS attenuation length.
  - Apply WLS → SiPM collection efficiency.
  - Apply SiPM detection efficiency (PDE).
  - Apply Poisson smearing.
  - **Update time with travel time of light in WLS.**
- **Used parameters.**
  - WLS attenuation length: 450 cm.
  - WLS → SiPM collection efficiency: 90%.
  - $\text{PDE} \simeq 20\%$  (depend on light wavelength).

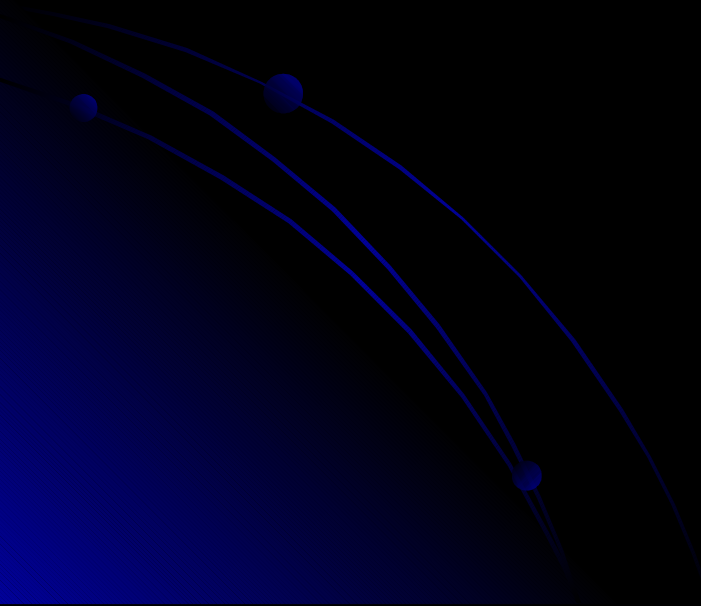


# ***Digits production in ADRIANO***

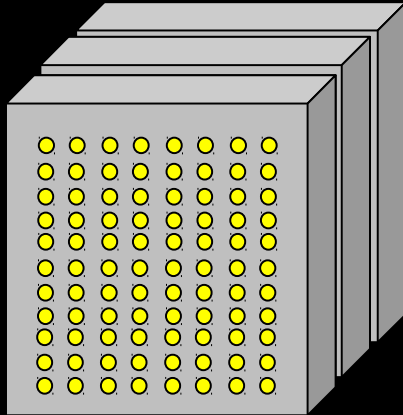
- **Scintillating and Cerenkov component.**
  - Limit number of p.e. to total number of SiPM pixels.
  - Apply shot noise.
  - Apply Excess Noise Factor (ENF).
  - **Apply z position fluctuation (From KLOE  $\propto 1/\sqrt{E[\text{GeV}]}$  ).**
  - Apply electronic gain and convert p.e. in ADC counts.
  - **Apply electronic rise time.**
  - Remove Digits below threshold.
- **Used parameters.**
  - Number of SiPM pixels = 6400.
  - SiPM shot noise = 0.1 p.e.
  - ENF = 1.016.
  - Z position fluctuation = 6mm/sqrt(E[GeV]).
  - Electronic gain = 10 (can be different for Cer and Sci signal).
  - ADC width = 0.1 p.e. (can be different for Cer and Sci signal).
  - Electronic RiseTime = 0.5 ns.
  - ADC threshold = 4 ADC counts. (can be different for Cer and Sci signal).

# Very Intense R&D within T1015 Collaboration

- 5 test beams scheduled in 2011-2012 at FTBF
- Several cells in different configurations (40x40x250 mm<sup>3</sup>)
- Many variants of ADRIANO
- Tested: glass, fibers, coating, optical coupling, PMT vs SiPM, etc.
- 



# Fabrication Technology #4: Laser + diamond drilling

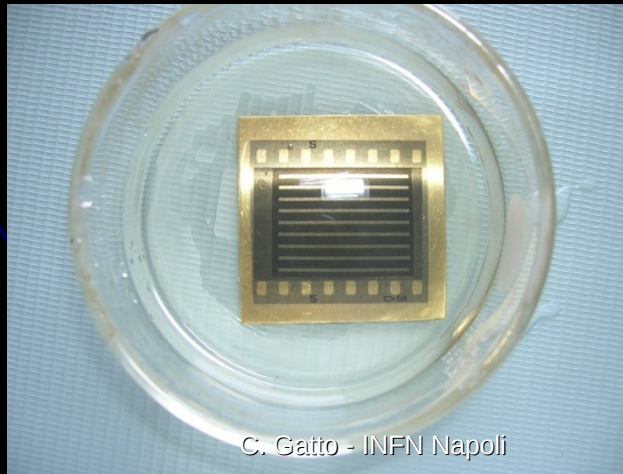


Nd-YAG  
laser



# Fabrication Technology #5: Photo-etching

Early stages of R&D

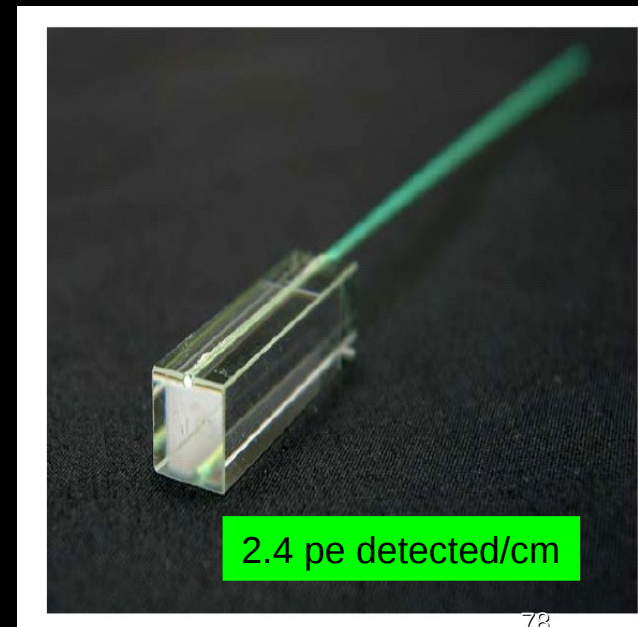


# ADRIANO Simulations in ILCroot

- **ILCroot: C++ Software architecture based on root, VMC & Aliroot**  
*G3, G4, Fluka + all ROOT tools (I/O, graphics, PROOF, data structure, etc)*
- **Single framework, for generation, simulation reconstruction and analysis**
- *ADRIANO* is a melting pot of well established experimental methodologies
- All algorithms are implemented parametrically
- Use known experimental setups to normalize the overall results:
  - **DREAM** for scintillating light production (fiber calorimeter is OK, BGO+fibers not quite there)
  - **CHORUS** for instrumental effects with sci-fibers
  - **R. Dollan Thesis** for WLS light collection with SF57

## Instrumental effects included in ILCroot:

- SiPM with ENF=1.016
- Fiber non-uniformity response = 0.6% (scaled from CHORUS)
- Threshold = 3 pe (SiPM dark current < 50 kHz)
- ADC with 14 bits
- Constant 1 pe noise.



# Next: New Glasses R&D in T1015

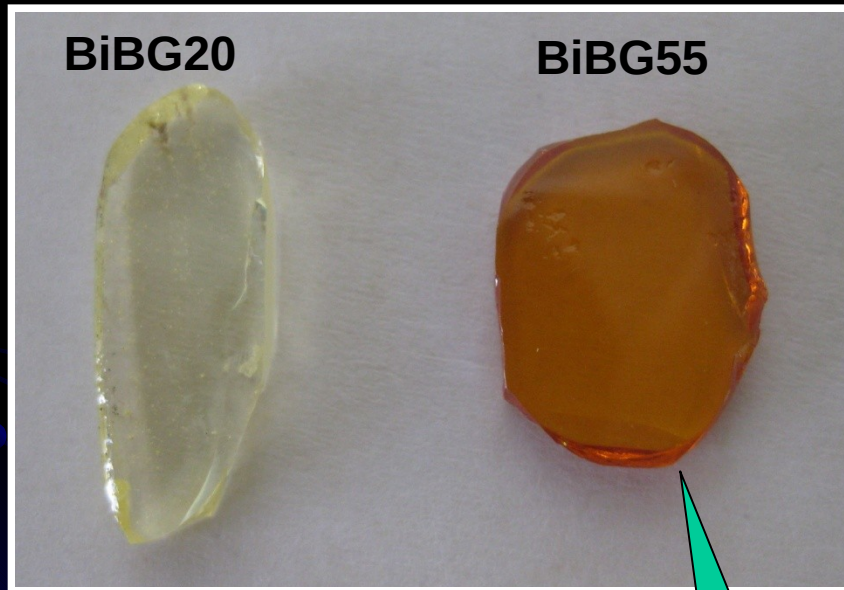
- Research mostly carried at Department of Materials and Environmental Engineering at Uni-Modena (Italy)
- Heavy glasses with *no-Pb* (Cerenkov only)
  - Mostly *Bi* based (heavier, less environmental issues, higher  $n_D$ , lower softening point for molding)
  - $WO_2$  under study (just purchased a 1600 °C furnace)
  - Goal is  $>8 \text{ gr/cm}^3$
- Rare earths doped scintillating heavy glasses:
  - Ba-Bi-B matrix to accomodate  $Ce_2O_3$ :
  - Density achieved up to now:  $7.5 \text{ gr/cm}^3$  (see next slide)
  - Several rare earth oxides tested:  $Dy_2O_3$  promising
  - Lithium content for neutron sensitivity
- Organic scintillator doped heavy glasses:
  - Requires low melting point glass matrix ( $< 500 \text{ °C}$  )
  - Currently under R&D at DIMA: P-T-F-P glass (up to  $5.8 \text{ gr/cm}^3$  )

See D. Groom  
talk at  
CALOR2012

# Bismuth Borate Glasses BiB-G

**Goal** High density glasses by melt quench method

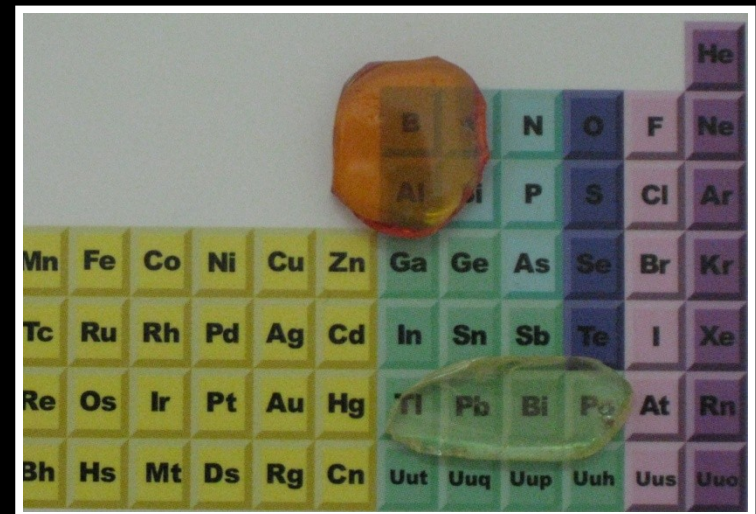
- Two compositions (BiBG20 and BiBG55) with different  $\text{Bi}_2\text{O}_3$  content



$\text{Bi}_2\text{O}_3$  mol%



Dark color due to  $\text{Bi}_2\text{O}_3$  not pure enough



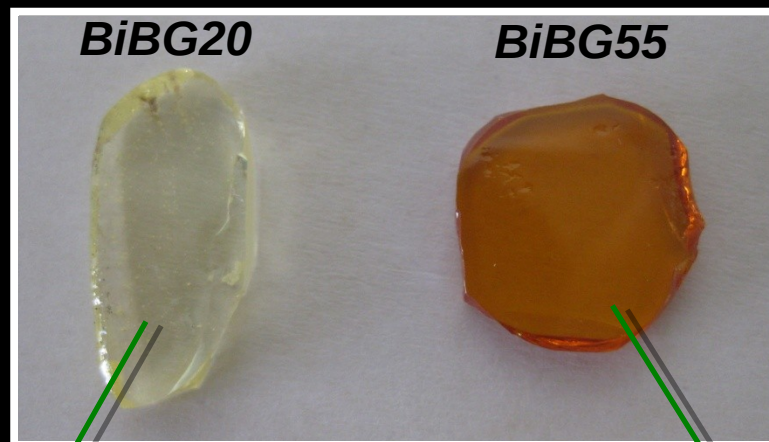
## DENSITY

Glass	$\rho$ (g/cm <sup>3</sup> )
BiBG 20	4.57
BiBG 55	7.48

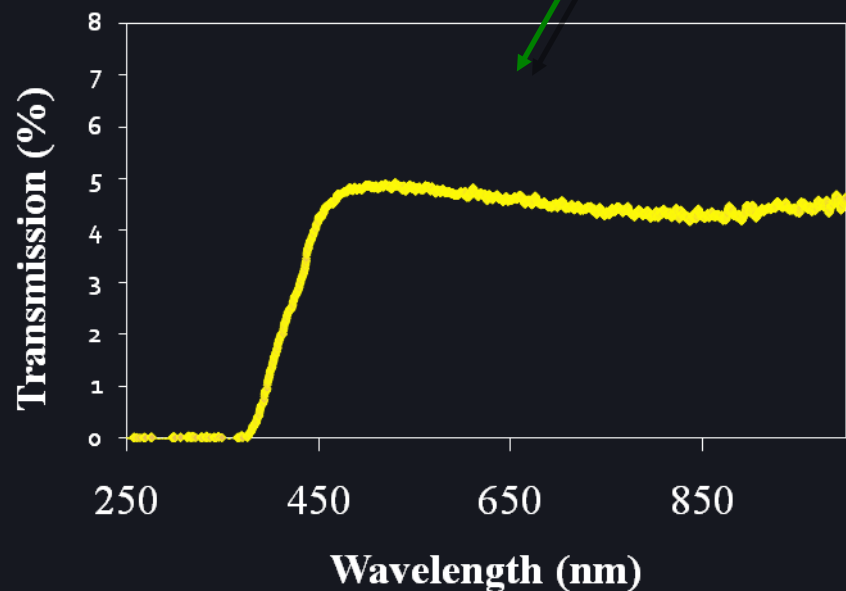
exp.error  $\pm 0.01$



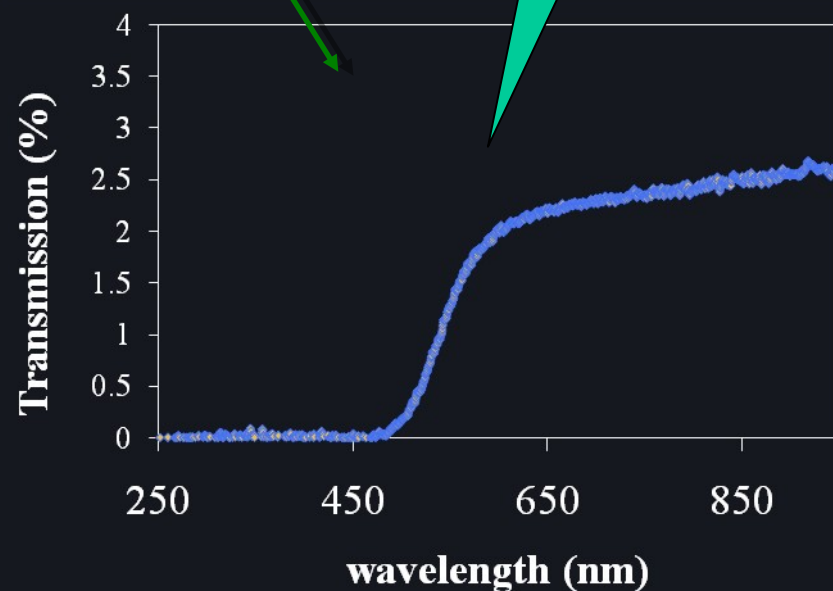
# Transmission Spectra



No absorption bands




*thickness c.a 0.3 cm*



*thickness c.a 0.3 cm*

# Rare Earth Heavy Glasses

- Rare earths oxides +  $\text{Ho}_2\text{O}_3$  +  $\text{ZnO}$  +  $\text{P}_2\text{O}_5$  +  $\text{B}_2\text{O}_3$  +  $\text{SiO}_2$
- R.e. considered:  $\text{CeO}_2$ ,  $\text{Dy}_2\text{O}_3$ ,  $\text{Nd}_2\text{O}_3$ ,  $\text{Pr}_6\text{O}_{11}$ ,  $\text{Er}_2\text{O}_3$



Photographs of five rare earth oxides:

- $\text{CeO}_2$  (dark blue/black)
- $\text{Dy}_2\text{O}_3$  (yellow)
- $\text{Nd}_2\text{O}_3$  (purple)
- $\text{Pr}_6\text{O}_{11}$  (green)
- $\text{Er}_2\text{O}_3$  (orange/red)

Composition	Density (g/cm <sup>3</sup> )
$\text{CeO}_2$	3,3776
$\text{Pr}_6\text{O}_{11}$	3,7445
$\text{Dy}_2\text{O}_3$	3,8851
$\text{Er}_2\text{O}_3$	4,0690
$\text{Nd}_2\text{O}_3$	4,2441

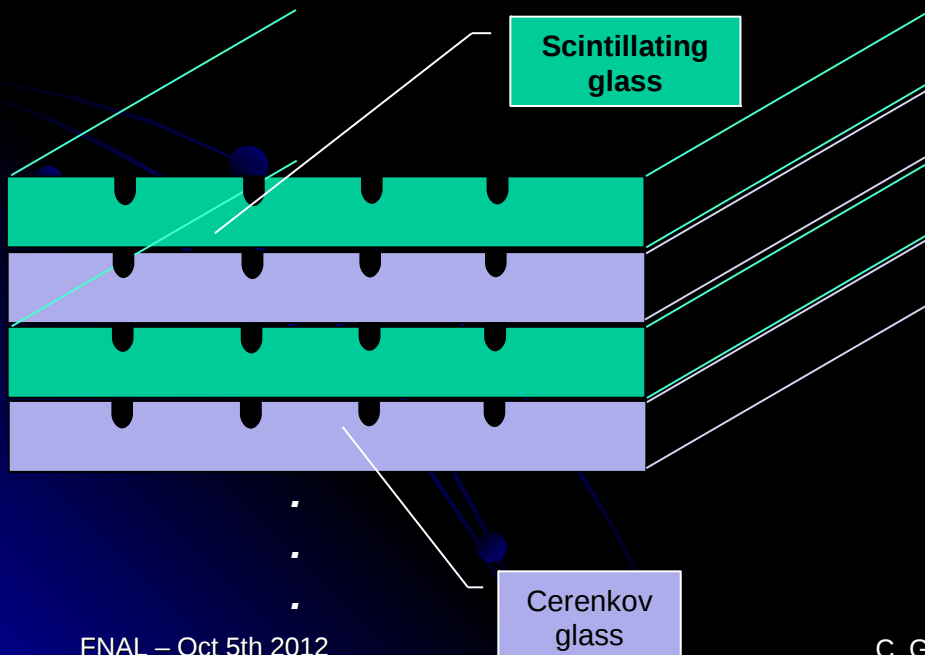
# Department of Materials and Environmental Engineering



# ADRIANO II: aka Glass-only ADRIANO

- Advantages:

- No density dilution from scifi plastic
- Excellent EM calorimeter
- Easier to build
- Cheaper (scifi are expensive!)
- Requires Li or H in the glass (see D. Groom talk at CALOR2012)



SCG1- tested at FTBF



Light yield:  $> 600 \text{ pe/GeV}$   
(FEE saturating)



# T1015 Collaboration at FNAL (28 scientists)

## Institution

## Collaborator

Diego Cauz  
Anna Driutti  
Giovanni Pauletta  
Lorenzo Santi  
Walter Bonvicini  
Aldo Penzo  
Erik Ramberg  
Paul Rubinov

INFN Trieste/Udine and University of Udine  
Fermilab  
INFN NA  
Lecce University  
INFN and University  
Roma I  
  
University  
of Salerno

Hans Wenzel  
Gene Fisk  
  
Aria Soha  
Anna Mazzacane  
Benedetto Di Ruzza  
  
Corrado Gatto  
  
Vito di Benedetto  
Antonio Licciulli  
Massimo Di Giulio  
Daniela Manno  
Antonio Serra

Maurizio Iori  
  
Michele Guida  
NEITZERT Heinrich Christoph  
SCAGLIONE Antonio  
CHIADINI Francesco  
  
Cristina Siligardi  
  
Monia Montorsi  
Consuelo Mugoni  
  
Giulia Broglia

University  
of Modena

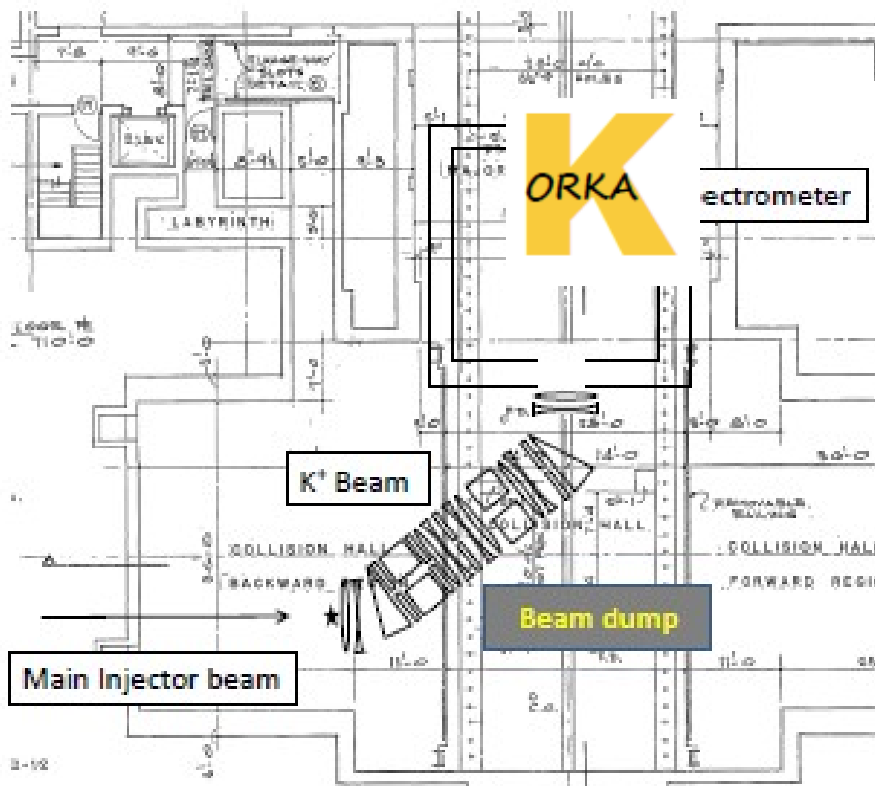
# Future Prospects & Conclusions

- Cerenkov light yield more than adequate for 30%/sqrt(E) calorimetry. Our goal is to make it even better for EM calorimetry
- Precision molding is (at present) the preferred construction technique: two molds (37 cm long) under construction (flat and grooved)
- **Year 2013 program:**
  - 14cm x 14cm x 74cm ADRIANO module (total 18 cells)
  - 9.2 cm x 4.6 cm x 37 cm module with scintillating plates
  - 9.2 cm x 4.6 cm x 37 cm S+C module (for ORKA experiment)
  - Test beam of scintillating glass module
- Ohara sponsorship/partnership for bismuth optical glass (6.6 gr/cm<sup>3</sup>,  $n_d = 2.0$ ) in progress: two strips (total 1.4 Kg) provided at no cost
- New Ohara heavy glass tested in 2012 at FNAL
  - 7.54 gr/cm<sup>3</sup> ;  $n_d = 2.24$
- **ADRIANO2 (Cerenkov + scintillating glass)**
- **Heading toward a large prototype**
  - 1,800 PMT appropriated from CDF
  - 2 ton SF57 left from NA62 calorimeter construction





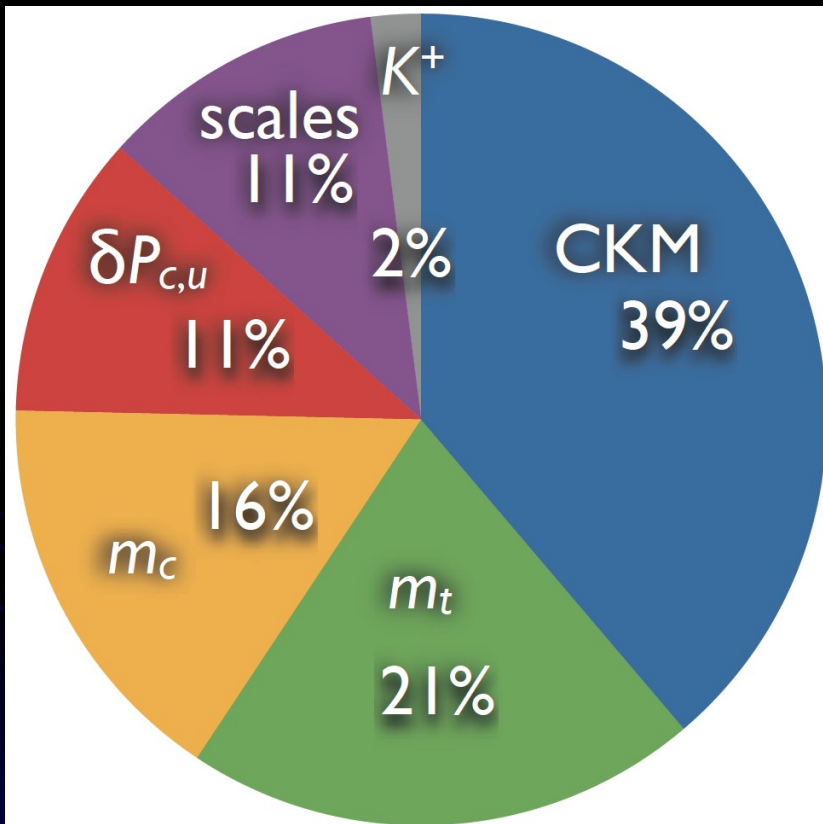
The ORKA new detector payload replaces the CDF tracker volume.



- Fermilab

# Summary of SM Theory Uncertainties

CKM parameter uncertainties dominate the error budget today.



With foreseeable improvements, expect total SM theory error  $\leq 6\%$ .

SM accuracy of  $< 5\%$ , motivates 1000-event experiments (ORKA proposal)

Unmatched by any other FCNC process (K or B).

30% deviation from the SM would be a  $5\sigma$  signal of NP

Ex.:

$$M_{\text{NP}} = \frac{4\pi}{\Lambda^2} C \bar{d}_L \gamma_\alpha s_L \bar{\nu} \gamma^\alpha \nu,$$

For  $\text{Re}(C) \sim \text{Im}(C) \sim O(1)$ , a 10% measurement of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  or  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  would probe  $\Lambda \sim O(3,000 \text{ TeV})$

SM theory error for  $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$  mode exceeds that for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ .

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Prospects

Now:  $B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.73_{-1.05}^{+1.15} \times 10^{-10}$

(7 events)

Future: Sensitivity at SM  $0.78 \times 10^{-10}$

Goals

NA62  
CERN



ORKA at  
Proj. X

Events/  
yr

50

210

340

S/N

5

5

5

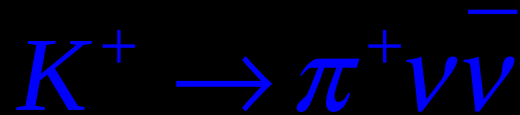
Precision

10%

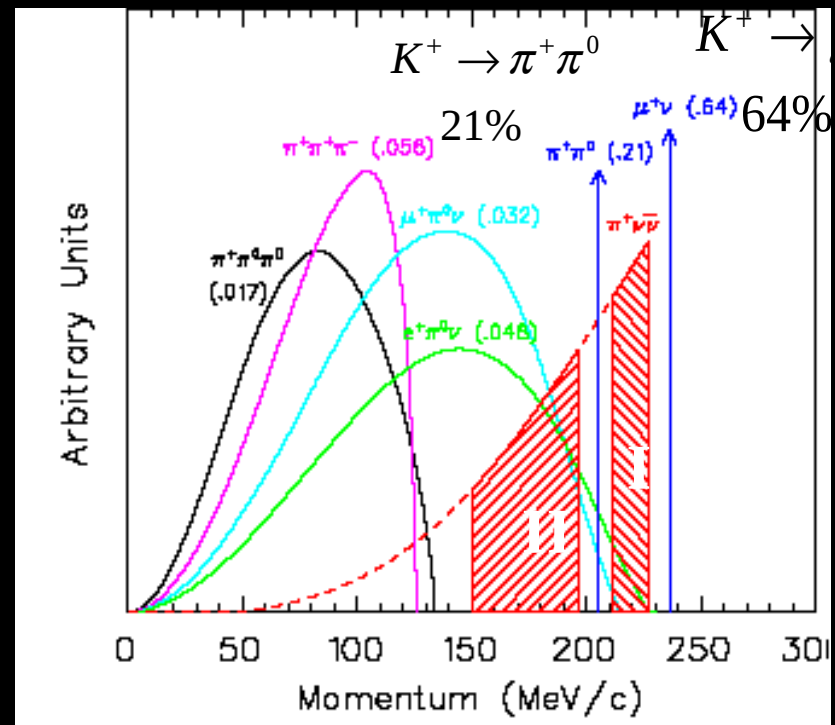
5%

2%

# Special Features of Measuring



Experimentally weak signature  
with background processes  
exceeding signal by  $>10^{10}$



Determine everything possible about the  $K^+$  and  $\pi^+$

\*  $\pi^+/\mu^+$  particle ID better than  $10^6$  ( $\pi^+ - \mu^+ - e^+$ )

Eliminate events with extra charged particles or **photons**

\*  $\pi^0$  inefficiency  $< 10^{-6}$

Suppress backgrounds well below the expected signal ( $S/N \sim 10$ )

\* Predict backgrounds *from data*: dual independent cuts

\* Use “Blind analysis” techniques

\* Test predictions with outside-the-signal-region measurements

Evaluate candidate events with S/N function

# NA62 vs ORKA

Technique

In-flight decay

Stopped K

Beam

Unseparated p/K (60% K)

Pure K

Phase space targeted

Lower region

Higher region

E/p detected

O(10 GeV)

1-230 MeV

Critical issues

PID up to 35 GeV ( $1-\epsilon \sim 10^{-5}$ )

Accidentals in PV

Advantages

No tagging of  $\pi \rightarrow \mu \rightarrow e$  chain (higher rate)

High precision P measure

Notes

Running must be coincident with LHC, splits run-time with CNGS.

Splits run-time with NOVA.

First results

2017

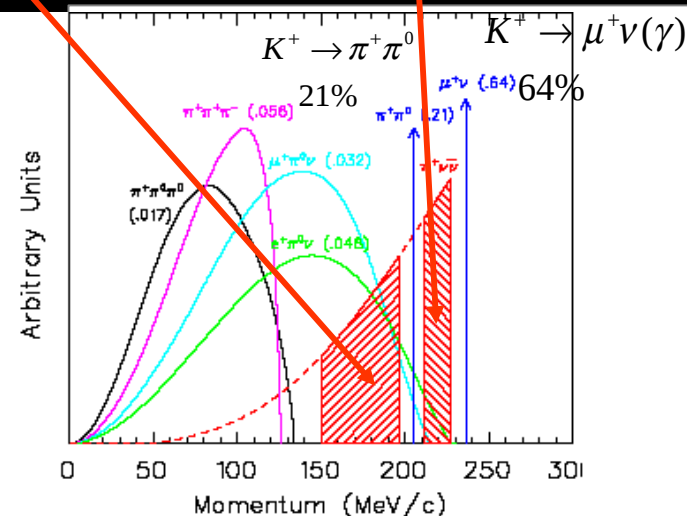
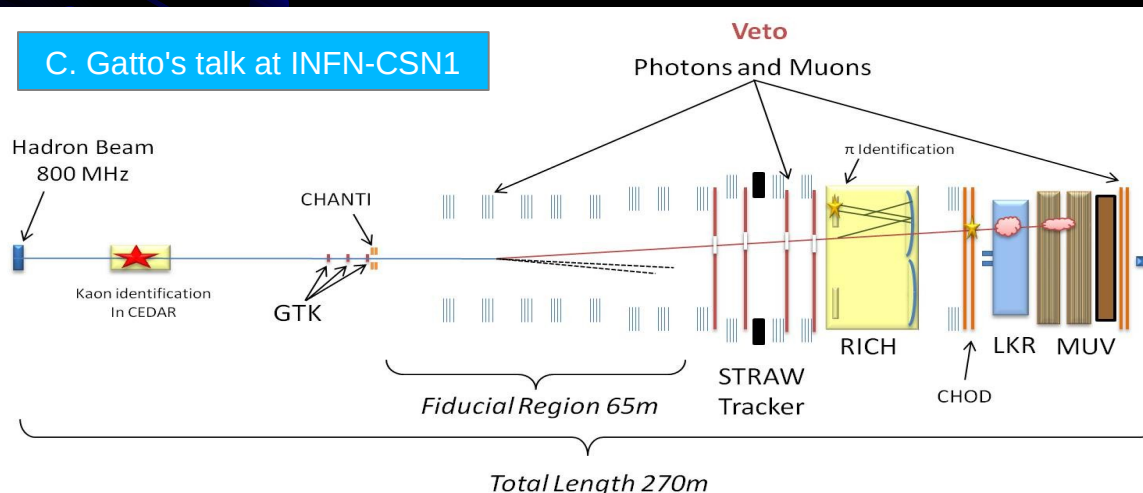
2020

Sensitivity goal

~80 events

~1000 events

C. Gatto's talk at INFN-CSN1





# Dual Readout Calorimetry

i.e.: two distinct calorimeters sharing the same absorber

$$\begin{aligned} E_S &= \left[ fem + \frac{(1 - fem)}{\eta_S} \right] \cdot E_{HCAL} \\ E_C &= \left[ fem + \frac{(1 - fem)}{\eta_C} \right] \cdot E_{HCAL} \end{aligned} \quad \left( \eta_S = \left( \frac{e}{h} \right)_S ; \quad \eta_C = \left( \frac{e}{h} \right)_C \right)$$

*fem* is:

- 1) Energy dependent -> the calorimeter is non linear
- 2) Fluctuating event-by-event -> the energy resolution is non gaussian if  $\eta_S \neq \eta_C$

If  $\eta_S \neq \eta_C$  then the system can be solved for  $E_{HCAL}$

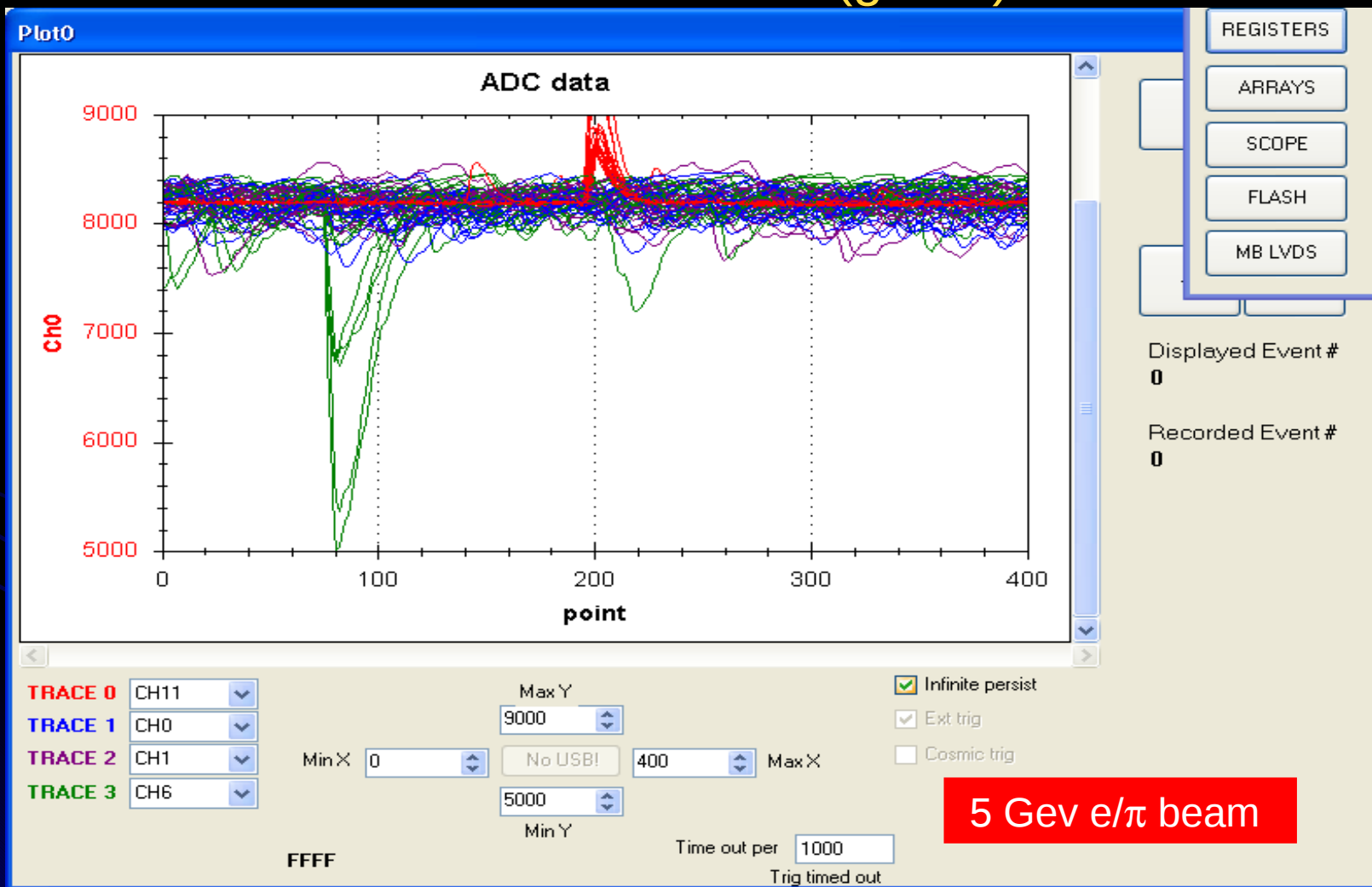
$$E_{HCAL} = \frac{\eta_S \cdot E_S \cdot (\eta_C - 1) - \eta_C \cdot E_C \cdot (\eta_S - 1)}{\eta_C - \eta_S}$$

*We are measuring fem event-by-event*



# Waveforms from TB4 DAQ:

SiPM with INFN light concentrator (blue)  
vs direct fiber readout (green)



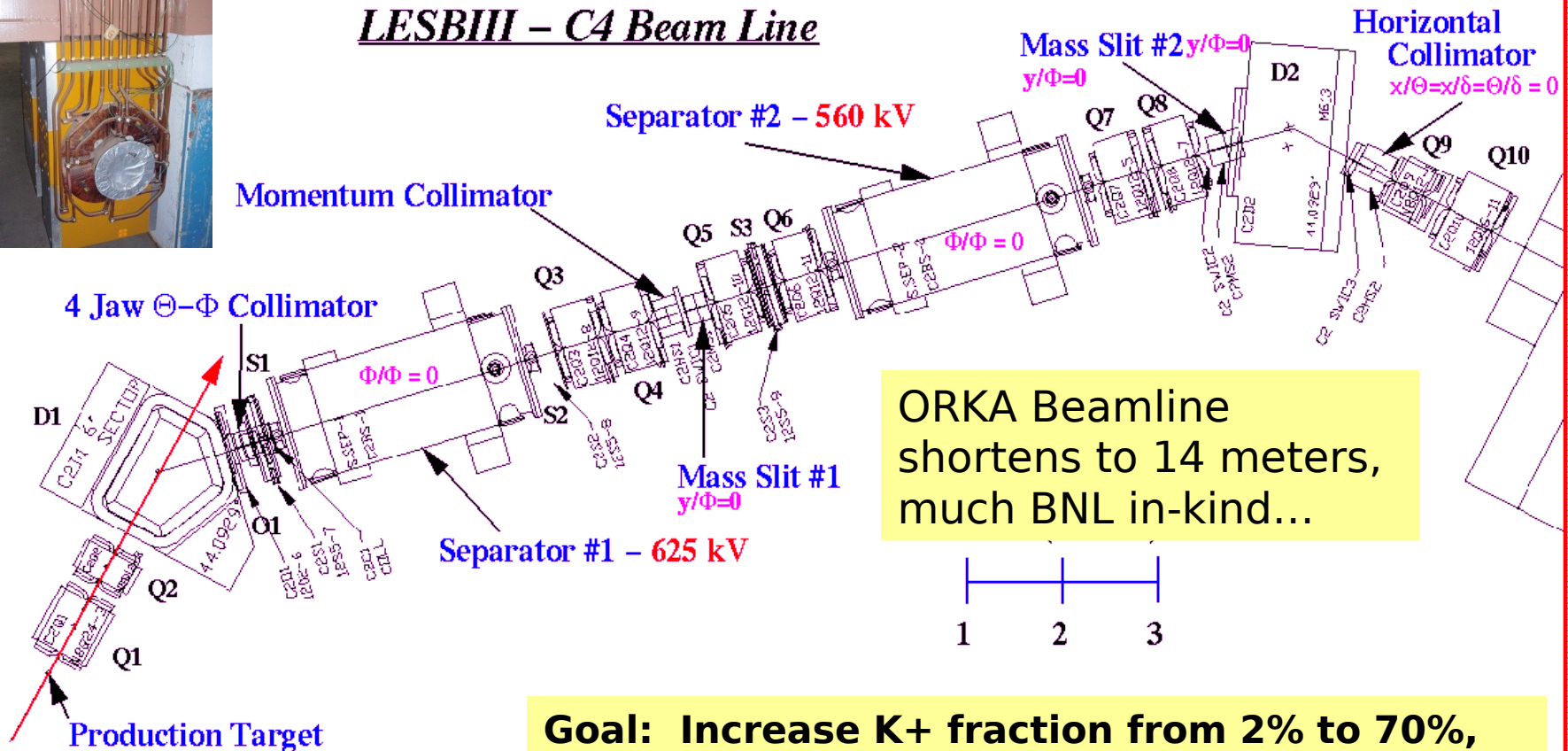
# ORKA Roadmap in Particle Physics

- **2017**, first results from the NA62 CERN experiment:
  - **Evidence of new physics?**: ORKA will embark on confirming with a completely different method, provide definitive measurement.
  - **No evidence of new physics?**: ORKA will push the hunt for new physics to much higher sensitivity.
- **2020**, first results from the ORKA experiment:
  - Evidence of new physics or no evidence of new physics yet: ORKA will continue the hunt to “ultimate” sensitivity. Interplay with results from next generation flavor factories.

# separated charged beam on a stopping target.



## LESBIII – C4 Beam Line



**Goal: Increase K+ fraction from 2% to 70%, as quickly as possible! Slow kaons are rapidly decaying.**

# Sensitivity Frontier of Kaon Physics Today

- CERN NA62:  $100 \times 10^{-12}$  measurement sensitivity of  $K^+ \rightarrow e^+ \nu$
- Fermilab KTeV:  $20 \times 10^{-12}$  measurement sensitivity of  $K_L \rightarrow \mu \mu e e$
- Fermilab KTeV:  $20 \times 10^{-12}$  search sensitivity for  $K_L \rightarrow \pi \mu e$ ,  $\pi \pi \mu e$
- BNL E949:  $20 \times 10^{-12}$  measurement sensitivity of  $K^+ \rightarrow \pi^+ \nu \nu$
- BNL E871:  $1 \times 10^{-12}$  measurement sensitivity of